# ANNUAL REPORT DAWSON RIDGE METROPOLITAN DISTRICTS NO. 1, NO. 2, NO. 3, NO. 4 AND NO. 5, DOUGLAS COUNTY, COLORADO, REPORTING PERIOD ENDING DECEMBER 31, 2012

Pursuant to Section 32-1-207(3)(c), C.R.S., Special District Act, and Section 11.02.040 of Castle Rock Municipal Code, the following report is submitted by the Boards of Directors of Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 (the "Districts"), to the Town Council of the Town of Castle Rock.

The following information concerns the activity and financial events of the Districts during the year 2012:

#### 1. <u>Progress in implementing service plan.</u>

The Districts are currently operating under a Suspension Agreement with the Town of Castle Rock, dated October 8, 1992, which does not allow the Districts to exercise their powers other than those administrative functions necessary to remain in lawful existence and in compliance with State law, until the Districts submit amendments to their service plans that are approved by the Town of Castle Rock.

#### 2. 2012 Audit.

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 each qualify for, and have applied to the State Auditor's Office for audit exemptions for fiscal year 2012. Copies of the Applications for Exemption from Audit are attached to this report.

#### 3. <u>Capital expenditures and capital improvements.</u>

The Districts did not incur any capital expenditures in the development of public facilities in the report year of 2012.

4. <u>Capital improvements/projects proposed to be undertaken in 5 years following 2012.</u>

None.

#### 5. <u>Financial obligations and assessed valuation.</u>

Financial Obligations are reflected on the Applications for Exemption from Audit and the 2013 budgets attached to this report.

<u>District No. 1:</u> The gross total assessed valuation of all taxable properties within the District No. 1 as of December 2012 was \$79,030. No portion of the District 2012 mill levy was pledged to debt retirement.

<u>District No. 2:</u> The gross total assessed valuation of all taxable properties within the District No. 2 as of December 2012 was \$5,350. No portion of the District 2012 mill levy was pledged to debt retirement.

<u>District No. 3:</u> The gross total assessed valuation of all taxable properties within the District No. 3 as of December 2012 was \$65,750. No portion of the District 2012 mill levy was pledged to debt retirement.

<u>District No. 4:</u> The gross total assessed valuation of all taxable properties within the District No. 4 as of December 2012 was \$5,040. No portion of the District 2012 mill levy was pledged to debt retirement.

<u>District No. 5:</u> The gross total assessed valuation of all taxable properties within the District No. 5 as of December 2012 was \$50. No portion of the District 2012 mill levy was pledged to debt retirement.

#### 6. Budgets for 2013.

Copies of the Districts' budgets for 2013 as adopted on November 7, 2012, are attached to this report.

#### 7. Residential/commercial development which occurred within Districts in 2012:

No commercial or residential development occurred within the Districts during the reporting period.

#### 8. Fees, charges and assessments.

No fees, charges or assessments were levied or collected by the Districts in 2012.

#### 9. Certification re: Section 11.02.060.

No action, event or condition enumerated in Section 11.02.060 of the Town of Castle Rock Municipal Code, constituting a material modification to any of the Districts' service plans, occurred in the report year.

#### 10. Names, addresses, telephone numbers, meetings.

The names, business addresses, and telephone numbers of each member of the Board of Directors, the president, and the District counsel are as follows:

Thomas J. Mancuso 1 Golden Eagle Lane Littleton, CO 80127 (303) 298-0221 Director and President of Districts No. 1, No. 2, No. 3, No. 4 and No. 5

Other Directors' Positions:

Vacant

Vacant

Vacant

Vacant

Ernest F. Fazekas II, Esq. Folkestad Fazekas Barrick & Patoile, P.C. 18 S. Wilcox Street, Suite 200 Castle Rock, CO 80104 303-688-3045 Legal Counsel

Regular meetings are scheduled for the 1st Wednesday of every month, commencing at 9:30 a.m., at the office of Folkestad Fazekas Barrick & Patoile, P.C., located at 18 S. Wilcox Street, Suite 200, Castle Rock, Colorado, 80104.

Pursuant to Section 32-1-207(3)(c), C.R.S., a copy of this report will be filed with the Town Council of the Town of Castle Rock, the Douglas County Board of Commissioners, the Division of Local Government, and the State Auditor, as well as being deposited with the Douglas County Clerk and Recorder and made available to any interested party as defined in Section 32-1-204(1), C.R.S.

DATE: Effective as of September 1, 2013.

DAWSON RIDGE METROPOLITAN DISTRICT NO. 1, a quasi-municipal corporation and political subdivision of the State of Colorado

Ву: \_

Ernest F. Fazekas II, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 2, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Ernest F. Fazekas II, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 3, a quasi-municipal corporation and political subdivision of the State of Colorado

By: Ernest F. Fazekas II, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 4, a quasi-municipal corporation and political subdivision of the State of Colorado

By: \_\_\_\_\_\_\_ Ernest F. Fazekas II, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 5, a quasi-municipal corporation and political subdivision of the State of Colorado

By: \_\_\_\_\_\_\_ Ernest F Fazekas II District Legal Counsel

# Applications for Exemption from Audit -- 2012 Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

# APPLICATION FOR EXEMPTION FROM AUDIT - SHORT FORM - FOR GOVERNMENTS WITH REVENUES AND EXPENDITURES OF \$100,000 OR LESS

Name of Government:	DAWSON RIDGE METROPOLITAN DISTRICT NO. 1	For the Fiscal Year
Address:	C/O PINNACLE CONSULTING GROUP, INC	Ended December 31, 2012
	5110 GRANITE STREET, SUITE C	or fiscal year ended:
·	LOVELAND, CO 80538	
Contact Person:	BRENDAN CAMPBELL, CPA	
Telephone:	(970) 669-3611	
Email:	BRENDANC@PINNACLECONSULTINGGROUPINC.COM	
Fax:	(970) 669-3612	

Return to:

Office of the State Auditor

Local Government Audit Division 225 E. 16th Ave., Suite 555 Denver, CO 80203 Fax: 303-866-4062

Email: OSA.LG@state.co.us

Call (303) 866-3338 if you need help completing this form.

PLEASE READ THE ABOVE INSTRUCTIONS BEFORE SUBMITTING

Section 29-1-604, C.R.S., outlines the provisions for an exemption from audit. Generally, any local government for which neither revenues nor expenditures exceed \$500,000 in any fiscal year may qualify for an exemption. If either revenues or expenditures are \$100,000 or greater, but not more than \$500,000, you may NOT use this form. Please use the LONG FORM of this application. If both revenues and expenditures are less than \$100,000 individually, use this short form application for exemption from audit.

Please review ALL instructions prior to the completion of this form.

#### Instructions:

- 1. Prepare this form completely and accurately. Please note that there are 11 parts to this form, and all questions must be answered for the application to be considered complete.
- File this form with the Office of the State Auditor within 3 months after the end of the fiscal year.
   For years ended December 31, the form <u>must</u> be <u>received</u> by the Office of the State Auditor by March 31.
- 3. The form <u>must</u> be completed by a person skilled in governmental accounting.
- 4. The application must be personally reviewed and approved by a majority of the governing body as evidenced by one of the following methods:
  - a, Resolution of the governing body application may be emailed, faxed, or mailed.
  - b. Original signatures application must be mailed. Email or fax will NOT be accepted.
- 5. The preparer must sign the application that is submitted in order for it to be accepted.
- 6. Additional information may be attached to the exemption at the preparer's discretion.

CERTIFICATION OF PREPARER					
I certify that I am skilled in gove	I certify that I am skilled in governmental accounting and that the information in the application is complete and accurate, to the best of my				
Name:	BRENDAN CAMPBELL, CPA				
Title:	DISTRICT ACCOUNTANT				
Firm Name (if applicable):	PINNACLE CONSULTING GROUP, INC				
Address:	5110 GRANITE STREET, SUITE C, LOVELAND, CO 80538				
Telephone Number:	(970) 669-3611				
Date Prepared:	3/5/2013				

#### Preparer Signature (Required): The application will be rejected if not signed by the preparer.

Icate whether the following financial information is recorded Governmental

Please indicate whether the following financial information is recorded using Governmental or Proprietary fund types

K

Governmental Proprietary

X

#### PART 2 - REVENUE

REVENUE: All revenues for all funds must be reflected in this section, including proceeds from the sale of the government's land, building, and equipment, and proceeds from debt or lease transactions. Financial information will not include fund equity information.

Line#	Description	(Omit cents)
2-1	Taxes: Property	\$ 4,158
2-2	Specific ownership	\$ 319
2-3	Sales and use	\$ -
2-4	Other (specify):	\$
2-5	Licenses and permits	- \$
2-6	Intergovernmental: Grants	\$
2-7	Conservation Trust Funds (Lottery)	
2-8	Highway Users Tax Funds (HUTF)	\$ -
2-9	Other (specify):	\$ -
2-10	Charges for services	\$ 10,500
2-11	Fines and forfeits	\$ -
2-12	Special assessments	
2-13	Investment income	\$ 8
2-14	Charges for utility services	-
2-15	Debt proceeds (should agree with line 4-3, column 2)	\$ -
2-16	Lease proceeds	\$ -
2-17	Developer Advances received (should agree with line 4-3)	\$ -
2-18	Proceeds from sale of capital assets	
2-19	Fire and police pension	\$ -
2-20	Donations	
2-21	Other (specify):	
2-22		\$
2-23		-
2-24	(add lines 2-1 through 2-23) TOTAL REVENUE all sources	s \$ 14,985

	PART 3 - EXPENDITURES	
	EXPENDITURES: All expenditures for all funds must be reflected in this section, including the po	urchase of capital assets and principal and interest
	payments on long-term debt. Financial information will not include fund equity information.	
Line#	Description	(Omit cents)
3-1	Administrative	\$ 62
3-2	Salaries	\$ -
3-3	Payroll taxes	\$ -
3-4	Contract services	\$ 14,923
3-5	Employee benefits	\$ -
3-6	Insurance	\$ -
3-7	Accounting and legal fees	\$ -
3-8	Repair and maintenance	\$ -
3-9	Supplies	\$ -
3-10	Utilities and telephone	\$ -
3-11	Fire/Police	\$ -
3-12	Streets and highways	\$
3-13	Public health	\$ -
3-14	Culture and recreation	\$ -
3-15	Utility operations	\$ -
3-16	Capital outlay (should agree with line 6-1, column 2)	\$ -
3-17	Debt service principal (should agree with line 4-3, column 2)	\$
3-18	Debt service interest	\$
3-19	Repayment of Developer Advances (should agree with line 4-3)	\$
3-20	Contribution to pension plan (should agree to line 7-2)	\$
3-21	Contribution to Fire & Police Pension Assoc. (should agree to line 7-2)	\$ -
3-22	Other (specify):	\$ -
3-23		\$ -
3-24		\$ -
3-25	(add lines 3-1 through 3-24) TOTAL EXPENDITURES all categories	\$ 14,985

Note: If *Total Revenue* (Line 2-24) or *Total Expenditures* (Line 3-25) are greater than \$100,000 - STOP. You may not use this form. Please use the "Application for Exemption from Audit - Long Form",

	PART 4 - DEBT OUTSTANDING,					
	Please answer the following questions by marking t	he appro	priate bo	xes.	Yes	No
4-1	Does the entity have outstanding debt?					X
	Is the debt repayment schedule attached? If no, please explain:			····		N/A
4-2	Is the entity current in its debt service payments? If no, please explain	n:				N/A
	In the original to desire of the payments in the product original					
		·····		r		
4-3	Please complete the following debt schedule, if applicable:	Dotirod during	Outstanding at			
	(please only include principal amounts)		nding at orior year	Issued during fiscal year	fiscal year	fiscal year-end
	General obligation bonds	\$	Jilo year	\$ -	\$ -	\$ -
	Revenue bonds	\$		\$ -	\$ -	\$ -
	Notes/Loans	\$		\$ -	\$ -	\$ -
	Leases	\$		\$ -	\$ -	\$ -
	Developer Advances	\$		\$ -	\$ -	\$ -
	Other (specify):	\$		\$ -	\$ -	\$ -
	Total:	\$	-	\$ -	\$ -	\$ -
V. C. S. S. S.	Please answer the following questions by marking the appropria	te boxes	3 <b>.</b> ************************************		Yes	No
4-4	Does the entity have any authorized, but unissued, debt?				Х	
If yes:	How much?	\$		39,650,000.00		
-	Date the debt was authorized:		05/02/			
4-5	Does the entity intend to issue debt within the next calendar year (20	12)?				X
If yes:	How much?	\$		_		
	Please answer the following questions by marking the appropria	te boxes	2 to 1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (		Yes	No
4-6	Does the entity have debt that has been refinanced that it is still resp	onsible fo	or?			X
If yes:	What is the amount outstanding?	\$	'	-		
	Please answer the following questions by marking the appropria	te boxes	<b>,</b>		Yes	No No
	Does the entity have any lease agreements?					X
	What is being leased?					
	What is the original date of the lease?					
	Number of years of lease?					
	Is the lease subject to annual appropriation?				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	What are the annual lease payments?	\$		*		
	Please use this space to provide any explanations or comments			<del></del>		
4.0						
4-8						
	IN REFERENCE TO Q 4-5, THE DISTRICT DOES NOT INTEND	TO ISSU	E DERT V	MITHIN THE NEX	T CALENDAR Y	/EAR - 2013
	PART 5 - CASH AND II			WICH THE THE	CT OF ILLETON	IL/III LOTO.
					T : 1 - 7 - 7	
	Please provide the entity's cash deposit and investment balance	S.			Amount	Total
	Checking accounts				\$ -   \$ -	
	Savings accounts				<del>                                    </del>	
5-3	Certificates of deposit Total Cash Deposits				innininininininininininininininininini	
	Investments (if investment is a mutual fund, please list underlying inv	antmanta	· · ·			
5-4	invesiments (ii invesiment is a mutuar iunu, piease iist undenying inv	camenta	>1		<u> </u>	
5-5		.,,			\$ -	
5-6					\$ -	
5-7					\$ -	
J	Total Investments					\$ -
	Total Cash and Investments					\$ -
		<del>ч </del>			······································	·
	Please answer the following question by marking in the appropr	late box			Yes	No
5-8	Are the entity's deposits in an eligible (Public Deposit Protection Act) 101, et seq. C.R.S.)? If no, please explain:	public de	epository (	Section 11-10.5-		N/A
	Please use this space to provide any explanations or comments			<del></del>	<u> </u>	L
		<del></del>				
5-9						1

