ANNUAL REPORT

DAWSON RIDGE METROPOLITAN DISTRICTS NO. 1, NO. 2, NO. 3, NO. 4 AND NO. 5, DOUGLAS COUNTY, COLORADO, REPORTING PERIOD ENDING DECEMBER 31, 2020

Pursuant to Section 32-1-207(3)(c), C.R.S., Special District Act, and Section 11.02.040 of Castle Rock Municipal Code, the following report is submitted by the Boards of Directors of Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 (the "Districts"), to the Town Council of the Town of Castle Rock.

The following information concerns the activity and financial events of the Districts during the year 2020:

1. Progress in implementing service plan.

The Districts are currently operating under a Suspension Agreement with the Town of Castle Rock, dated October 8, 1992, which does not allow the Districts to exercise their powers other than those administrative functions necessary to remain in lawful existence and in compliance with State law, until the Districts submit amendments to their service plans that are approved by the Town of Castle Rock.

2. 2020 Audit.

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 each qualify for, and have applied to the State Auditor's Office for, audit exemptions for fiscal year 2020. Copies of the Applications for Exemption from Audit are attached to this report.

3. <u>Capital expenditures and capital improvements.</u>

The Districts did not incur any capital expenditures in the development of public facilities in the report year of 2020.

4. <u>Capital improvements/projects proposed to be undertaken in 5 years following 2020.</u>

None.

5. Financial obligations and assessed valuation.

Financial Obligations are reflected on the Applications for Exemption from Audit and the 2021 budgets attached to this report.

<u>District No. 1:</u> The gross total assessed valuation of all taxable properties within the District No. 1 as of December 2020 was \$77,050.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 2:</u> The gross total assessed valuation of all taxable properties within the District No. 2 as of December 2020 was \$3,770.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 3:</u> The gross total assessed valuation of all taxable properties within the District No. 3 as of December 2020 was \$33,650.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 4:</u> The gross total assessed valuation of all taxable properties within the District No. 4 as of December 2020 was \$5,880.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 5:</u> The gross total assessed valuation of all taxable properties within the District No. 5 as of December 2020 was \$60.00. No portion of the District 2020 mill levy was pledged to debt retirement.

6. Budgets for 2021.

Copies of the Districts' budgets for 2021 as adopted on November 4, 2020 are attached to this report.

7. Residential/commercial development which occurred within Districts in 2020:

No commercial or residential development occurred within the Districts during the reporting period.

8. Fees, charges and assessments.

No fees, charges or assessments were levied or collected by the Districts in 2020.

9. Certification re: Section 11.02.060.

No action, event or condition enumerated in Section 11.02.060 of the Town of Castle Rock Municipal Code, constituting a material modification to any of the Districts' service plans, occurred in the report year.

10. Names, addresses, telephone numbers, meetings.

The names, business addresses, and telephone numbers of each member of the Board of Directors, the president, and the District counsel as of December 31, 2020 are as follows:

Colleen K. Huber 10425 Lynx Run Highlands Ranch, CO 80124 Director and President of District Nos. 1 and No. 5 and Director and Vice President of District Nos. 2, 3, and 4

Gregg Cole Miller Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and President of District Nos. 2, 3 and 4

Henry John Vanderryst Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Secretary of District Nos. 2, 3 and 4

Chad Michael Ellington Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Treasurer of District Nos. 2, 3 and 4

Kathryn T. James, Esq. Folkestad Fazekas Barrick & Patoile, P.C. 18 S. Wilcox Street, Suite 200 Castle Rock, CO 80104 303-688-3045 Legal Counsel

Regular meetings are scheduled for the 1st Wednesday of every month, commencing at 9:30 a.m., at the office of Folkestad Fazekas Barrick & Patoile, P.C., located at 18 S. Wilcox Street, Suite 200, Castle Rock, Colorado, 80104.