國際	Please answer the following question	ons by marking in the anni	ropriate bo	Xes.		Yes	25 E9 (80) 30 31 33	No
6-1	Does the entity have land, buildings, a	and/or equipment?	opriore be				-	X
If yes:	Has the entity performed an annual in	• •	oment (capi	tal assets)	in accordance			N/A
11 303.	with Section 29-1-506, C.R.S.,? If no,		pinent (oup	.tai 2000to)	in accordance			IND
							MIIII	
	Complete the following table:		Bala	ance -				
				ng of the	Additions	Deletions		ar-End
			_	ear			B	alance
	Land		\$	-	\$ -	\$ -	\$	
	Buildings		\$	-	\$ -	\$ -	\$	-
	Machinery and equipment		\$	-	\$ -	\$ -	\$	-
	Furniture and fixtures		\$		\$ -	\$ -	\$	-
	Construction In Progress (CIP)		\$	-	\$ -	\$ -	\$	_
	Other (explain):		\$	-	\$ -	- \$	\$	-
	Accumulated Depreciation		\$	-	\$ -	\$ -	\$	
	Total		\$	-	\$ -	\$ -	\$	-
	Please use this space to provide an	y explanations or commer	its:				i)(((())	
6-2								
-								
		DADES DENOISAL	WEADA	17011				, , , , , , , , , , , , , , , , , , ,
		PART 7 - PENSION						
	Please answer the following question	ons by marking in the appr	ropriate bo	xes.		Yes		No
7-1	Does the entity have an "old hire" firen	nen's pension plan?						X
7-2	Does the entity have a volunteer firema							Х
If yes:	Who administers the plan?						///////	
•	Indicate the contributions from:							
		/, SO, sales, etc.):	<del></del>		\$ -	<del>-\////////////////////////////////////</del>		
	State contribu				\$ -	<del>-\////////////////////////////////////</del>		
		donations, etc.):		,	\$ -	<i>-\////////////////////////////////////</i>		
	Total:	ionations, oto.).			\$ -	<del>- (////////////////////////////////////</del>		
	What is the monthly benefit paid for	or 20 years of service per re-	tiree as of J	an 1?	\$ -	- 4////////////////////////////////////		
	Please use this space to provide an	y explanations or commer	its:				*****	*****
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,							
7-3								
. •								
		PART 8 - BUDGET	INFORM	ATION		······································		
estere e	Please answer the following question	PART 8 - BUDGET				Ves	Y. (25.55	No
8-1	Please answer the following question Did the entity file a 2012 budget with the	ons by marking in the appr	opriate bo	xes.				No
8-1	Please answer the following question Did the entity file a 2012 budget with the	ons by marking in the appr	opriate bo	xes.		Yes		No
		ons by marking in the appr	opriate bo	xes.				No
		ons by marking in the appr	opriate bo	xes.				No
8-1	Did the entity file a 2012 budget with the	ons by marking in the appr he Department of Local Affai	ropriate bo irs? If no, p	xes.		x		No S
		ons by marking in the appr he Department of Local Affai	ropriate bo irs? If no, p	xes.				No
8-1	Did the entity file a 2012 budget with the	ons by marking in the appr he Department of Local Affai	ropriate bo irs? If no, p	xes.		x		No S
8-1	Did the entity file a 2012 budget with the	ons by marking in the appr he Department of Local Affai	ropriate bo irs? If no, p	xes.		x		No S
8-1	Did the entity file a 2012 budget with the	ons by marking in the appr he Department of Local Affai resolution? In no, please exp	ropriate bo irs? If no, p	xes.		x		No S
8-1	Did the entity file a 2012 budget with the Did the entity pass an appropriations re	ons by marking in the appr he Department of Local Affai resolution? In no, please exp led for each fund for 2012:	opriate bo: irs? If no, p	xes.	ain:	x		No S
8-1	Did the entity file a 2012 budget with the Did the entity pass an appropriations represented the entity pass an appropriation of the Please indicate the amount appropriate.	ons by marking in the appr he Department of Local Affai resolution? In no, please exp led for each fund for 2012: me	opriate bo: irs? If no, p	xes.		X		No S
8-1	Did the entity file a 2012 budget with the Did the entity pass an appropriations represented the entity pass an appropriation of the Please indicate the amount appropriate Fund Name	ons by marking in the appr he Department of Local Affai resolution? In no, please exp led for each fund for 2012: me	opriate bo: irs? If no, p lain: Budg	xes.	ain:	X		No S
8-1	Did the entity file a 2012 budget with the Did the entity pass an appropriations of Please indicate the amount appropriate Fund Name GENERAL F	ons by marking in the appr he Department of Local Affai resolution? In no, please exp led for each fund for 2012: me FUND	opriate bo: irs? If no, p lain: Budg	xes.	ain:	X		No S
8-1	Did the entity file a 2012 budget with the Did the entity pass an appropriations represented the entity pass an appropriation of the Please indicate the amount appropriate Fund Name	ons by marking in the appr he Department of Local Affai resolution? In no, please exp led for each fund for 2012: me FUND	opriate bo: irs? If no, p lain: Budg	xes.	ain:	X		No S
8-1	Did the entity file a 2012 budget with the Did the entity pass an appropriations of Please indicate the amount appropriate Fund Name GENERAL F	ons by marking in the appr he Department of Local Affai resolution? In no, please exp led for each fund for 2012: me FUND	opriate bo: irs? If no, p lain: Budg	xes.	ain:	X		No S

	PART 9 - TAX PAYER'S BILL OF RIGHTS (TABOR)		
San Paragraphic	Please answer the following question by marking in the appropriate box	Yes	No No
9-1	Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?	Х	
	Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.		
	Please use this space to provide any explanations or comments:		
9×2			

	PART 10 - GENERAL INFORMATION		
Pagagata	Please answer the following questions by marking in the appropriate boxes.	Yes	No
10-1	Is this application for a newly formed governmental entity?		Х
If yes:	Date of formation:		
10-2	Has the entity changed its name in the past or current year?		Х
If Yes:	Please list the NEW name & PRIOR name:		
10-3	Is the entity a metropolitan district?	X	
10-4	Please indicate what services the entity provides:		
	WATER, IRRIGATION, SANITATION, DRAINAGE, STREETS, TRAFFIC & SAFETY CONTROLS, TRANSPORTATION, PARKS AND RECREATION.		
10-5	Does the entity have an agreement with another government to provide services?	X	
If yes:	List the name of the other governmental entity and the services provided:		
11 you.	ALL SERVICES ARE PROVIDED BY DAWSON RIDGE METROPOLITAN DISTRICT NO. 5.		
10-6	Has the district filed a <i>Title 32, Article 1 Special District Notice of Inactive Status</i> during the year? [Applicable to Title 32 special districts only, pursuant to Sections 32-1-103 (9.3) and 32-1-104 (3), C.R.S.]		Х
If yes:	Date Filed:		
10-7	Please use this space to provide any explanations or comments:		**************

Below is the certification and approval of the governing board. By signing the board member is certifying they are a duly elected or appointed officer of the local government. Governing board members may be verified. Also by signing, the board member certified that this Application for Exemption from Audit has been prepared consistent with Section 29-1-604, C.R.S., which states that a governmental agency with revenue and expenditures of \$100,000 or less must have an application prepared by a person skilled in governmental accounting; completed to the best of their knowledge and is accurate and true. Use additional pages if needed.

	Print the names of all current	A MAJORITY of the governing board members must complete and sign in the column
Board	Print Board Members Name	board member and/l have reviewed and approve the application for exemption
Member 1	THOMAS J. MANCUSO	from audit. Signed Date:  My term Expires: MAY 2014
Board Member	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.  Signed Date:
2	wordings of the state of the st	term Expires: My
Board Member	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
3		Signed Date: My term Expires:
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
Member 4		Signed Date: My term Expires:
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
Member 5		Signed Date:  My term Expires:, attest I am a duly elected or appointed
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
Member 6		Signed Date:  My term Expires:
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
Member 7		Signed Date:  My term Expires:

## APPLICATION FOR EXEMPTION FROM AUDIT - SHORT FORM - FOR GOVERNMENTS WITH REVENUES AND EXPENDITURES OF \$100,000 OR LESS

Name of Government:	DAWSON RIDGE METROPOLITAN DISTRICT NO. 2	For the Fiscal Year
Address:	C/O PINNACLE CONSULTING GROUP, INC	Ended December 31, 2012
	5110 GRANITE STREET, SUITE C	or fiscal year ended:
	LOVELAND, CO 80538	
Contact Person:	BRENDAN CAMPBELL, CPA	
Telephone:	(970) 669-3611	
Email:	BRENDANC@PINNACLECONSULTINGGROUPINC.COM	<del></del>
Fax:	(970) 669-3612	

Return to:

Office of the State Auditor

Local Government Audit Division 225 E. 16th Ave., Suite 555 Denver, CO 80203 Fax: 303-866-4062

Email: OSA.LG@state.co.us

Call (303) 866-3338 if you need help completing this form.

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Section 29-1-604, C.R.S., outlines the provisions for an exemption from audit. Generally, any local government for which neither revenues nor expenditures exceed \$500,000 in any fiscal year may qualify for an exemption.

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  - b. Original signatures application must be mailed. Email or fax will NOT be accepted.
- 5. The <u>preparer must sign</u> the application that is submitted in order for it to be accepted.
- 6. Additional information may be attached to the exemption at the preparer's discretion.

3

# CERTIFICATION OF PREPARER I certify that I am skilled in governmental accounting and that the Information In the application is complete and accurate, to the best of my Name: BRENDAN CAMPBELL, CPA Title: DISTRICT ACCOUNTANT Firm Name (if applicable): PINNACLE CONSULTING GROUP, INC Address: 5110 GRANITE STREET, SUITE C, LOVELAND, CO 80538 Telephone Number: (970) 669-3611 Date Prepared: 3/5/2013

#### Preparer Signature (Required): The application will be rejected if not signed by the preparer.

Please indicate whether the following financial information is recorded	Governmental	Proprietary
using Governmental or Proprietary fund types	Х	

	PART 2 - REVENUE	AND THE RESERVE OF THE PERSON	
	REVENUE: All revenues for all funds must be reflected in this section, including proceeds equipment, and proceeds from debt or lease transactions. Financial Information will not include the control of	from the sale of the government's land, building, and lude fund equity information.	1
Line#	Description	(Omit cents)	,
2-1	Taxes: Property	\$	206
2-2	Specific ownership	\$	16
2-3	Sales and use	\$	-
2-4	Other (specify):	\$	w
2-5	Licenses and permits	\$	-
2-6	Intergovernmental: Grants	\$	
2-7	Conservation Trust Funds (Lottery)	\$	-
2-8	Highway Users Tax Funds (HUTF)	\$	
2-9	Other (specify):	\$	-
2-10	Charges for services	\$	
2-11	Fines and forfeits	\$	
2-12	Special assessments	\$	-
2-13	Investment income	\$	1
2-14	Charges for utility services	\$	
2-15	Debt proceeds (should agree with line 4-3, column 2)	\$	-
2-16	Lease proceeds	\$	-
2-17	Developer Advances received (should agree with line 4-3)	\$	-
2-18	Proceeds from sale of capital assets	\$	-
2-19	Fire and police pension	\$	-
2-20	Donations	\$	-
2-21	Other (specify):	\$	_
2-22		\$	-
2-23		\$	

TOTAL REVENUE all sources \$

223

	PART 3 - EXPENDITURES	
	EXPENDITURES: All expenditures for all funds must be reflected in this section, including the pr	urchase of capital assets and principal and interest
	payments on long-term debt. Financial information will not include fund equity information.	
Line#	Description	(Omit cents)
3-1	Administrative	\$ 3
3-2	Salaries	\$ -
3-3	Payroll taxes	<u> </u>
3-4	Contract services	\$ 220
3-5	Employee benefits	\$ -
3-6	Insurance	\$ -
3-7	Accounting and legal fees	
3-8	Repair and maintenance	\$
3-9	Supplies	-
3-10	Utilities and telephone	\$ -
3-11	Fire/Police	\$
3-12	Streets and highways	\$ -
3-13	Public health	\$ -
3-14	Culture and recreation	\$ -
3-15	Utility operations	\$
3-16	Capital outlay (should agree with line 6-1, column 2)	\$
3-17	Debt service principal (should agree with line 4-3, column 2)	\$ -
3-18	Debt service interest	\$
3-19	Repayment of Developer Advances (should agree with line 4-3)	\$
3-20	Contribution to pension plan (should agree to line 7-2)	\$ -
3-21	Contribution to Fire & Police Pension Assoc. (should agree to line 7-2)	\$ -
3-22	Other (specify):	\$ -
3-23		\$
3-24		\$ -
3-25	(add lines 3-1 through 3-24) TOTAL EXPENDITURES all categories	\$ 223

2-24

(add lines 2-1 through 2-23)

Note: If *Total Revenue* (Line 2-24) or *Total Expenditures* (Line 3-25) are greater than \$100,000 - STOP. You may not use this form. Please use the "Application for Exemption from Audit - Long Form".

	Please answer the following questions by marking			RETIRE		Yes	3440 B	No
4-1	Does the entity have outstanding debt?	the appropriat	te no	X48		169	14.11	X
-7- I	Is the debt repayment schedule attached? If no, please explain:	.,,						N/A
	, , , , , , , , , , , , , , , , , , ,							
-								
4-2	Is the entity current in its debt service payments? If no, please explain	ain:						N/A
4-3	The state of the s							
4-0	Please complete the following debt schedule, if applicable:	Outstanding	n at	Issued	durina	Retired du	rina (	Dutstandi
	(please only include principal amounts)	end of prior		fiscal		fiscal yea		iscal year
	General obligation bonds	\$		\$	-	\$		\$
	Revenue bonds	\$		\$		\$		\$
	Notes/Loans	\$	-	\$		\$		\$
	Leases	\$	*	\$		\$		<u>*</u>
	Developer Advances	\$	_	\$		\$		\$
	Other (specify):	\$	_	\$		\$		š
	Total:	\$	_	\$		\$		\$
	Please answer the following questions by marking the appropr	iate hoxes.	SH-00		day ay	Yes		No
4-4	Does the entity have any authorized, but unissued, debt?	INCO DOMOGI		7		X	. 2,45 . 4	
If yes:	How much?	\$		27,150,	000.00	minim	mm	
, 00.	Date the debt was authorized:		05/02		200,00			
			00/0/	-/00				
4-5	Does the entity intend to issue debt within the next calendar year (2	012)?				mmm	min	$m\hat{m}$
yes:	How much?	<u> </u>			<del>-</del>			
NACK IN COURS		ang an ang ang ang ang ang ang ang	A. (1) 4	aring warrances, a	- 11 C 12 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	A-277404181-41-7-4	(45), 30	
della l	Please answer the following questions by marking the appropr	iate boxes.			ing Day So	Yes		No
4-6	Does the entity have debt that has been refinanced that it is still res	ponsible for?						X
yes:	What is the amount outstanding?	\$			-			
	Disease a married the fell section according to be morphism the appropria							
	Please answer the following questions by marking the appropr	iate boxes.				Yes		No
4-7	Does the entity have any lease agreements?	late boxes.	operati			Yes		No X
4-7	Does the entity have any lease agreements?	late boxes.	en e			Yes		No X
4-7		late boxes.				Yes		No X
4-7	Does the entity have any lease agreements? What is being leased?	iate boxes.				Yes		No X
	Does the entity have any lease agreements? What is being leased? What is the original date of the lease? Number of years of lease?	iate boxes.				Yes		X X //////////////////////////////////
4-7	Does the entity have any lease agreements?  What is being leased?  What is the original date of the lease?  Number of years of lease?  Is the lease subject to annual appropriation?				I	Yes		
4-7	Does the entity have any lease agreements?  What is being leased?  What is the original date of the lease?  Number of years of lease?  Is the lease subject to annual appropriation?  What are the annual lease payments?	\$				Yes		
4-7	Does the entity have any lease agreements?  What is being leased?  What is the original date of the lease?  Number of years of lease?  Is the lease subject to annual appropriation?	\$			-	Yes		
<b>4-7</b> If yes:	Does the entity have any lease agreements?  What is being leased?  What is the original date of the lease?  Number of years of lease?  Is the lease subject to annual appropriation?  What are the annual lease payments?	\$			-	Yes		
4-7	Does the entity have any lease agreements?  What is being leased?  What is the original date of the lease?  Number of years of lease?  Is the lease subject to annual appropriation?  What are the annual lease payments?	\$				Yes		
4-7 If yes:	Does the entity have any lease agreements? What is being leased? What is the original date of the lease? Number of years of lease? Is the lease subject to annual appropriation? What are the annual lease payments? Please use this space to provide any explanations or comment	\$ s:		WITHIN T				X Millini N/A
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ad (files (files)	Please answer the following questions by marking in the approp	riate boxes.	elderik er forstiller	Yes	No
6-1	Does the entity have land, buildings, and/or equipment?	41141			X
If yes:	Has the entity performed an annual inventory of property and equipment with Section 29-1-506, C.R.S.,? If no, please explain:		N/A		
		T			
	Complete the following table:	Balance - beginning of the year	Additions	Deletions	Year-End Balance
	Land	\$ -	\$ -	\$ -	\$ -
	Buildings	\$ -	\$ -	\$ -	\$ -
	Machinery and equipment	\$ -	\$ -	\$ -	-
	Furniture and fixtures	\$ -	\$ -	\$ -	1 \$\$
	Construction In Progress (CIP)	\$ -	\$ -	\$ -	\$ -
	Other (explain):	\$ -	\$ -	\$ -	\$ -
	Accumulated Depreciation	\$ -	\$ -	\$ -	\$ -
	Total	\$	\$ -	\$ -	\$
····	Please use this space to provide any explanations or comments		Vinnannannannannannannannannannannannanna		innum in the second of the sec
	PART 7 - PENSION IN	IEODMATION			·
aVa Mark	PART / - PENSION IN	IFURIMATION			Standa, with the con-
7-1	Please answer the following questions by marking in the approp Does the entity have an "old hire" firemen's pension plan?	rlate boxes.		Yes	No X
7-2	Does the entity have a volunteer firemen's pension plan?		•.	<del></del>	<del>`</del> x
If yes:	Who administers the plan?				minima.
,	Indicate the contributions from:				
			T &		
	Tax (property, SO, sales, etc.): State contribution amount:	· · · · · · · · · · · · · · · · · · ·	\$ -		
	Other (gifts, donations, etc.):		<u> </u>		
	Total:		\$ -   \$ -		
	What is the monthly benefit paid for 20 years of service per retire	e as of lan 12	\$ -		
	Please use this space to provide any explanations or comments		γ -	<i></i>	
7-3					
7-3		THE SPECIAL AND			
	PART 8 - BUDGET IN	FORMATION			
	Please answer the following questions by marking in the approp	rlate boxes.		Yes	No No
		rlate boxes.		Yes X	No
	Please answer the following questions by marking in the approp	rlate boxes.			No
8-1	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?	riate boxes. See exp			No
	Please answer the following questions by marking in the approp	riate boxes. See exp			No
8-1	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?	riate boxes. See exp			No
8-1	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?	riate boxes. See exp			No No
8-1	Please answer the following questions by marking in the approprial the entity file a 2012 budget with the Department of Local Affairs.  Did the entity pass an appropriations resolution? In no, please explain Please indicate the amount appropriated for each fund for 2012:  Fund Name	riate boxes. See Pif no, please exp			No
8-1	Please answer the following questions by marking in the appropriation by marking in the approp	riate boxes. See Pif no, please exp	olain:		No No
8-1	Please answer the following questions by marking in the appropriate the entity file a 2012 budget with the Department of Local Affairs.  Did the entity pass an appropriations resolution? In no, please explain Please indicate the amount appropriated for each fund for 2012:  Fund Name	riate boxes.  If no, please exp	elain: ⊇ Expenditures		No No
8-1	Please answer the following questions by marking in the approprion bid the entity file a 2012 budget with the Department of Local Affairs.  Did the entity pass an appropriations resolution? In no, please explain the entity pass an appropriations resolution? In no, please explain the entity pass an appropriations resolution? In no, please explain the entity pass an appropriations resolution? In no, please explain the entity pass an appropriations resolution? In no, please explain the entity pass an appropriations resolution? In no, please explain the entity pass an appropriations resolution? In no, please explain the entity pass an appropriation of the entity pass an appropriation resolution? In no, please explain the entity pass an appropriation of the entity pass an appropriation resolution? In no, please explain the entity pass an appropriation of the entity pass and the entity pass and appropriation of the entity pass and the	riate boxes. The state of the s	elain: ⊇ Expenditures		No No
8-1	Please answer the following questions by marking in the appropriate the entity file a 2012 budget with the Department of Local Affairs.  Did the entity pass an appropriations resolution? In no, please explain Please indicate the amount appropriated for each fund for 2012:  Fund Name	riate boxes. The state of the s	elain: ⊇ Expenditures		No No

	PART 9 - TAX PAYER'S BILL OF RIGHTS (TABOR)			I
Resignation (Co.)	Please answer the following question by marking in the appropriate box	Yes	No	
9-1	Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?	X		
	Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.			
9-2	Please use this space to provide any explanations or comments:			

	PART 10 - GENERAL INFORMATION		
	Please answer the following questions by marking in the appropriate boxes.	Yes	No
10-1	Is this application for a newly formed governmental entity?		Х
If yes:	Date of formation:		
10-2	Has the entity changed its name in the past or current year?		Х
If Yes:	Please list the NEW name & PRIOR name:		
10-3	is the entity a metropolitan district?	X	
10-4	Please indicate what services the entity provides:		
	WATER, IRRIGATION, SANITATION, DRAINAGE, STREETS, TRAFFIC & SAFETY CONTROLS, TRANSPORTATION, PARKS AND RECREATION.		
10-5	Does the entity have an agreement with another government to provide services?	Х	
If yes:	List the name of the other governmental entity and the services provided:		
	ALL SERVICES ARE PROVIDED BY DAWSON RIDGE METROPOLITAN DISTRICT NO. 5.		
10-6	Has the district filed a <i>Title 32, Article 1 Special District Notice of Inactive Status</i> during the year? [Applicable to Title 32 special districts only, pursuant to Sections 32-1-103 (9.3) and 32-1-104 (3), C.R.S.]		Х
If yes:	Date Filed:		
10-7	Please use this space to provide any explanations or comments:		

Below is the certification and approval of the governing board. By signing the board member is certifying they are a duly elected or appointed officer of the local government. Governing board members may be verified. Also by signing, the board member certifies that this Application for Exemption from Audit has been prepared consistent with Section 29-1-604, C.R.S., which states that a governmental agency with revenue and expenditures of \$100,000 or less must have an application prepared by a person skilled in governmental accounting; completed to the best of their knowledge and is accurate and true. Use additional pages if needed.

	Print the names of all current	A MAJORITY of the governing board members must complete and sign in the column
Board	Print Board Members Name	I Thomas TMADCUSE, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption
Member 1	THOMAS J. MANCUSO	from audit. Signed Date:  My term Expires: MAY 2014
Board Member 2	Print Board Members Name	I
Board Member 3	Print Board Members Name	I
Board Member 4	Print Board Members Name	I
Board Member <b>5</b>	Print Board Members Name	I
Board Member <b>6</b>	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.  Signed
Board Member 7	Print Board Members Name	I

## APPLICATION FOR EXEMPTION FROM AUDIT - SHORT FORM - FOR GOVERNMENTS WITH REVENUES AND EXPENDITURES OF \$100,000 OR LESS

Name of Government:	DAWSON RIDGE METROPOLITAN DISTRICT NO. 3	For the Fiscal Year
Address:	C/O PINNACLE CONSULTING GROUP, INC	Ended December 31, 2012
	5110 GRANITE STREET, SUITE C	or fiscal year ended:
	LOVELAND, CO 80538	
Contact Person:	BRENDAN CAMPBELL, CPA	
Telephone:	(970) 669-3611	
Email:	BRENDANC@PINNACLECONSULTINGGROUPINC.COM	
Fax:	(970) 669-3612	

Return to:

Office of the State Auditor

Local Government Audit Division 225 E. 16th Ave., Suite 555 Denver, CO 80203

Fax: 303-866-4062

Email: OSA.LG@state.co.us

Call (303) 866-3338 if you need help completing this form.

PLEASE READ THE ABOVE INSTRUCTIONS BEFORE SUBMITTING

Section 29-1-604, C.R.S., outlines the provisions for an exemption from audit. Generally, any local government for which neither revenues nor expenditures exceed \$500,000 in any fiscal year may qualify for an exemption.

If either revenues or expenditures are \$100,000 or greater, but not more than \$500,000, you may NOT use this form. Please use the LONG FORM of this application. If both revenues and expenditures are less than \$100,000 individually, use this short form application for exemption from audit.

Please review ALL instructions prior to the completion of this form.

#### Instructions:

- 1. Prepare this form completely and accurately. Please note that there are 11 parts to this form, and all questions must be answered for the application to be considered complete.
- 2. File this form with the Office of the State Auditor within 3 months after the end of the fiscal year.

  For years ended December 31, the form must be received by the Office of the State Auditor by March 31.
- 3. The form must be completed by a person skilled in governmental accounting.
- The application must be personally reviewed and approved by a majority of the governing body as evidenced by one of the following methods:
  - a. Resolution of the governing body application may be emailed, faxed, or mailed.
  - b. Original signatures application must be mailed. Email or fax will NOT be accepted.
- 5. The preparer must sign the application that is submitted in order for it to be accepted.
- 6. Additional information may be attached to the exemption at the preparer's discretion.