Pursuant to Section 32-1-207(3)(c), C.R.S., a copy of this report will be filed with the Town Council of the Town of Castle Rock, the Douglas County Board of Commissioners, the Division of Local Government, and the State Auditor, as well as being deposited with the Douglas County Clerk and Recorder and made available to any interested party as defined in Section 32-1-204(1), C.R.S.

Dated this 2nd day of June, 2021.

DAWSON RIDGE METROPOLITAN DISTRICT NO. 1, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathern T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 2, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 3, a quasi-municipal corporation and political subdivisión of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 4, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 5, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

Applications for Exemption from Audit -- 2020 Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

APPLICATION FOR EXEMPTION FROM AUDIT

SHORT FORM

NAME OF GOVERNMENT	Dawson Ridge Metropolitan District No.	1	For the Year Ended			
ADDRESS	7995 E Prentice Ave., Suite 103E	7995 E Prentice Ave., Suite 103E				
	Greenwood Village, CO 80111		or fiscal year ended:			
CONTACT PERSON	Sue Blair					
PHONE	303-381-4960		1			
EMAIL	sblair@crsofcolorado.com		1			
FAX	303-381-4961					
	PART 1 - CERTIFICATIO	N OF PREPARER				
I certify that I am skilled in gov my knowledge.	vernmental accounting and that the information		ete and accurate, to the best of			
NAME:	Joan Beans					
TITLE	Accountant					
FIRM NAME (if applicable)	Community Resource Services of Color	ado				
ADDRESS	7995 E Prentice Ave., Suite 103E, Gree	enwood Village, CO 80111				
PHONE	303-381-4981					
DATE PREPARED	311/21					
PREPARER (SIGNATU						
Goan F	Seans					
Please indicate whether the follousing Governmental or Propriet	owing financial information is recorded	GOVERNMENTAL (MODIFIED ACCRUAL BASIS)	PROPRIETARY (CASH OR BUDGETARY BASIS)			
iusinu governilentai oi Probliet	ar v rumu tvD85		1			

PART 2 - REVENUE

REVENUE: All revenues for all funds must be reflected in this section, including proceeds from the sale of the government's land, building, and equipment, and proceeds from debt or lease transactions. Financial information will not include fund equity information.

Line#		De	scription	Round to nearest Dollar	Please use this
2-1	Taxes:	Property	(report mills levied in Question 10-6)	\$ 2,729	space to provide
2-2		Specific owners	ship	\$ 183	any necessary
2-3		Sales and use	-	\$ -	explanations
2-4	1	Other (specify):		\$ _	•
2-5	Licenses and permits	3		\$ -	
2-6	Intergovernmental:		Grants	\$ -	
2-7			Conservation Trust Funds (Lottery)	\$ -	
2-8			Highway Users Tax Funds (HUTF)	\$ _	1
2-9			Other (specify):	\$ _	
2-10	Charges for services			\$ -	
2-11	Fines and forfeits			\$ -	
2-12	Special assessments	;		\$	
2-13	Investment income			\$ _	
2-14	Charges for utility se	rvices		\$ _	1
2-15	Debt proceeds		(should agree with line 4-4, column 2)	\$ -	
2-16	Lease proceeds			\$ -	
2-17	Developer Advances	received	(should agree with line 4-4)	\$ -	1
2-18	Proceeds from sale of	of capital assets	;	\$ 	
2-19	Fire and police pensi	on		\$ -]
2-20	Donations			\$ -	1
2-21	Other (specify): Signa	age Rental		\$ 16,328	1
2-22				\$ =]
2-23				\$ -]
2-24		(add lin	es 2-1 through 2-23) TOTAL REVENUE	\$ 19,240	

PART 3 - EXPENDITURES/EXPENSES

EXPENDITURES: All expenditures for all funds must be reflected in this section, including the purchase of capital assets and principal and interest payments on long-term debt. Financial information will not include fund equity information.