	CERTIFICATION OF PREPARER
I certify that I am skilled in gov	ernmental accounting and that the information in the application is complete and accurate, to the best of my
Name:	BRÊNDAN CAMPBELL, CPA
Title:	DISTRICT ACCOUNTANT
Firm Name (if applicable):	PINNACLE CONSULTING GROUP, INC
Address:	5110 GRANITE STREET, SUITE C, LOVELAND, CO 80538
Telephone Number:	(970) 669-3611
Date Prepared:	3/5/2013

#### Preparer Signature (Required): The application will be rejected if not signed by the preparer.

Please indicate whether the following financial information is recorded using Governmental or Proprietary fund types

	PART 2 - REVENUE	
	REVENUE: All revenues for all funds must be reflected in this section, including proceeds from tequipment, and proceeds from debt or lease transactions. Financial information will not include to	
Line#	Description	(Omit cents)
2-1	Taxes: Property	\$ 3,352
2-2	Specific ownership	\$ 258
2-3	Sales and use	\$
2-4	Other (specify):	\$
2-5	Licenses and permits	\$
2-6	Intergovernmental: Grants	\$ -
2-7	Conservation Trust Funds (Lottery)	\$ -
2-8	Highway Users Tax Funds (HUTF)	\$ -
2-9	Other (specify):	\$ -
2-10	Charges for services	\$ -
2-11	Fines and forfeits	\$
2-12	Special assessments	\$
2-13	Investment income	\$ 4
2-14	Charges for utility services	\$ -
2-15	Debt proceeds (should agree with line 4-3, column 2)	\$ "
2-16	Lease proceeds	\$
2-17	Developer Advances received (should agree with line 4-3)	\$ -
2-18	Proceeds from sale of capital assets	\$ -
2-19	Fire and police pension	\$ -
2-20	Donations	\$ -
2-21	Other (specify):	\$ -
2-22		\$ -
2-23		\$ -
2-24	(add lines 2-1 through 2-23) TOTAL REVENUE all sources	\$ 3,614

	PART 3 - EXPENDITURES	
	EXPENDITURES: All expanditures for all funds must be reflected in this section, including the propagation payments on long-term debt. Financial information will not include fund equity information.	urchase of capital assets and principal and interest
Line#	Description	(Omit cents)
3-1	Administrative	\$ 50
3-2	Salaries	\$ -
3-3	Payroll taxes	\$ -
3-4	Contract services	\$ 3,564
3-5	Employee benefits	\$ -
3-6	Insurance	\$ -
3-7	Accounting and legal fees	\$ :-
3-8	Repair and maintenance	\$
3-9	Supplies	\$
3-10	Utilities and telephone	\$
3-11	Fire/Police	\$ -
3-12	Streets and highways	\$
3-13	Public health	\$
3-14	Culture and recreation	\$
3-15	Utility operations	\$
3-16	Capital outlay (should agree with line 6-1, column 2)	\$
3-17	Debt service principal (should agree with line 4-3, column 2)	\$ -
3-18	Debt service interest	\$
3-19	Repayment of Developer Advances (should agree with line 4-3)	\$ -
3-20	Contribution to pension plan (should agree to line 7-2)	\$ -
3-21	Contribution to Fire & Police Pension Assoc. (should agree to line 7-2)	\$
3-22	Other (specify):	\$
3-23		\$
3-24		\$ <u>-</u>
3-25	(add lines 3-1 through 3-24) TOTAL EXPENDITURES all categories	\$ 3,614

Note: If *Total Revenue* (Line 2-24) or *Total Expenditures* (Line 3-25) are greater than \$100,000 - STOP. You may not use this form. Please use the "Application for Exemption from Audit - Long Form".

·	PART 4 - DEBT OUTSTANDING,	ISSUED, AND	RETIRED		
	Please answer the following questions by marking t	ne appropriate bo	xes.	Yes	No
4-1	Does the entity have outstanding debt?				X
	Is the debt repayment schedule attached? If no, please explain:				N/A
4-2	is the entity current in its debt service payments? If no, please explain	n:		***************************************	N/A
4-3	Please complete the following debt schedule, if applicable:				
	(please only include principal amounts)	Outstanding at	Issued during	Retired during	Outstanding at
	,	end of prior year \$ -	fiscal year \$ -	fiscal year \$ -	fiscal year-end \$ -
	General obligation bonds Revenue bonds	\$ -	\$ -	\$ -	\$ -
	Notes/Loans	\$ -	\$ -	\$ -	\$ -
	Leases	\$ -	\$	\$ -	\$ -
	Developer Advances	\$ -	\$ -	\$ -	\$ -
	Other (specify):	\$ -	\$ -	\$ -	\$
	Total:		\$ -	\$ -	\$ -
11 TA 11 TA 11	Please answer the following questions by marking the appropria	ite boxes.	<u> </u>	Yes	No
	Does the entity have any authorized, but unissued, debt?	\$	23,175,000.00	<i>aminan</i>	
If yes:	How much? Date the debt was authorized:	1	02/00		
4-5	Does the entity intend to issue debt within the next calendar year (20				X
If yes:	How much?	\$			
11 700.	TOV INCOM				
The second secon	Please answer the following questions by marking the appropria	ite boxes.		Yes	No
4-6	Does the entity have debt that has been refinanced that it is still resp	onsible for?			X
If yes:	What is the amount outstanding?	\$	-		
				1 10 10 <b>10 10</b> 10 10 10 10 10 10 10 10 10 10 10 10 10	
1,41,611	Please answer the following questions by marking the appropris	ite boxes.		Yes	No X
4-7	Does the entity have any lease agreements?	T			minima
If yes:	What is being leased? What is the original date of the lease?				
	Number of years of lease?				
	Is the lease subject to annual appropriation?				N/A
	What are the annual lease payments?	<b>  \$</b>	<u> </u>		
	Please use this space to provide any explanations or comments	•			
4-8					
	IN REFERENCE TO Q 4-5, THE DISTRICT DOES NOT INTEND	TO IGGIJE DERT	WITHIN THE NEX	CALENDAR Y	VEAR - 2013
	PART 5 ~ CASH AND I			CI ONTELLIBRITY	
			1	Amount	Total
E 4	Please provide the entity's cash deposit and Investment balance	·S.		\$ -	
5-1 5-2	Checking accounts Savings accounts			\$ -	
5-3	Certificates of deposit			\$ -	
	Total Cash Deposits				\$ -
	Investments (if investment is a mutual fund, please list underlying inv	/estments):			
5-4				\$ -	
5-5				\$ -	
5-6				\$ -	
5-7	Total Investments			<i>ÜMMMM</i>	\$ -
	Total Investments  Total Cash and Investments				\$ -
	Please answer the following question by marking in the appropr	iate box		Yes	No
5-8	Are the entity's deposits in an eligible (Public Deposit Protection Act) 101, et seq. C.R.S.)? If no, please explain:	public depository	(Section 11-10.5-		N/A
	Please use this space to provide any explanations or comments	1			
5-9					

	Please answer the following questions by marking in the approp	riate boxes	3.		Yes	No
6-1	Does the entity have land, buildings, and/or equipment?					X
f yes:	Has the entity performed an annual inventory of property and equipment (capital assets) in accordance with Section 29-1-506, C.R.S.,? If no, please explain:					N/A
	The second to the second second to the second secon					
	Complete the following table:	Balanc	·A -			1
		beginning year	of the	Additions	Deletions	Year-En Balance
	Land	\$		\$ -	\$ -	\$
	Buildings	\$		\$ -	\$ -	\$
	Machinery and equipment	\$	<u>.</u>	\$ -	\$ -	\$
	Furniture and fixtures	\$	-	\$ -	\$ -	\$
	Construction In Progress (CIP)	\$	-	\$ -	\$ -	\$
	Other (explain):	\$	-	\$ -	\$ -	\$
	Accumulated Depreciation	\$		\$ -	\$ -	\$
	Total	s		\$ -	\$ -	\$
	Please use this space to provide any explanations or comments	<u> </u>	-	innanianiani		
···································	PART 7 - PENSION IN	IFORMAT	ION			
, Program	tari di karangan kangan di karangang barangang barang ng palang barang palang barang panggan na maganang karan		100	ere er er er er er er er er er	te may english dise	and the second section of the
7.4	Please answer the following questions by marking in the approp Does the entity have an "old hire" firemen's pension plan?	riate boxes	<u>}.</u>		Yes	No
7-1 7-2	Does the entity have an old file firemen's pension plan?					X
yes:	Who administers the plan?		······································	- 10-12-PIA-SIA-VANDA A		, X
yes.						
	Indicate the contributions from:					
	Tax (property, SO, sales, etc.):  State contribution amount:			\$ -		
				\$ -		
	Other (gifts, donations, etc.): Total:			\$ -		
	What is the monthly benefit paid for 20 years of service per retire	o on of lon	40	\$ .		
	Please use this space to provide any explanations or comments:		1 (	Ψ -	311111111111111111111111111111111111111	
7-3						
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	PART 8 - BUDGET IN	FORMAT	ION			
	PART 8 - BUDGET IN				Yes	No
8-1	PART 8 - BUDGET IN Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?	riate boxes		aln:	Yes X	No
8-1	Please answer the following questions by marking in the approp	riate boxes		aln:		No
8-1	Please answer the following questions by marking in the approp	riate boxes		aln:		No
8-1	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?	riate boxes Pifno, plea		ain:		No
	Please answer the following questions by marking in the approp	riate boxes Pifno, plea		ain:		No
	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?	riate boxes Pifno, plea		ain:	×	No
8-2	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain	riate boxes Pifno, plea		ain:	×	No
8-2	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain Please indicate the amount appropriated for each fund for 2012:	riate boxes o If no, plea i:	se expl		×	No
8-1 8-2 yes:	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain	riate boxes o If no, plea i:	se expl	Expenditures 4,053	×	No
8-2	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain Please indicate the amount appropriated for each fund for 2012:  Fund Name	riate boxes riate boxes riate boxes riate boxes riate boxes riate boxes	se expl	Expenditures	×	No
8-2	Please answer the following questions by marking in the approp Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain Please indicate the amount appropriated for each fund for 2012:  Fund Name	riate boxes	se expl	Expenditures	×	No

	PART 9 - TAX PAYER'S BILL OF RIGHTS (TABOR)		
	Please answer the following question by marking in the appropriate box	Yes	No
9-1	Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?	Х	
	Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.		
9-2	Please use this space to provide any explanations or comments:		

	PART 10 - GENERAL INFORMATION		······································
graffin nati	Please answer the following questions by marking in the appropriate boxes.	Yes	No No
10-1	Is this application for a newly formed governmental entity?		Х
If yes:	Date of formation:		
10-2	Has the entity changed its name in the past or current year?		Х
If Yes:	Please list the NEW name & PRIOR name:		
10-3	Is the entity a metropolitan district?	X	
10-4	Please indicate what services the entity provides:		
	WATER, IRRIGATION, SANITATION, DRAINAGE, STREETS, TRAFFIC & SAFETY CONTROLS,		
	TRANSPORTATION, PARKS AND RECREATION.		
	THE TOTAL PROPERTY OF THE PROP		
10-5	Does the entity have an agreement with another government to provide services?	Х	
If yes:	List the name of the other governmental entity and the services provided:		
	ALL SERVICES ARE PROVIDED BY DAWSON RIDGE METROPOLITAN DISTRICT NO. 5.		
	Has the district filed a Title 32, Article 1 Special District Notice of Inactive Status during the year?	1	X
	[Applicable to Title 32 special districts only, pursuant to Sections 32-1-103 (9.3) and 32-1-104 (3),		
	C.R.S.]		
If yes:	Date Filed:		
	Please use this space to provide any explanations or comments:		

Below is the certification and approval of the governing board. By signing the board member is certifying they are a duly elected or appointed officer of the local government. Governing board members may be verified. Also by signing, the board member certifies that this Application for Exemption from Audit has been prepared consistent with Section 29-1-604, C.R.S., which states that a governmental agency with revenue and expenditures of \$100,000 or less must have an application prepared by a person skilled in governmental accounting; completed to the best of their knowledge and is accurate and true. Use additional pages if needed.

	Print the names of all current	A MAJORITY of the governing board members must complete and sign in the column
Board	Print Board Members Name	Doard member and I have reviewed and approve the application for exemption
Member 1	THOMAS J. MANCUSO	from audit. Signed Date:  My term Expires: MAY 2014
Board Member 2	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.  Signed Date:
		term Expires:
Board Member 3	Print Board Members Name	I
Board Member 4	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.  Signed
Board Member 5	Print Board Members Name	I
Board Member 6	Print Board Members Name	I
Board Member 7	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.  Signed Date:  My term Expires:
		WITH MANUEL CONTROL OF THE PROPERTY OF THE PRO

### APPLICATION FOR EXEMPTION FROM AUDIT - <u>SHORT FORM</u> - FOR GOVERNMENTS WITH REVENUES AND EXPENDITURES OF \$100,000 OR LESS

Name of Government:	DAWSON RIDGE METROPOLITAN DISTRICT NO. 4	For the Fiscal Year
Address:	C/O PINNACLE CONSULTING GROUP, INC	Ended December 31, 2012
	5110 GRANITE STREET, SUITE C	or fiscal year ended:
	LOVELAND, CO 80538	
Contact Person:	BRENDAN CAMPBELL, CPA	
Telephone:	(970) 669-3611	
Email:	BRENDANC@PINNACLECONSULTINGGROUPINC.COM	
Fax:	(970) 669-3612	

Return to:

Office of the State Auditor

Local Government Audit Division 225 E. 16th Ave., Suite 555 Denver, CO 80203 Fax: 303-866-4062

Email: OSA.LG@state.co.us

Call (303) 866-3338 if you need help completing this form.

PLEASE READ THE ABOVE INSTRUCTIONS BEFORE SUBMITTING

Section 29-1-604, C.R.S., outlines the provisions for an exemption from audit. Generally, any local government for which neither revenues nor expenditures exceed \$500,000 in any fiscal year may qualify for an exemption. If either revenues or expenditures are \$100,000 or greater, but not more than \$500,000, you may NOT use this form. Please use the LONG FORM of this application. If both revenues and expenditures are less than \$100,000 individually, use this short form application for exemption from audit.

Please review ALL instructions prior to the completion of this form.