Line#	Description		Round to nearest Dollar	Please use this
3-1	Administrative		\$ -	space to provide
3-2	Salaries		\$ -	any necessary
3-3	Payroll taxes		\$ -	explanations
3-4	Contract services		\$ 19,212	
3-5	Employee benefits	1	\$ -	
3-6	Insurance	A second	\$ -	1
3-7	Accounting and legal fees		\$ -	1
3-8	Repair and maintenance	r e e e e e e e e e e e e e e e e e e e	\$ -	1
3-9	Supplies		\$ -	7
3-10	Utilities and telephone		\$ -	7
3-11	Fire/Police		\$ -	1
3-12	Streets and highways		-	1
3-13	Public health		\$ -	1
3-14	Capital outlay		\$ -	1
3-15	Utility operations		\$ -	1
3-16	Culture and recreation		\$ -	1
3-17	Debt service principal	(should agree with Part 4)	\$ -	1
3-18	Debt service interest .		\$ -	1
3-19	Repayment of Developer Advance Principal	(should agree with line 4-4)	\$ -	1
3-20	Repayment of Developer Advance Interest		\$ -	1
3-21	Contribution to pension plan	(should agree to line 7-2)	\$ -]
3-22	Contribution to Fire & Police Pension Assoc.	(should agree to line 7-2)	\$ -	1
3-23	Other (specify): County Treasurer Fees	Ī	\$ 5	1
3-24		Ī	\$ -	1
3-25		Ī	\$ -	1
3-26	(add lines 3-1 through 3-24) TOTAL EX	PENDITURES/EXPENSES	\$ 19,217	1

If TOTAL REVENUE (Line 2-24) or TOTAL EXPENDITURES (Line 3-26) are GREATER than \$100,000 - <u>STOP</u>. You may not use this form. Please use the "Application for Exemption from Audit - <u>LONG FORM</u>".

:	PART 4 - DEBT OUTSTANDING		, AND RI		
4-1	Please answer the following questions by marking the Does the entity have outstanding debt?	appropriate boxes.		Yes	No ✓
	If Yes, please attach a copy of the entity's Debt Repayment S	chedule.		Ш	Ш
4-2	Is the debt repayment schedule attached? If no. MUST explai	n:		, \square	
]	4 1
4-3	Is the entity current in its debt service payments? If no, MUS	r explain:		, LI	Ш
4-4			processors suggested		
4-4	Please complete the following debt schedule, if applicable:	Outstanding at	Issued during	Retired during	Outstanding at
	(please only include principal amounts)(enter all amount as positive numbers)	end of prior year*	уеаг	year	year-end
	有大性的 化重新运动 一种人名英格兰人姓氏 医克勒氏病 医克勒氏病 化化二二二氧化甲烷 被一下,一点,也是不能是什么特征。				
	General obligation bonds	\$ -	\$ -	\$ -	\$ -
	Revenue bonds Notes/Loans	\$ - \$ -	\$ - \$ -	\$ - \$ -	\$ - \$ -
	Leases	ф <u>-</u>	\$ -	\$ -	\$ -
	Developer Advances	\$ -	\$ -	\$ -	\$ -
	Other (specify):	\$ -	\$ -	\$ -	\$ -
	TOTAL	\$ -	\$ -	\$ -	\$ -
		*must tie to prior ye	<u> </u>	1 4	<u> </u>
	Please answer the following questions by marking the appropriate boxes			Yes	No
4-5	Does the entity have any authorized, but unissued, debt?			,	
If yes:	How much?	\$	39,650,000		
	Date the debt was authorized:	5/2/2	000	j	-
4-6	Does the entity intend to issue debt within the next calendar	year?		າ ∐ າ	V
If yes:	How much?	4:11] _	r==1
4-7	Does the entity have debt that has been refinanced that it is s	tili responsible t	or?]]	V
If yes: 4-8	What is the amount outstanding? Does the entity have any lease agreements?	Φ	-) 🖂	Z
If yes:	What is being leased?]	LE.J
11 y 00.	What is the original date of the lease?				
	Number of years of lease?				
	Is the lease subject to annual appropriation?			, LJ	
WW. 100 100 100 100 100 100 100 100 100 10	What are the annual lease payments? Please use this space to provide any	\$	commonts:		
\$4.040V; (****-7*)	Flease use this space to provide any	explanations of	comments.		
	PART 5 - CASH AND	INVESTM	ENTS		
		INVESTIV			
5-1	Please provide the entity's cash deposit and investment balances.			Amount	Total
5-1 5-2	YEAR-END Total of ALL Checking and Savings Accounts Certificates of deposit			\$ - \$ -	
U- <u>L</u>	Total Cash Deposits				\$ -
	Investments (if investment is a mutual fund, please list underlying	investments):	100 Maria 1878		Ψ
					2
				\$ -	
5-3				\$ - \$ -	
				\$ -	
	Total Investments				\$ -
	Total Cash and Investments				\$ -
	Please answer the following questions by marking in the approp	riate boxes	Yes	No	N/A
5-4	Are the entity's Investments legal in accordance with Section				V
	seq., C.R.S.?		Ш		<u> </u>
5-5	Are the entity's deposits in an eligible (Public Deposit Protect	tion Act) public		П	✓
	depository (Section 11-10.5-101, et seq. C.R.S.)?	· ·	Ш	H	ഥ
lf no Mi	IST use this space to provide any explanations:			Service Arministra	

	PART 6 - CAPITA		SSET	S					
	Please answer the following questions by marking in the appropriate box	es.				Yes		N	lo
6-1	•							·	2
6-2	Has the entity performed an annual inventory of capital assets in accordance with Section 29-1-506, C.R.S.,? If no, MUST explain:								
6-3		Bala	ince -	Addition	s (Must			Vost	r-End
	Complete the following capital assets table:		ng of the	be inclu		Deletio	ns		ince
	Land	. Ye . \$	ar*	Par \$	-	\$	-	\$	
	Buildings	\$	_	\$		\$	-	\$	-
	Machinery and equipment	\$		\$		\$	-+	\$	
	Furniture and fixtures	\$		\$		\$		\$	
	Infrastructure	\$	_	\$	_	\$		\$	
	Construction In Progress (CIP)	\$		\$		\$	-+	\$	
	Other (explain):	\$		\$	-	\$	- 1	\$	_
	Accumulated Depreciation	\$	-	\$	_	\$		\$	-
	TOTAL	\$	_	\$	-	\$	-	\$	-
	Please use this space to provide any	explana	tions or	comme	nts:				
	PART 7 - PENSION	INFO	RMA	TION					
						V			1-
7-1	Please answer the following questions by marking in the appropriate box Does the entity have an "old hire" firemen's pension plan?	es.				Yes			lo
7-1	Does the entity have a volunteer firemen's pension plan?							\ <u>\</u>	
If yes:	Who administers the plan?)			•
11 you.	Indicate the contributions from:					į			
				r =		1			
	Tax (property, SO, sales, etc.):			\$	-				
	State contribution amount:			\$					
	Other (gifts, donations, etc.): TOTAL			\$ \$					
	What is the monthly benefit paid for 20 years of service per re	otiroo as	of lan	Q	-				
	Please use this space to provide any			comme	nfs:				
The boar	d will be considering a resolution to amend the 2020 budget at the					llowing a ni	ublic be	earing	
	a 50 00.10.20.1g a 1000.20.1. to a a to 2020 520get at 11.0				· · · · · · · · · · · · · · · · · · ·			·	
	PART 8 - BUDGET	INEO	DMA.	TION					
			KIVIA						
	Please answer the following questions by marking in the appropriate box			Ye	es	No		N	/A
8-1	Did the entity file a budget with the Department of Local Affai	irs for th	e	<u></u>				LJ	
	current year in accordance with Section 29-1-113 C.R.S.?			1					
8-2	Did the entity pass an appropriations resolution, in accordan-	ce with \$	Section	7		П			
	29-1-108 C.R.S.? If no, MUST explain:					ш		لسا	
)					
If yes:	Please indicate the amount budgeted for each fund for the ye	ear repor	ted:	-"					
		Division of		17.10		ı			
	Fund Name	The second second	ed Expend						
	General Fund	\$			18,969	1			
		-				1			
		 				1			
		1				l			