#### Instructions:

- 1. Prepare this form completely and accurately. Please note that there are 11 parts to this form, and all questions must be answered for the application to be considered complete.
- File this form with the Office of the State Auditor within 3 months after the end of the fiscal year.
   For years ended December 31, the form <u>must</u> be <u>received</u> by the Office of the State Auditor by March 31.
- 3. The form <u>must</u> be completed by a person skilled in governmental accounting.
- 4. The application must be personally reviewed and approved by a majority of the governing body as evidenced by one of the following methods:
  - a. Resolution of the governing body application may be emailed, faxed, or mailed.
  - b. Original signatures application must be mailed. Email or fax will NOT be accepted.
- 5. The preparer must sign the application that is submitted in order for it to be accepted.
- 6. Additional information may be attached to the exemption at the preparer's discretion.

CERTIFICATION OF PREPARER				
certify that I am skilled in governmental accounting and that the information in the application is complete and accurate, to the best of my				
Name:	BRENDAN CAMPBELL, CPA			
Title:	DISTRICT ACCOUNTANT			
Firm Name (if applicable):	PINNACLE CONSULTING GROUP, INC			
Address:	5110 GRANITE STREET, SUITE C, LOVELAND, CO 80538			
Telephone Number:	(970) 669-3611			
Date Prepared:	3/5/2013			

#### Preparer Signature (Required): The application will be rejected if not signed by the preparer.

Please indicate whether the following financial information is recorded	Governmental	Proprietary
using Governmental or Proprietary fund types	X	

	PART 2 - REVENUE		
	REVENUE: All revenues for all funds must be reflected in this section, including proceeds in equipment, and proceeds from debt or lease transactions. Financial information will not inc	from the sale of the government's land, building, and clude fund equity information.	l
Line#	Description	(Omit cents)	
2-1	Taxes: Property	\$	209
2-2	Specific ownership	\$	16
2-3	Sales and use	\$	-
2-4	Other (specify):	\$	
2-5	Licenses and permits	\$	-
2-6	Intergovernmental: Grants	\$	-
2-7	Conservation Trust Funds (Lottery)	\$	_
2-8	Highway Users Tax Funds (HUTF)	\$	-
2-9	Other (specify):	\$	-
2-10	Charges for services	\$	_
2-11	Fines and forfeits	\$	
2-12	Special assessments	\$	
2-13	Investment income	\$	1
2-14	Charges for utility services	\$	
2-15	Debt proceeds (should agree with line 4-3, column 2)	\$	-
2-16	Lease proceeds	\$	-
2-17	Developer Advances received (should agree with line 4-3)	\$	-
2-18	Proceeds from sale of capital assets	\$	
2-19	Fire and police pension	\$	_
2-20	Donations	\$	Na.
2-21	Other (specify):	\$	_
2-22		\$	_
2-23		\$	н

TOTAL REVENUE all sources \$

(add lines 2-1 through 2-23)

2-24

	PART 3 - EXPENDITURES	
	[EXPENDITURES: All expenditures for all funds must be reflected in this section, including the page 1.5]	urchase of capital assets and principal and interest
	payments on long-term debt. Financial information will not include fund equity information.	
Line#	Description	(Omit cents)
3-1	Administrative	\$ 3
3-2	Salaries	\$ -
3-3	Payroll taxes	\$ ~
3-4	Contract services	\$ 223
3-5	Employee benefits	\$ -
3-6	Insurance	\$ -
3-7	Accounting and legal fees	\$ -
3-8	Repair and maintenance	\$ -
3-9	Supplies	\$ -
3-10	Utilities and telephone	\$ -
3-11	Fire/Police	\$
3-12	Streets and highways	\$
3-13	Public health	-
3-14	Culture and recreation	\$
3-15	Utility operations	\$
3-16	Capital outlay (should agree with line 6-1, column 2)	-
3-17	Debt service principal (should agree with line 4-3, column 2)	\$
3-18	Debt service interest	\$ -
3-19	Repayment of Developer Advances (should agree with line 4-3)	\$ -
3-20	Contribution to pension plan (should agree to line 7-2)	\$ -
3-21	Contribution to Fire & Police Pension Assoc. (should agree to line 7-2)	-
3-22	Other (specify):	\$ -
3-23		\$
3-24		\$ -
3-25	(add lines 3-1 through 3-24) TOTAL EXPENDITURES all categories	\$ 226

Note: If Total Revenue (Line 2-24) or Total Expenditures (Line 3-25) are greater than \$100,000 - STOP. You may not use this form. Please use the "Application for Exemption from Audit - Long Form".

4-2 Is the  4-3  Gene Reve Note: Leas: Deve Othe: Total  7 Pleas 4-4 Does If yes: How Date 4-5 Does yes: What 4-7 Does If yes: What What Numi Is the What	eloper Advances or (specify):  It: se answer the following questions by marking the appropriate the entity have any authorized, but unissued, debt? much? The debt was authorized: The entity intend to issue debt within the next calendar year (20 much?  Se answer the following questions by marking the appropriate the entity have debt that has been refinanced that it is still respit is the amount outstanding?  Se answer the following questions by marking the appropriate the entity have any lease agreements?  It is being leased?  It is the original date of the lease?	Outstanding at end of prior year \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	14,300,000.00	Х	fiscal year- \$ \$ \$ \$ \$ \$
4-2 Is the  4-3  Gene Reve Note: Leas: Deve Othe: Total Pleas 4-4 Does If yes: How Date  4-5 Does yes: What  Pleas 4-7 Does If yes: What Numl Is the What Pleas	Please complete the following debt schedule, if applicable:	Outstanding at end of prior year \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	fiscal year  \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$	fiscal year \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	N/A  N/A  Outstanding fiscal year-  \$ \$ \$  No  No  X
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Is the What Pleas	han afternoon of lance O	· · · · · · · · · · · · · · · · · · ·		<del>\</del>	
What Pleas	ber of years of lease?				<i>umumu</i>
Pleas	e lease subject to annual appropriation?	Т		· mmmm	N/A
	t are the annual lease payments?	\$	*		
4-8	se use this space to provide any explanations or comments	):			
4-8					
IN	I REFERENCE TO Q 4-5, THE DISTRICT DOES NOT INTEND	TO ISSUE DEBT \	MITHIN THE NEX	XT CALENDAR	YEAR - 2013
	PART 5 - CASH AND I	<b>NVESTMENTS</b>			
Pleas	se provide the entity's cash deposit and investment balance			Amount	Total
	cking accounts	701		\$ -	mmmm
	ngs accounts	***	. , , , , , , , , , , , , , , , , , , ,	\$ -	
				\$ -	WHHHH
	ficates of deposit			- nimmim	XIIIIIIIIII
	I Cash Deposits			<i>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</i>	\$ ************************************
	stments (if investment is a mutual fund, please list underlying inv	/estments):			
5-4			~~~~~	\$ -	
5-5				\$ -	
5-6				\$ ~	
5-7				\$ -	
Total	I Investments				\$
	I Cash and Investments				3 \$
					· C
Pleas	se answer the following question by marking in the appropr	late box		Yes	No
5-8 Are th	he entity's deposits in an eligible (Public Deposit Protection Act)	public denository	Section 11-10 5-		N/A
	et seq. C.R.S.)? If no, please explain:	, achoomory (	(===n=n=11 1010		',"'
ľ				J	<u> </u>
Pleas		:			
_	se use this space to provide any explanations or comments		· · · · · · · · · · · · · · · · · · ·		
5-9	se use this space to provide any explanations or comments				

	PART 6 - CAPITA				
35,50	Please answer the following questions by marking in the appro	priate boxes.		Yes	No
6-1	Does the entity have land, buildings, and/or equipment?				Х
If yes:	Has the entity performed an annual inventory of property and equip	) in accordance		N/A	
	with Section 29-1-506, C.R.S.,? If no, please explain:			****	
	Complete the fellouting toble:		1		
	Complete the following table:	Balance -	A 1 1742	B. L. P.	Year-End
		beginning of the year	Additions	Deletions	Balance
	Load		<u></u>	\$ -	ф
	Land Buildings	\$ -	\$ -   \$ -	\$ -	\$ - \$ -
	Machinery and equipment	\$ -	\$ -	\$ -	\$ -
	Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
	Construction In Progress (CIP)	\$ -	\$ -	\$ -	\$ -
	Other (explain):	\$ -	\$ -	\$ -	\$ -
	Accumulated Depreciation	\$ -	\$ -	\$ -	\$ -
	Total	\$ -	s -	\$ -	\$ -
	Please use this space to provide any explanations or comment				
6-2					ļ
			· · · · · · · · · · · · · · · · · · ·		
	PART 7 - PENSION I	NFORMATION			
CONTRACTOR HIS HEADERS	Please answer the following questions by marking in the appro	nrinto havas	anadan kanan 1995.	Yes	No
7-1	Does the entity have an "old hire" firemen's pension plan?	priate noxes. (35)	Dig Ski krajeti i teta.	105,555	X
7-2	Does the entity have a volunteer firemen's pension plan?		<del>,</del>		×
If yes:	Who administers the plan?				
·	Indicate the contributions from:				
	Tax (property, SO, sales, etc.):		\$ -		
	State contribution amount:		\$ -		
	Other (gifts, donations, etc.):		\$ -		
	Total:		\$ -		
	What is the monthly benefit paid for 20 years of service per retir	ree as of Jan 1?			
	Please use this space to provide any explanations or comment	S:			
7-3					
	PART 8 - BUDGET I	NEORMATION		AN	
	Please answer the following questions by marking in the appro			Yes	No No
8-1	Did the entity file a 2012 budget with the Department of Local Affairs	s? If no, please exp	olain:	X	
;					
8-2	Did the entity pass an appropriations resolution? In no, please expla	ain:	* W	VIIII X	
If yes:	Please indicate the amount appropriated for each fund for 2012:	The second secon			
,	Fund Name		2 Expenditures		
	GENERAL FUND	\$	322		
	Please use this space to provide any explanations or comment	_I			
				·	
8-3					l

	PART 9 - TAX PAYER'S BILL OF RIGHTS (TABOR)		
34.50 V V	Please answer the following question by marking in the appropriate box	Yes	No
9-1	Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?	X	
	Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.		
9-2	Please use this space to provide any explanations or comments:		

	PART 10 - GENERAL INFORMATION		
	Please answer the following questions by marking in the appropriate boxes.	Yes	No
10-1	Is this application for a newly formed governmental entity?		Х
If yes:	Date of formation:		
10-2	Has the entity changed its name in the past or current year?		Х
If Yes:	Please list the NEW name & PRIOR name:		
10-3	is the entity a metropolitan district?	X	
10-4	Please indicate what services the entity provides:		
	WATER, IRRIGATION, SANITATION, DRAINAGE, STREETS, TRAFFIC & SAFETY CONTROLS, TRANSPORTATION, PARKS AND RECREATION.		
10-5	Does the entity have an agreement with another government to provide services?	Х	
If yes:	List the name of the other governmental entity and the services provided:		
	ALL SERVICES ARE PROVIDED BY DAWSON RIDGE METROPOLITAN DISTRICT NO. 5.		
10-6	Has the district filed a <i>Title 32, Article 1 Special District Notice of Inactive Status</i> during the year? [Applicable to Title 32 special districts only, pursuant to Sections 32-1-103 (9.3) and 32-1-104 (3), C.R.S.]		X
If yes:	Date Filed:		
10-7	Please use this space to provide any explanations or comments:		
		•	

Below is the certification and approval of the governing board. By signing the board member is certifying they are a duly elected or appointed officer of the local government.

Governing board members may be verified. Also by signing, the board member certifies that this Application for Exemption from Audit has been prepared consistent with Section 291-604, C.R.S., which states that a governmental agency with revenue and expenditures of \$100,000 or less must have an application prepared by a person skilled in governmental accounting; completed to the best of their knowledge and is accounted and true. Use additional pages if needed.

	Print the names of all current	A MAJORITY of the governing board members must complete and sign in the column
Board Member 1	Print Board Members Name	I Thomas (MAPCU 6) , attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit
	THOMAS J. MANCUSO	from audit Signed Date:  My term Expires: MAY 2014
	Print Board Members Name	l, attest I am a duly elected or appointed
Board		board member and I have reviewed and approve the application for exemption from audit.
Member 2		Signed Date:
<i>}</i>		term Expires:
	Print Board Members Name	attest I am a duly elected or appointed
Board	the state of the s	board member and I have reviewed and approve the application for exemption from audit.
Member 3		Signed Date:
		term Expires:
TELEVISION CONTRACTOR OF THE SECOND	Print Board Members Name	
	Print board Wembers Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption
Board Member		lfrom audit.
4		Signed Date:
		term Expires: My
MARKETERING	Print Board Members Name	attest   am a duly elected or appointed
Board	with the distribution of the contract of the c	board member and I have reviewed and approve the application for exemption from audit.
Member 5		Signed Date:
ə		<u></u>
		term Expires:
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption
Member		from audit. Signed Date:
6		My
		term Expires:
	Print Board Members Name	, attest I am a duly elected or appointed
Board		board member and I have reviewed and approve the application for exemption from audit.
Member 7		Signed Date:
'		term Expires: My
		ιναιι Ελρινο,

# APPLICATION FOR EXEMPTION FROM AUDIT - SHORT FORM - FOR GOVERNMENTS WITH REVENUES AND EXPENDITURES OF \$100,000 OR LESS

Name of Government:	DAWSON RIDGE METROPOLITAN DISTRICT NO. 5	For the Fiscal Year
Address:	C/O PINNACLE CONSULTING GROUP, INC	Ended December 31, 2012
	5110 GRANITE STREET, SUITE C	or fiscal year ended:
	LOVELAND, CO 80538	
Contact Person:	BRENDAN CAMPBELL, CPA	
Telephone:	(970) 669-3611	
Email:	BRENDANC@PINNACLECONSULTINGGROUPINC.COM	
Fax:	(970) 669-3612	

Return to: Office of the State Auditor

Local Government Audit Division 225 E. 16th Ave., Suite 555 Denver, CO 80203 Fax: 303-866-4062

Email: OSA.LG@state.co.us

Call (303) 866-3338 if you need help completing this form.