	PART 9 - TAXPAYER'S BILL OF F	RIGHTS (TABOR)	
	Please answer the following question by marking in the appropriate box	Yes	No. 200
9-1	Is the entity in compliance with all the provisions of TABOR [State Constitution, Artic Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government reserve requirement. All governments should determine if they meet this requirement of TABOR.	1.71	
lf no, Ml	UST explain:		
	PART 10 - GENERAL INFO	PRMATION	
	Please answer the following questions by marking in the appropriate boxes.	Yes	No
10-1	Is this application for a newly formed governmental entity?		Ø
If yes: 10-2	Date of formation: Has the entity changed its name in the past or current year?		v
If yes:	Please list the NEW name & PRIOR name:		
10-3	Is the entity a metropolitan district?	✓	
	Please indicate what services the entity provides:		
	Water, irrigation, sanitation, drainage, streets, traffic & safety controls, transportation		
10-4	Does the entity have an agreement with another government to provide		
If yes:	List the name of the other governmental entity and the services provide	led:	
	All services are provided by Dawson Ridge Metropolitan District No. 5		
10-5	Has the district filed a Title 32, Article 1 Special District Notice of Inact	tive Status during	V
If yes:	Date Filed:		
10-6	Does the entity have a certified Mill Levy?		
If yes:	Please provide the following mills levied for the year reported (do not r	report \$ amounts):	
	Bond Re	edemption mills	_
	General/	Other mills	45.000
	Total mil	lls	45.000

Please use this space to provide any explanations or comments:

	PART 11 - GOVERNING BODY APPROVAL		
	Please answer the following question by marking in the appropriate box	YES	NO
12-1	If you plan to submit this form electronically, have you read the new Electronic Signature Policy?	V	

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedure

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as Docusign or Echosign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
- a. Include a copy of an adopted resolution that documents formal approval by the Board, or
- b. Include electronic signatures obtained through a software program such as Docusign or Echosign in accordance with the requirements noted above.

	Print the names of ALL members of current governing body below.	A <u>MAJORITY</u> of the members of the governing body must complete and sign in the column below.
Board	Print Board Member's Name	I, Colleen Huber, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.
Member	Colleen Huber	Signed Collin Auber Date: 37297202013.
1		My term Expires: May 2022
		my term Expires, may 2022
Board	Print Board Member's Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.
Member 2		Signed
2		Date:
		My term Expires:
Decod	Print Board Member's Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for
Board Member		exemption from audit.
3		Signed Date:
		,
	Print Board Member's Name	My term Expires:, attest I am a duly elected or appointed board
:	This board member 3 Name	member, and that I have personally reviewed and approve this application for
Board Member 4		exemption from audit.
		Signed
		Date:
		My term Expires:
:	Print Board Member's Name	I, attest I am a duly elected or appointed board
Board		member, and that I have personally reviewed and approve this application for exemption from audit.
Member		Signed
5		Date:
		My term Expires:
	Print Board Member's Name	I, attest I am a duly elected or appointed board
Board Member		member, and that I have personally reviewed and approve this application for exemption from audit. Signed
6		Date:
		My term Expires:
	Print Board Member's Name	I, attest I am a duly elected or appointed board
Board Member		member, and that I have personally reviewed and approve this application for exemption from audit.
7		Signed
		Date: My term Expires:
		wy term expires:



Certificate Of Completion

Envelope Id: F35BC7C6247E463698DBA0FD18112A32

Subject: Please DocuSign: 2020 Audit Exemption - Dawson Ridge No. 1.pdf

Source Envelope:

Document Pages: 7 Certificate Pages: 5

Signatures: 1 Initials: 0

AutoNav: Enabled

Envelopeld Stamping: Enabled

Time Zone: (UTC-08:00) Pacific Time (US & Canada)