PLEASE READ THE ABOVE INSTRUCTIONS BEFORE SUBMITTING

Section 29-1-604, C.R.S., outlines the provisions for an exemption from audit. Generally, any local government for which neither revenues nor expenditures exceed \$500,000 in any fiscal year may qualify for an exemption. If either revenues or expenditures are \$100,000 or greater, but not more than \$500,000, you may NOT use this form. Please use the LONG FORM of this application. If both revenues and expenditures are less than \$100,000 individually, use this short form application for exemption from audit.

Please review ALL instructions prior to the completion of this form.

#### Instructions:

- 1. Prepare this form completely and accurately. Please note that there are 11 parts to this form, and all questions must be answered for the application to be considered complete.
- File this form with the Office of the State Auditor within 3 months after the end of the fiscal year.
   For years ended December 31, the form <u>must</u> be <u>received</u> by the Office of the State Auditor by March 31.
- 3. The form must be completed by a person skilled in governmental accounting.
- 4. The application must be personally reviewed and approved by a majority of the governing body as evidenced by one of the following methods:
  - a. Resolution of the governing body application may be emailed, faxed, or mailed.
  - b. Original signatures application must be mailed. Email or fax will NOT be accepted.
- 5. The preparer must sign the application that is submitted in order for it to be accepted.
- 6. Additional information may be attached to the exemption at the preparer's discretion,

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#### Preparer Signature (Required): The application will be rejected if not signed by the preparer.

Please indicate whether the following financial information is recorded using Governmental or Proprietary fund types

Governmental Proprietary

X

	PART 2 - REVENUE	
	REVENUE: All revenues for all funds must be reflected in this section, including proceeds from equipment, and proceeds from debt or lease transactions. Financial information will not include	
Line#	Description	(Omit cents)
2-1	Taxes: Property	\$ 2
2-2	Specific ownership	-
2-3	Sales and use	\$ -
2-4	Other (specify):	\$ -
2-5	Licenses and permits	\$ -
2-6	Intergovernmental: Grants	
2-7	Conservation Trust Funds (Lottery)	\$ -
2-8	Highway Users Tax Funds (HUTF)	\$ -
2-9	Other (specify):	\$
2-10	Charges for services	\$ 18,930
2-11	Fines and forfeits	\$ -
2-12	Special assessments	\$ -
2-13	Investment income	\$ · 12
2-14	Charges for utility services	
2-15	Debt proceeds (should agree with line 4-3, column 2)	\$ -
2-16	Lease proceeds	
2-17	Developer Advances received (should agree with line 4-3)	\$
2-18	Proceeds from sale of capital assets	\$
2-19	Fire and police pension	\$ -
2-20	Donations	\$ -
2-21	Other (specify):	\$ -
2-22		\$ -
2-23		\$ -
2-24	(add lines 2-1 through 2-23) TOTAL REVENUE all sources	\$ 18,944

-	PART 3 - EXPENDITURES	
	EXPENDITURES: All expenditures for all funds must be reflected in this section, including the propagation of long-term debt. Financial information will not include fund equity information.	urchase of capital assets and principal and interest
Line#	Description	(Omit cents)
3-1	Administrative	\$ 3,995
3-2	Salaries	\$ -
3-3	Payroll taxes	\$
3-4	Contract services	\$
3-5	Employee benefits	\$
3-6	Insurance	\$ 3,621
3-7	Accounting and legal fees	\$ 5,403
3-8	Repair and maintenance	\$ -
3-9	Supplies	\$
3-10	Utilities and telephone	\$
3-11	Fire/Police	\$
3-12	Streets and highways	\$
3-13	Public health	\$ -
3-14	Culture and recreation	\$ -
3-15	Utility operations	\$
3-16	Capital outlay (should agree with line 6-1, column 2)	\$
3-17	Debt service principal (should agree with line 4-3, column 2)	\$
3-18	Debt service interest	\$ -
3-19	Repayment of Developer Advances (should agree with line 4-3)	\$
3-20	Contribution to pension plan (should agree to line 7-2)	\$
3-21	Contribution to Fire & Police Pension Assoc. (should agree to line 7-2)	\$ -
3-22	Other (specify):	\$
3-23	OFFICE, DUES AND OTHER	\$ 954
3-24		\$
3-25	(add lines 3-1 through 3-24) TOTAL EXPENDITURES all categories	\$ 13,973

Note: If Total Revenue (Line 2-24) or Total Expenditures (Line 3-25) are greater than \$100,000 - STOP. You may not use this form. Please use the "Application for Exemption from Audit - Long Form".

KWEYEN	Please answer the following questions by marking			RETIRE		Yes	gara e	No
4-1						100 (	1 1 1	X
4-1	Is the debt repayment schedule attached? If no, please explain:		· · · · · · · · · · · · · · · · · · ·					N/A
	15 the dept repayment sofiedure attached? If no, please explain.				<del></del>		mm	TITITITITI
4-2	Is the entity current in its debt service payments? If no, please expla	ain:				***********		N/A
					<u>-</u>		מולוונו	mmm
4-3	Please complete the following debt schedule, if applicable:							
	(please only include principal amounts)	Outstandi	ng at	Issued (	during	Retired du	ring   O	utstanding
	(please only include principal amounts)	end of prio	ryear	fiscal	year	fiscal yea	ar fis	cal year-
	General obligation bonds	\$	-	\$	-	\$	- \$	
	Revenue bonds	\$		\$	-	\$	- \$	
	Notes/Loans	\$		\$	- 1	\$ .	- \$	
	Leases	\$	-	\$		\$	- \$	
	Developer Advances	\$		\$		\$	- \$	
	Other (specify):	\$		\$		\$	- \$	
	Total:	φ •		\$		\$ .	- \$	
eren e		into hovoo			4443438	Yes		No
		ate noves.						NO,
4-4	Does the entity have any authorized, but unissued, debt?	1 6		20.000	200.00	mmx	mm	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
f yes:	How much?	\$	DE 101	20,300,	טט,טטר	<i>\}}}}!</i> }	//////	///////////////////////////////////////
	Date the debt was authorized:	1	05/02	4/00	8			
4-5	Does the entity intend to issue debt within the next calendar year (20	012)?						X
yes:	How much?	\$			- 6			
No (8) (	<ul> <li>Considerant of the first of the constitution of the c</li></ul>	in a will read after the	0.55	ring ya dhekin	7 (3 N.)	AND SECURE		1968 N. S. S. S. S. S.
	Please answer the following questions by marking the appropri			5 15 55 11 <sub>44</sub> 41	*** V3 (1.4)	Yes	3333	No
4-6	Does the entity have debt that has been refinanced that it is still resp					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	*****	X
yes:	What is the amount outstanding?	\$			- 7			
	Please answer the following questions by marking the appropri							
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4-7		ate boxes.	eris mert jagti	् क्राप्त्रं संस्कृतिहास	100 E	Yes	30 E	No X
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4-7 f yes: 4-8 5-1 5-2 5-3 6-4 5-5 5-6 5-7	Does the entity have any lease agreements?  What is being leased?  What is the original date of the lease?  Number of years of lease?  Is the lease subject to annual appropriation?  What are the annual lease payments?  Please use this space to provide any explanations or comments  IN REFERENCE TO Q 4-5, THE DISTRICT DOES NOT INTEND  PART 5 - CASH AND  Please provide the entity's cash deposit and investment balanc  Checking accounts  Savings accounts  Certificates of deposit  Total Cash Deposits  Investments (if investment is a mutual fund, please list underlying in  Total Investments  Total Cash and Investments  Please answer the following question by marking in the approp  Are the entity's deposits in an eligible (Public Deposit Protection Act 101, et seq. C.R.S.)? If no, please explain:	\$ s:  OTO ISSUE [INVESTME es. vestments):  riate box ) public depo	DEBT VENTS	VITHIN TI	IE NEX	CALENDA  ***  **  **  **  **  **  **  **  **	AR YE/	X N/A N/A 2013 30,7 30,8
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4-7 f yes: 4-8 5-1 5-2 5-3 6-4 5-5 5-6 5-7	Does the entity have any lease agreements?  What is being leased?  What is the original date of the lease?  Number of years of lease?  Is the lease subject to annual appropriation?  What are the annual lease payments?  Please use this space to provide any explanations or comments  IN REFERENCE TO Q 4-5, THE DISTRICT DOES NOT INTEND  PART 5 - CASH AND  Please provide the entity's cash deposit and investment balanc  Checking accounts  Savings accounts  Certificates of deposit  Total Cash Deposits  Investments (if investment is a mutual fund, please list underlying in  Total Investments  Total Cash and Investments  Please answer the following question by marking in the approp  Are the entity's deposits in an eligible (Public Deposit Protection Act 101, et seq. C.R.S.)? If no, please explain:	\$ s:  OTO ISSUE [INVESTME es. vestments):  riate box ) public depo	DEBT VENTS	VITHIN TI	IE NEX	CALENDA  ***  **  **  **  **  **  **  **  **	AR YE/	X N/A N/A 2013 30,7 30,8
4-7 f yes: 4-8 5-1 5-2 5-3 6-4 5-5 5-6 5-7	Does the entity have any lease agreements?  What is being leased?  What is the original date of the lease?  Number of years of lease?  Is the lease subject to annual appropriation?  What are the annual lease payments?  Please use this space to provide any explanations or comments  IN REFERENCE TO Q 4-5, THE DISTRICT DOES NOT INTEND  PART 5 - CASH AND  Please provide the entity's cash deposit and investment balanc  Checking accounts  Savings accounts  Certificates of deposit  Total Cash Deposits  Investments (if investment is a mutual fund, please list underlying in  Total Investments  Total Cash and Investments  Please answer the following question by marking in the approp  Are the entity's deposits in an eligible (Public Deposit Protection Act 101, et seq. C.R.S.)? If no, please explain:	\$ s:  OTO ISSUE [INVESTME es. vestments):  riate box ) public depo	DEBT VENTS	VITHIN TI	IE NEX	CALENDA  ***  **  **  **  **  **  **  **  **	AR YE/	X N/A N/A 2013 30,7 30,8

	Please answer the following questions by marking in the approp	Yes	No		
6-1	Does the entity have land, buildings, and/or equipment?				Х
If yes:	Has the entity performed an annual inventory of property and equipment with Section 29-1-506, C.R.S.,? If no, please explain:		N/A		
	Occupied to the fall and a Label		<del>-γ</del>		
	Complete the following table:	Balance - beginning of the Addition year		Deletions	Year-End Balance
	Land	\$ -	† <del>\$ -</del>	\$ -	\$ -
	Bulldings	\$ -	\$ -	\$ -	\$ -
	Machinery and equipment	\$ -	\$ -	\$ -	\$ -
	Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
	Construction In Progress (CIP)	\$ -	\$ -	\$ -	\$ -
	Other (explain):	\$	\$ -	\$ -	\$ -
	Accumulated Depreciation	6			
	Total	\$ -	\$ -	\$ -	\$ -
	Please use this space to provide any explanations or comments	\$ -	\$ 	\$	\$
	riease use this space to provide any explanations of comments	i .	<i>XIIIIIIIIIII</i>		
	PART 7 - PENSION IN	IFORMATION	- 44.		
NEW.	Please answer the following questions by marking in the approp	riate boxes.		Yes	No
7-1	Does the entity have an "old hire" firemen's pension plan?	-			X
7-2	Does the entity have a volunteer firemen's pension plan?				Х
yes:	Who administers the plan?				
	Indicate the contributions from:				
	Tax (property, SO, sales, etc.):		<u> </u>		
	State contribution amount:		\$ -		
	Other (gifts, donations, etc.):		\$ -	<i>\}}}}}</i>	
	Total: \$ -				
	What is the monthly benefit paid for 20 years of service per retire				
		e as of Jan 17		<i>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</i>	
	Please use this space to provide any explanations or comments:		\$ -		
7-3					
7-3					
7-3	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN	FORMATION	\$ -		
	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp	FORMATION riate boxes.	\$ -	Yes	No S
7-3 8-1	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN	FORMATION riate boxes.	\$ -	Yes	No S
	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp	FORMATION riate boxes.	\$ -		No S
	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp	FORMATION riate boxes.	\$ -		No S
8-1	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp  Did the entity file a 2012 budget with the Department of Local Affairs?	FORMATION riate boxes.	\$ -		No S
	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp	FORMATION riate boxes.	\$ -		No
8-1	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp  Did the entity file a 2012 budget with the Department of Local Affairs?	FORMATION riate boxes.	\$ -		No S
8-1	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp  Did the entity file a 2012 budget with the Department of Local Affairs?	FORMATION riate boxes.	\$ -		No
8-1	PART 8 - BUDGET IN  Please answer the following questions by marking in the approp  Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain	FORMATION riate boxes.	\$ -		No No
8-1	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp  Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain  Please indicate the amount appropriated for each fund for 2012:	FORMATION riate boxes. If no, please ex	plain:		No No
8-1	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp  Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain  Please indicate the amount appropriated for each fund for 2012:  Fund Name	FORMATION riate boxes. If no, please ex	plain:		No
8-1	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp  Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain  Please indicate the amount appropriated for each fund for 2012:	FORMATION riate boxes. If no, please ex	plain:		No
8-1	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp  Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain  Please indicate the amount appropriated for each fund for 2012:  Fund Name  GENERAL FUND	FORMATION riate boxes.  If no, please example in the control of th	plain:		No No
8-1	Please use this space to provide any explanations or comments:  PART 8 - BUDGET IN  Please answer the following questions by marking in the approp  Did the entity file a 2012 budget with the Department of Local Affairs?  Did the entity pass an appropriations resolution? In no, please explain  Please indicate the amount appropriated for each fund for 2012:  Fund Name	FORMATION riate boxes.  If no, please example in the control of th	plain:		No S

	PART 9 - TAX PAYER'S BILL OF RIGHTS (TABOR)		
19.45 Personal A.	Please answer the following question by marking in the appropriate box	Yes	No state
9-1	Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?	Х	
	Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.		
	Please use this space to provide any explanations or comments:		
9-2			

	PART 10 - GENERAL INFORMATION		
Att At Att Att Att	Please answer the following questions by marking in the appropriate boxes.	Yes	No
10-1	Is this application for a newly formed governmental entity?		х
If yes:	Date of formation:		
10-2	Has the entity changed its name in the past or current year?		X
If Yes:	Please list the NEW name & PRIOR name:		
10-3	Is the entity a metropolitan district?	X	
10-4	Please indicate what services the entity provides:		
	WATER, IRRIGATION, SANITATION, DRAINAGE, STREETS, TRAFFIC & SAFETY CONTROLS, TRANSPORTATION, PARKS AND RECREATION.		
10-5	Does the entity have an agreement with another government to provide services?		X
If yes:	List the name of the other governmental entity and the services provided:		
	ALL SERVICES ARE PROVIDED FOR DAWSON RIDGE METROPOLITAN DISTRICT NO. 5.		
10-6	Has the district filed a <i>Title 32</i> , <i>Article 1 Special District Notice of Inactive Status</i> during the year? [Applicable to Title 32 special districts only, pursuant to Sections 32-1-103 (9.3) and 32-1-104 (3), C.R.S.]		X
If yes:	Date Filed:		
10-7	Please use this space to provide any explanations or comments:		

Below is the certification and approval of the governing board. By signing the board member is certifying they are a duly elected or appointed officer of the local government, Governing board members may be verified. Also by signing, the board member certifies that this Application for Exemption from Audit has been prepared consistent with Section 29-1-604, C.R.S., which states that a governmental agency with revenue and expenditures of \$100,000 or less must have an application prepared by a person skilled in governmental accounting; completed to the best of their knowledge and is accurate and true. Use additional pages if needed.

	Print the names of all current	A MAJORITY of the governing board members must complete and sign in the column
Board Member	Print Board Members Name THOMAS J. MANCUSO	I Thomas T. MAN CUSO, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.  Signed Date:
1	1) TOWNS OF WINTERSON	term Expires: MAY 2014
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
Member 2		Signed Date:  My term Expires:
Board Member	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
3		Signed Date: . My term Expires:
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
Member 4		Signed Date:  My term Expires:
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
Member 5		Signed Date:  My term Expires:
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
Member 6		Signed Date:  My term Expires:
Board	Print Board Members Name	I, attest I am a duly elected or appointed board member and I have reviewed and approve the application for exemption from audit.
Member 7		Signed Date:  My term Expires:

#### 2013 Budgets

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

January 17, 2013

Via email: dlg-filing@state.co.us

Mr. Jarrod Biggs Colorado Division of Local Government 1313 Sherman Street, Room 521 Denver, CO 80203

RE: Dawson Ridge Metropolitan District No. 1 Budget for 2013

Dear Mr. Biggs:

Attached are true and accurate copies of the adopted 2013 budget for the Dawson Ridge Metropolitan District No. 1 in Douglas County, Colorado, submitted pursuant to <u>C.R.S.</u> Section 29-1-113. The budget was adopted on November 7, 2012.

If there are any questions, please contact our office at (970) 669-3611.

Sincerely,
DAWSON RIDGE METROPOLITAN DISTRICT NO. 1

Omandos La Castel

Pinnacle Consulting Group Inc. Accounting Manager



# BOARD OF DIRECTORS DAWSON RIDGE METROPOLITAN DISTRICT NO. 1

I have compiled the statements of revenues and expenditures for the year ended December 31, 2011 and the eight months ended August 31, 2012 for Dawson Ridge Metropolitan District No. 1. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

I have also compiled the accompanying adopted budgets of revenue, expenditures and funds available prepared on the modified accrual basis of the Dawson Ridge Metropolitan District No. 1 for the year ending December 31, 2013 in accordance with standards established by the American Institute of Certified Public Accountants.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

My responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the District's financial position and results of operations. Accordingly, the financial statements are not designed for those who are not informed about such matters.

I am not independent with respect to the Dawson Ridge Metropolitan District No. 1.

Brendan Campbell, CPA

## <u>DAWSON RIDGE METROPOLITAN DISTRICT NO. 1</u> <u>2013 BUDGET MESSAGE</u>

Dawson Ridge Metropolitan District No. 1 is a quasi-municipal corporation organized and operated pursuant to provisions set forth in the Colorado Special District Act. The District was established in August 1985. The District was established as part of a "Multiple District Structure" along with District Nos. 2-5. The District was organized to provide the acquisition, construction and installation of streets, traffic safety controls, street lighting, water, landscaping, storm drainage, and park and recreation facilities. The District will dedicate and transfer the majority of facilities to the Town of Castle Rock for maintenance and operation.

The District has no employees at this time and all operations and administrative functions are contracted.

The budget is prepared on the modified accrual basis of accounting, which is consistent with the basis of accounting used in presenting the District's financial statements.

## 2013 BUDGET STRATEGY

The District's strategy in preparing the 2013 budget is to strive to provide the level of streets, water, landscaping, and park and recreation facilities as desired by the constituents of the District in the most economic manner possible.

#### General Fund

The District has budgeted \$3,556 in property taxes, \$213 in specific ownership taxes, \$10,500 in billboard revenue and \$500 in interest and other revenue for a combined total of \$14,769. The District's General Fund expenditures are budgeted at \$14,769, consisting largely of payment to District No. 5 which is operating as the service district.

#### Reserves

The District transfers all of its revenue to Dawson Ridge Metropolitan District No. 5 as provided for in an intergovernmental agreement between Dawson Ridge Metropolitan District Nos. 1-5. Therefore, no emergency reserve has been provided for in Dawson Ridge Metropolitan District No. 1. The emergency reserve related to this District is held in Dawson Ridge Metropolitan District No. 5.

DAWSON RIDGE METROPOLITAN DIST					
STATEMENT OF REVENUES & EXPEND	DITURES WITH E	UDGETS		17-Jan-13	
December 31, 2011 Actual, 2012 Adopte	ed Budget and P	rojected Actu	ial,		
Year-to-date Actual, Budget and Varian	ce through Aug	ust 31, 2012			
2013 Adopted Budget		1			
			Modified Accr	ual Budgetary	Basis
		<u> </u>			
DISTRICT NO. 1	2011	2012	2012	Actual	2013
GENERAL FUND	Unaudited	Adopted	Projected	Through	Adopted
Revenues	<u>Actual</u>	Budget	Budget	8/31/2012	Budget
Property Taxes	\$4,037	\$4,158	\$4,158	\$4,158	\$3,556
Specific Ownership Taxes	267	249	249	212	213
Billboard Revenue	10,500	7,500	10,500	10,500	10,500
Interest & Other	0	500	500	8	500
Total Revenues	\$14,803	\$12,407	\$15,407	\$14,878	\$14,769
Expenditures				, , , , , , , , , , , , , , , , , , , ,	
Payment for Services to No. 5	\$14,743	\$11,845	\$14,845	\$14,815	\$14,216
Treasurer's Fees	61	62	62	62	53
Contingency	0	500	500	0	500
Total Operating Expenditures	\$14,803	\$12,407	\$15,407	\$14,878	\$14,769
Revenues over/(under) Exp	\$0	\$0	\$0	\$0	\$0
Beginning Fund Balance	0	0	0	0	
				V	0
Ending Fund Balance	\$0	\$0	\$0	\$0	\$0
See Accompanying Accountant's Report					· · · · · · · · · · · · · · · · · · ·

January 17, 2013

Via email: dig-filing@state.co.us

Mr. Jarrod Biggs Colorado Division of Local Government 1313 Sherman Street, Room 521 Denver, CO 80203

RE: Dawson Ridge Metropolitan District No. 2 Budget for 2013

Dear Mr. Biggs:

Attached are true and accurate copies of the adopted 2013 budget for the Dawson Ridge Metropolitan District No. 2 in Douglas County, Colorado, submitted pursuant to <u>C.R.S.</u> Section 29-1-113. The budget was adopted on November 7, 2012.

If there are any questions, please contact our office at (970) 669-3611.

Sincerely,

DAWSON RIDGE METROPOLITAN DISTRICT NO. 2

Pinnacle Consulting Group Inc.

Amanda Spe Carter

Accounting Manager



## BOARD OF DIRECTORS DAWSON RIDGE METROPOLITAN DISTRICT NO. 2

I have compiled the statements of revenues and expenditures for the year ended December 31, 2011 and the eight months ended August 31, 2012 for Dawson Ridge Metropolitan District No. 2. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

I have also compiled the accompanying adopted budgets of revenue, expenditures and funds available prepared on the modified accrual basis of the Dawson Ridge Metropolitan District No. 2 for the year ending December 31, 2013 in accordance with standards established by the American Institute of Certified Public Accountants.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

My responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the District's financial position and results of operations. Accordingly, the financial statements are not designed for those who are not informed about such matters.

I am not independent with respect to the Dawson Ridge Metropolitan District No. 2.

Brendan Campbell, CPA

## <u>DAWSON RIDGE METROPOLITAN DISTRICT NO. 2</u> 2013 BUDGET MESSAGE

Dawson Ridge Metropolitan District No. 2 is a quasi-municipal corporation organized and operated pursuant to provisions set forth in the Colorado Special District Act. The District was established in August 1985. The District was established as part of a "Multiple District Structure" along with District Nos. 1, 3, 4 and 5. The District was organized to provide the acquisition, construction and installation of streets, traffic safety controls, street lighting, water, landscaping, storm drainage, and park and recreation facilities. The District will dedicate and transfer the majority of facilities to the Town of Castle Rock for maintenance and operation.

The District has no employees at this time and all operations and administrative functions are contracted.

The budget is prepared on the modified accrual basis of accounting, which is consistent with the basis of accounting used in presenting the District's financial statements.

### 2013 BUDGET STRATEGY

The District's strategy in preparing the 2013 budget is to strive to provide the level of streets, water, landscaping, and park and recreation facilities as desired by the constituents of the District in the most economic manner possible.

### General Fund

The District has budgeted \$241 in property taxes, \$14 in specific ownership taxes and \$100 in interest and other revenue for a combined total of \$355. The District's General Fund expenditures are budgeted at \$355, consisting largely of payment to District No. 5 which is operating as the service district.

#### Reserves

The District transfers all of its revenue to Dawson Ridge Metropolitan District No. 5 as provided for in an intergovernmental agreement between Dawson Ridge Metropolitan District Nos. 1-5. Therefore, no emergency reserve has been provided for in Dawson Ridge Metropolitan District No. 2. The emergency reserve related to this District is held in Dawson Ridge Metropolitan District No. 5.

DAWSON RIDGE METROPOLITAN DISTRI					
STATEMENT OF REVENUES & EXPENDIT	URES WITH E	UDGETS		17-Jan-13	
December 31, 2011 Actual, 2012 Adopted			ial,		
Year-to-date Actual, Budget and Variance	through Augi	ust 31, 2012			
2013 Adopted Budget					***************************************
			Modified Accr	ual Budgetary	Basis
DISTRICT NO. 2	2011	2012	2012	Actual	2013
GENERAL FUND	Unaudited	Adopted	Projected	Through	Adopted
Revenues	Actual	Budget	Budget	8/31/2012	Budget
Property Taxes	\$189	\$206	\$206	\$206	\$241
Specific Ownership Taxes	12	12	. 12	10	14
Interest & Other	0	100	100	2	100
Total Revenues	\$202	\$318	\$318	\$218	\$355
Expenditures				<u> </u>	
Payment for Services to No. 5	\$199	\$215	\$215	\$215	\$252
Treasurer's Fees	3	3	3	3	4
Contingency	0	100	100	0	100
Total Operating Expenditures	\$202	\$318	\$318	\$218	\$355
Revenues over/(under) Exp	\$0	\$0	\$0	\$0	\$0
Beginning Fund Balance	0	Ó	0	0	0
Ending Fund Balance	\$0	\$0	\$0	\$0	\$0
See Accompanying Accountant's Report					

January 17, 2013

Via email: dig-filing@state.co.us

Mr. Jarrod Biggs Colorado Division of Local Government 1313 Sherman Street, Room 521 Denver, CO 80203

RE: Dawson Ridge Metropolitan District No. 3 Budget for 2013

Dear Mr. Biggs:

Attached are true and accurate copies of the adopted 2013 budget for the Dawson Ridge Metropolitan District No. 3 in Douglas County, Colorado, submitted pursuant to <u>C.R.S.</u> Section 29-1-113. The budget was adopted on November 7, 2012.

If there are any questions, please contact our office at (970) 669-3611.

Sincerely, DAWSON RIDGE METROPOLITAN DISTRICT NO. 3

Pinnacle Consulting Group Inc.