Status: Completed

Envelope Originator: Marcos Pacheco

mpacheco@crsofcolorado.com IP Address: 96.88.70.121

Record Tracking

Status: Original

3/29/2021 7:39:33 AM

Holder: Marcos Pacheco

mpacheco@crsofcolorado.com

Location: DocuSign

Signer Events

Colleen Huber

colleen.huber@coloradohomes.com

Security Level: Email, Account Authentication

(None)

Signature

olleen Huber 0F16B76C2DF413

Signature Adoption: Pre-selected Style Using IP Address: 73.229.95.75

Timestamp

Sent: 3/29/2021 7:49:24 AM Viewed: 3/29/2021 3:27:36 PM Signed: 3/29/2021 3:27:45 PM

Electronic Record and Signature Disclosure:

Accepted: 3/29/2021 3:27:36 PM

ID: e61e6b1c-a19b-4e8b-ae95-d4e55155fc62

In Person Signer Events Signature Timestamp	
Editor Delivery Events Status Timestamp	
Agent Delivery Events Status Timestamp	
Intermediary Delivery Events Status Timestamp	
Certified Delivery Events Status Timestamp	

Carbon Copy Events

Status

Timestamp

Sent: 3/29/2021 7:49:24 AM Viewed: 3/30/2021 8:16:36 AM

Sent: 3/29/2021 7:49:24 AM

Joan Beans

jbeans@crsofcolorado.com

Security Level: Email, Account Authentication

(None)

Electronic Record and Signature Disclosure:

Not Offered via DocuSign

Katie James

iames@ffcolorado.com

Security Level: Email, Account Authentication (None)

Electronic Record and Signature Disclosure:

Not Offered via DocuSign

Tina Vildbill

Vildbill@affcolorado.com

Security Level: Email, Account Authentication (None)

Electronic Record and Signature Disclosure:

Not Offered via DocuSign

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Sent: 3/29/2021 7:49:24 AM

Witness Events	Signature	Timestamp
Notary Events	Signature	Timestamp
Envelope Summary Events	Status	Timestamps
Envelope Sent	Hashed/Encrypted	3/29/2021 7:49:24 AM
Certified Delivered	Security Checked	3/29/2021 3:27:36 PM
Signing Complete	Security Checked	3/29/2021 3:27:45 PM
Completed	Security Checked	3/29/2021 3:27:45 PM
Payment Events	Status	Timestamps
Electronic Record and Signature Dis	closure	

2021 Budgets

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

DAWSON RIDGE METRO DISTRICT NO. 1 GENERAL FUND

2021 ADOPTED BUDGET

WITH 2019 ACTUAL AND 2020 ESTIMATED AMOUNTS FOR THE YEARS ENDED AND ENDING DECEMBER 31,

	2019 Actual		2020 Estimated		2021 Adopted	
REVENUES						
Property taxes	\$	3,065	\$	3,008	\$	3,467
Property taxes - abatements		-		-		373
Specific ownership taxes		255		211		243
Billboard		18,471		15,750		15,750
Total revenues		21,791		18,969		19,833
EXPENDITURES						
County treasurer fees		46		10		58
Service fees to District No. 5		21,745		18,390		19,180
Emergency reserve		-		569		595
Total expenditures		21,791		18,969		19,833
NET CHANGE IN FUND BALANCE		-		-		-
BEGINNING FUND BALANCE		<u>-</u>		<u>-</u>		
ENDING FUND BALANCE	\$	-	\$	_	\$	-

DAWSON RIDGE METROPOLITAN DISTRICT NO. 1

2021 BUDGET MESSAGE

District Services

The Dawson Ridge Metropolitan District No. 1 was established in August 1985 to provide water, irrigation, sanitation, drainage, street improvements, safety control, parks and recreational and transportation systems and facilities. The District will dedicate and transfer the majority of facilities to the Town of Castle Rock for maintenance and operation.

The District derives its revenue primarily from general property taxes. The District has no employees and all operations and administrative functions are performed by independent contractors.

Basis for Accounting

The modified accrual method of accounting was utilized in preparation of the 2021 budget.

General Fund

Per agreement with Districts #2, #3, #4 and #5, monies receipted in the Debt Service Funds will be transferred into District #1. General Fund expenditures for accounting, management, insurance, etc. are budgeted at \$19,833 and will be transferred to District #5 for payment.

The mill levy will remain at 45.00 mills for 2021.

Debt Service Fund

Although the sale of bonds was authorized during 1993, no bonds have been issued. Therefore, the Debt Service Fund contemplates no expenditures for the 2021 budget year.

Capital Project Fund

The Capital Project Fund anticipates no revenue from prepaid facility fees. These funds will be transferred to the District #5 General Fund in accordance with contract.

CERTIFICATION OF VALUATION BY DOUGLAS COUNTY ASSESSOR

Name of Jurisdiction: 4104 - Dawson Ridge Metro District 1

IN DOUGLAS COUNTY ON 10/12/2020

New Entity: No.

<u>\$0</u>

	IN DOUGLAS COUNTY ON 10/12/2020	New Entity, NO
	USE FOR STATUTORY PROPERTY TAX REVENUE LIMIT CALCULATIONS (5.	.5% LIMIT) ONLY
	IN ACCORDANCE WITH 39-5-121(2)(a) AND 39-5-128(1), C.R.S. AND NO LATER THAN AUGUST 25, THE ASSE VALUATION FOR ASSESSMENT FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY. COLORADO	ESSOR CERTIFIES THE TOTAL
1.	PREVIOUS YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	<u>\$66,850</u>
2.	CURRENT YEAR'S GROSS TOTALTAXABLE ASSESSED VALUATION: *	<u>\$77,050</u>
3.	LESS TIF DISTRICT INCREMENT, IF ANY:	\$0
4.	CURRENT YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	<u>\$77,050</u>
5.	NEW CONSTRUCTION: **	<u>\$0</u>
6.	INCREASED PRODUCTION OF PRODUCING MINES: #	<u>\$0</u>
7.	ANNEXATIONS/INCLUSIONS:	<u>\$0</u>
8.	PREVIOUSLY EXEMPT FEDERAL PROPERTY: #	<u>\$0</u>
	NEW PRIMARY OIL OR GAS PRODUCTION FROM ANY PRODUCING OIL AND GAS LEASEHOLD ## OR LAND (29-1-301(1)(b) C.R.S.):	<u>\$0</u>
10.	TAXES COLLECTED LAST YEAR ON OMITTED PROPERTY AS OF AUG. 1 (29-1-301(1))(a) C.R.S.):	\$0.00
11.	TAXES ABATED AND REFUNDED AS OF AUG. 1 (29-1-301(1)(a) C.R.S.) and (39-10-114(1)(a)(I)(B) C.R.S.):	<u>\$373.50</u>
	nis value reflects personal property exemptions IF enacted by the jurisdiction as authorized by Art. X, Sec.20(8)(b),Colo. ew construction is defined as: Taxable real property structures and the personal property connected with the structure.	
# Ju	risdiction must submit respective certifications (Forms DLG 52 AND 52A) to the Division of Local Government in order for the value ulation.	es to be treated as growth in the limit
## J	urisdiction must apply (Forms DLG 52B) to the Division of Local Government before the value can be treated as growth in the limit	calculation.
	USE FOR 'TABOR' LOCAL GROWTH CALCULATIONS ONLY	
	ACCORDANCE WITH THE PROVISION OF ARTICLE X, SECTION 20, COLO CONST, AND 39-5-121(2)(b),C.R.S. FAL ACTUAL VALUATION FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY, COLORADO ON AUGUS	
1.	CURRENT YEAR'S TOTAL ACTUAL VALUE OF ALL REAL PROPERTY: @	\$24,977
	ADDITIONS TO TAXABLE REAL PROPERTY:	
2.	CONSTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS: !	<u>\$0</u>
3.	ANNEXATIONS/INCLUSIONS:	<u>\$0</u>
4.	INCREASED MINING PRODUCTION: %	<u>\$0</u>
5.	PREVIOUSLY EXEMPT PROPERTY:	\$0
6.	OIL OR GAS PRODUCTION FROM A NEW WELL:	\$0
7.	TAXABLE REAL PROPERTY OMITTED FROM THE PREVIOUS YEAR'S TAX WARRANT:	<u>\$0</u>
	(If land and/or a structure is picked up as omitted property for multiple years, only the most current year's actual value can be reported as omitted	d property.)
	DELETIONS FROM TAXABLE REAL PROPERTY:	
8.	DESTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS:	<u>\$0</u>
9.	DISCONNECTIONS/EXCLUSION:	\$0
10.	PREVIOUSLY TAXABLE PROPERTY:	<u>\$0</u>
@ T	his includes the actual value of all taxable real property plus the actual value of religious, private schools, and charitable real prope	rty.
! Co	nstruction is defined as newly constructed taxable real property structures.	
% ir	actudes production from new mines and increases in production of existing producing mines.	