Amunda Hee Caster

Accounting Manager



# BOARD OF DIRECTORS DAWSON RIDGE METROPOLITAN DISTRICT NO. 3

I have compiled the statements of revenues and expenditures for the year ended December 31, 2011 and the eight months ended August 31, 2012 for Dawson Ridge Metropolitan District No. 3. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

I have also compiled the accompanying adopted budgets of revenue, expenditures and funds available prepared on the modified accrual basis of the Dawson Ridge Metropolitan District No. 3 for the year ending December 31, 2013 in accordance with standards established by the American Institute of Certified Public Accountants.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

My responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the District's financial position and results of operations. Accordingly, the financial statements are not designed for those who are not informed about such matters.

I am not independent with respect to the Dawson Ridge Metropolitan District No. 3.

Brendan Campbell, CPA

## <u>DAWSON RIDGE METROPOLITAN DISTRICT NO. 3</u> 2013 BUDGET MESSAGE

Dawson Ridge Metropolitan District No. 3 is a quasi-municipal corporation organized and operated pursuant to provisions set forth in the Colorado Special District Act. The District was established in August 1985. The District was established as part of a "Multiple District Structure" along with District Nos. 1, 2, 4 and 5. The District was organized to provide the acquisition, construction and installation of streets, traffic safety controls, street lighting, water, landscaping, storm drainage, and park and recreation facilities. The District will dedicate and transfer the majority of facilities to the Town of Castle Rock for maintenance and operation.

The District has no employees at this time and all operations and administrative functions are contracted.

The budget is prepared on the modified accrual basis of accounting, which is consistent with the basis of accounting used in presenting the District's financial statements.

## **2013 BUDGET STRATEGY**

The District's strategy in preparing the 2013 budget is to strive to provide the level of streets, water, landscaping, and park and recreation facilities as desired by the constituents of the District in the most economic manner possible.

### General Fund

The District has budgeted \$2,959 in property taxes, \$178 in specific ownership taxes and \$500 in interest and other revenue for a combined total of \$3,637. The District's General Fund expenditures are budgeted at \$3,637, consisting largely of payment to District No. 5 which is operating as the service district.

#### Reserves

The District transfers all of its revenue to Dawson Ridge Metropolitan District No. 5 as provided for in an intergovernmental agreement between Dawson Ridge Metropolitan District Nos. 1-5. Therefore, no emergency reserve has been provided for in Dawson Ridge Metropolitan District No. 3. The emergency reserve related to this District is held in Dawson Ridge Metropolitan District No. 5.

DAWSON RIDGE METROPOLITAN DIS	TRICTS NO. 3			I			
STATEMENT OF REVENUES & EXPEN	DITURES WITH E	UDGETS		17-Jan-13	innie		
December 31, 2011 Actual, 2012 Adopted	ed Budget and P	rojected Act	ual.				
Year-to-date Actual, Budget and Varian	ice through Augi	ust 31, 2012	1				
2013 Adopted Budget							
			1		· · · · · · · · · · · · · · · · · · ·		
		19114	Modified Acci	d Accrual Budgetary Basis			
DISTRICT NO. 3	2011	2012	2012	Actual	2013		
GENERAL FUND	Unaudited	Adopted	Projected	Through	Adopted		
Revenues	Actual	Budget	Budget	8/31/2012	Budget		
Property Texes	\$3,153	\$3,352	\$3,352	\$3,352	\$2,959		
Specific Ownership Taxes	209	201	201	171	178		
Interest & Other	13	500	500	5	500		
Total Revenues	\$3,375	\$4,053	\$4,053	\$3,527	\$3,637		
Expenditures							
Payment for Services to No. 5	\$3,327	\$3,503	\$3,503	\$3,477	\$3,092		
Treasurer's Fees	48	50	50	50	44		
Contingency	0	500	500	0	500		
Total Operating Expenditures	\$3,375	\$4,063	\$4,053	\$3,527	\$3,637		
Revenues over/(under) Exp	\$0	\$0	\$0	\$0	\$0		
Beginning Fund Balance	0	0	0	0	Ü		
Ending Fund Balance	\$0	\$0	\$0	\$0	\$0		
See Accompanying Accountant's Report					-		

January 17, 2013

Via email: dlg-filing@state.co.us

Mr. Jarrod Biggs Colorado Division of Local Government 1313 Sherman Street, Room 521 Denver, CO 80203

RE: Dawson Ridge Metropolitan District No. 4 Budget for 2013

Dear Mr. Biggs:

Attached are true and accurate copies of the adopted 2013 budget for the Dawson Ridge Metropolitan District No. 4 in Douglas County, Colorado, submitted pursuant to <u>C.R.S.</u> Section 29-1-113. The budget was adopted on November 7, 2012.

If there are any questions, please contact our office at (970) 669-3611.

Sincerely,

DAWSON RIDGE METROPOLITAN DISTRICT NO. 4

Pinnacle Consulting Group Inc.

Amunda Ku Custer

Accounting Manager



## BOARD OF DIRECTORS DAWSON RIDGE METROPOLITAN DISTRICT NO. 4

I have compiled the statements of revenues and expenditures for the year ended December 31, 2011 and the eight months ended August 31, 2012 for Dawson Ridge Metropolitan District No. 4. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

I have also compiled the accompanying adopted budgets of revenue, expenditures and funds available prepared on the modified accrual basis of the Dawson Ridge Metropolitan District No. 4 for the year ending December 31, 2013 in accordance with standards established by the American Institute of Certified Public Accountants.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

My responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the District's financial position and results of operations. Accordingly, the financial statements are not designed for those who are not informed about such matters.

I am not independent with respect to the Dawson Ridge Metropolitan District No. 4.

Brendan Campbell, CPA

## <u>DAWSON RIDGE METROPOLITAN DISTRICT NO. 4</u> 2013 BUDGET MESSAGE

Dawson Ridge Metropolitan District No. 4 is a quasi-municipal corporation organized and operated pursuant to provisions set forth in the Colorado Special District Act. The District was established in August 1985. The District was established as part of a "Multiple District Structure" along with District Nos. 1, 2, 3 and 5. The District was organized to provide the acquisition, construction and installation of streets, traffic safety controls, street lighting, water, landscaping, storm drainage, and park and recreation facilities. The District will dedicate and transfer the majority of facilities to the Town of Castle Rock for maintenance and operation.

The District has no employees at this time and all operations and administrative functions are contracted.

The budget is prepared on the modified accrual basis of accounting, which is consistent with the basis of accounting used in presenting the District's financial statements.

### 2013 BUDGET STRATEGY

The District's strategy in preparing the 2013 budget is to strive to provide the level of streets, water, landscaping, and park and recreation facilities as desired by the constituents of the District in the most economic manner possible.

#### General Fund

The District has budgeted \$227 in property taxes, \$14 in specific ownership taxes and \$100 in interest and other revenue, for a combined total of \$341. The District's General Fund expenditures are budgeted at \$341, consisting largely of payment to District No. 5 which is operating as the service district.

#### Reserves

The District transfers all of its revenue to Dawson Ridge Metropolitan District No. 5 as provided for in an intergovernmental agreement between Dawson Ridge Metropolitan District Nos. 1-5. Therefore, no emergency reserve has been provided for in Dawson Ridge Metropolitan District No. 4. The emergency reserve related to this District is held in Dawson Ridge Metropolitan District No. 5.

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DAWSON RIDGE METROPOLITAN DIS						
STATEMENT OF REVENUES & EXPENDITURES WITH BUDGETS				17-Jan-13		
December 31, 2011 Actual, 2012 Adopted	ed Budget and P	rojected Actu	ıal,		· · · · · · · · · · · · · · · · · · ·	
Year-to-date Actual, Budget and Varian	ice through Augi	ıst 31, 2012			·	
2013 Adopted Budget					· · · · · · · · · · · · · · · · · · ·	
			Modified Accrual Budgetary Basis			
DISTRICT NO. 4	2011	2012	2012	Actual	2013	
GENERAL FUND	Unaudited	Adopted	Projected	Through	Adopted	
Revenues	Actua	Budget	Budget	8/31/2012	Budget	
Property Taxes	\$196	\$209	\$209	\$208	\$227	
Specific Ownership Taxes	13	13	13	11	14	
Interest & Other	0	100	100	1	100	
Total Revenues	\$209	\$322	\$322	\$220	\$341	
Expenditures						
Payment for Services to No. 5	\$206	\$219	\$219	\$217	\$237	
Treasurer's Fees	3	3	3	3	3	
Contingency	0	100	100	0	100	
Total Operating Expenditures	\$209	\$322	\$322	\$220	\$341	
Revenues over/(under) Exp	\$0	\$0	\$0	\$0	\$0	
Beginning Fund Balance	0	0	0	0	0	
Ending Fund Balance	\$0	\$0	\$0	\$0	\$0	
See Accompanying Accountant's Report						

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January 17, 2013

Via email: dlg-filing@state.co.us

Mr. Jarrod Biggs Colorado Division of Local Government 1313 Sherman Street, Room 521 Denver, CO 80203

RE: Dawson Ridge Metropolitan District No. 5 Budget for 2013

Dear Mr. Biggs:

Attached are true and accurate copies of the adopted 2013 budget for the Dawson Ridge Metropolitan District No. 5 in Douglas County, Colorado, submitted pursuant to <u>C.R.S.</u> Section 29-1-113. The budget was adopted on November 7, 2012.

If there are any questions, please contact our office at (970) 669-3611.

Sincerely,

DAWSON RIDGE METROPOLITAN DISTRICT NO. 5

Pinnacle Consulting Group Inc.

Amande Kur Caster

Accounting Manager

Enc.

Phone: (970) 669-3611 \* Fax: (970) 669-3612



## BOARD OF DIRECTORS DAWSON RIDGE METROPOLITAN DISTRICT NO.5

I have compiled the statements of revenues and expenditures for the year ended December 31, 2011 and the eight menths ended August 31, 2012 for Dawson Ridge Metropolitan District No. 5. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

I have also compiled the accompanying adopted budgets of revenue, expenditures and funds available prepared on the modified accrual basis of the Dawson Ridge Metropolitan District No. 5 for the year ending December 31, 2013 in accordance with standards established by the American Institute of Certified Public Accountants.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

My responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the District's financial position and results of operations. Accordingly, the financial statements are not designed for those who are not informed about such matters.

I am not independent with respect to the Dawson Ridge Metropolitan District No. 5.

Brendan Campbell, CPA

## <u>DAWSON RIDGE METROPOLITAN DISTRICT NO. 5</u> 2013 BUDGET MESSAGE

Dawson Ridge Metropolitan District No. 5 is a quasi-municipal corporation organized and operated pursuant to provisions set forth in the Colorado Special District Act. The District was established in August 1985. The District was established as part of a "Multiple District Structure" along with District Nos. 1-4. The District was organized to provide the acquisition, construction and installation of streets, traffic safety controls, street lighting, water, landscaping, storm drainage, and park and recreation facilities. The District will dedicate and transfer the majority of facilities to the Town of Castle Rock for maintenance and operation.

The District has no employees at this time and all operations and administrative functions are contracted.

The budget is prepared on the modified accrual basis of accounting, which is consistent with the basis of accounting used in presenting the District's financial statements.

### 2013 BUDGET STRATEGY

The District's strategy in preparing the 2013 budget is to strive to provide the level of streets, water, landscaping, and park and recreation facilities as desired by the constituents of the District in the most economic manner possible.

#### General Fund

The District has budgeted \$2 in property taxes, \$17, 797 in service fees from District Nos. 1-4, and \$45 in interest and other revenue for a combined total of \$17,844. The District's General Fund expenditures consist of administrative and operations costs of \$13,028.

#### Reserves

The District has provided for an emergency reserve fund equal to at least 3% of the fiscal year spending for 2012, as defined under TABOR and holds the TABOR reserve for District Nos. 1-5.

DAWSON RIDGE METROPOLITAN DISTRICT NO			l		· · · · · · · · · · · · · · · · · · ·
STATEMENT OF REVENUES & EXPENDITURES					
December 31, 2011 Actual, 2012 Adopted Budget and Pro		d Actual,		17-Jan-13	
Year-to-date Actual, Budget and Variance throu	gh August 31,	2012			
2013 Adopted Budget					
		Modified Accru	sis		
` ' '				7	
GENERAL FUND	2011	2012	2012	Actual	2013
	Unaudited	Adopted	Projected	Through	Adopted
Revenues	<u>Actual</u>	<u>Budget</u>	Budget	B/31/2012	Budget
Property Taxes	\$2	\$2	\$2	\$2	\$2
Specific Ownership Taxes	0	0	0	0	0
Service Fees/Billboard Revenue, Dist No. 1	14,743	11,845	14,845	14,815	14,216
Service Fees, District No. 2	199	215	215	216	252
Service Fees, District No. 3	3,327	3,503	3,503	3,477	3,092
Service Fees, District No. 4	206	219	219	217	237
Interest & Other	14	45	45	7	45
Total Revenues	\$18,491	\$15,829	\$18,829	\$18,734	\$17,844
Expenditures					
Accounting and Finance	\$3,226	\$3,500	\$3,500	\$2,773	\$3,500
Directors Fees	1,077	1,500	1,500	1,077	2,000
District Management/Administration	1,898	2,000	2,000	1,644	2,000
Elections	0	3,000	3,000	0	Ö
Insurance	0	9,876	3,621	3,621	3,800
Office, Dues and Other	4,557	400	900	797	1,728
Treasurer's Fees	0	0	0	0	0
Total Operating Expenditures	\$10,757	\$20,275	\$14,521	\$9,911	\$13,028
					·/
Revenues over/(under) Expenditures	\$7,734	(\$4,446)	\$4,308	\$8,823	\$4,816
Beginning Fund Balance	17,747	25,035	25,481	25,481	29,788
Ending Fund Balance	\$25,481	\$20,589	\$29,788	\$34,303	\$34,605
See Accompanying Accountant's Report	And the state of t				
age Accompanying Accountant's Report		L	<u></u>		