IN ACCORDANCE WITH 39-5-128(1), C.R.S. AND NO LATER THAN AUGUST 25, THE ASSESSOR CERTIFIES TO SCHOOL DISTRICTS: 1. TOTAL ACTUAL VALUE OF ALL TAXABLE PROPERTY:------

NOTE: All levies must be Certified to the Board of County Commissioners NO LATER THAN DECEMBER 15, 2020

Data Date: 10/12/2020

ANNUAL REPORT

DAWSON RIDGE METROPOLITAN DISTRICTS NO. 1, NO. 2, NO. 3, NO. 4 AND NO. 5, DOUGLAS COUNTY, COLORADO, REPORTING PERIOD ENDING DECEMBER 31, 2020

Pursuant to Section 32-1-207(3)(c), C.R.S., Special District Act, and Section 11.02.040 of Castle Rock Municipal Code, the following report is submitted by the Boards of Directors of Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 (the "Districts"), to the Town Council of the Town of Castle Rock.

The following information concerns the activity and financial events of the Districts during the year 2020:

1. <u>Progress in implementing service plan.</u>

The Districts are currently operating under a Suspension Agreement with the Town of Castle Rock, dated October 8, 1992, which does not allow the Districts to exercise their powers other than those administrative functions necessary to remain in lawful existence and in compliance with State law, until the Districts submit amendments to their service plans that are approved by the Town of Castle Rock.

2. 2020 Audit.

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 each qualify for, and have applied to the State Auditor's Office for, audit exemptions for fiscal year 2020. Copies of the Applications for Exemption from Audit are attached to this report.

3. Capital expenditures and capital improvements.

The Districts did not incur any capital expenditures in the development of public facilities in the report year of 2020.

4. <u>Capital improvements/projects proposed to be undertaken in 5 years following 2020.</u>

None.

5. <u>Financial obligations and assessed valuation</u>.

Financial Obligations are reflected on the Applications for Exemption from Audit and the 2021 budgets attached to this report.

<u>District No. 1:</u> The gross total assessed valuation of all taxable properties within the District No. 1 as of December 2020 was \$77,050.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 2:</u> The gross total assessed valuation of all taxable properties within the District No. 2 as of December 2020 was \$3,770.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 3:</u> The gross total assessed valuation of all taxable properties within the District No. 3 as of December 2020 was \$33,650.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 4:</u> The gross total assessed valuation of all taxable properties within the District No. 4 as of December 2020 was \$5,880.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 5:</u> The gross total assessed valuation of all taxable properties within the District No. 5 as of December 2020 was \$60.00. No portion of the District 2020 mill levy was pledged to debt retirement.

6. Budgets for 2021.

Copies of the Districts' budgets for 2021 as adopted on November 4, 2020 are attached to this report.

7. Residential/commercial development which occurred within Districts in 2020:

No commercial or residential development occurred within the Districts during the reporting period.

8. Fees, charges and assessments.

No fees, charges or assessments were levied or collected by the Districts in 2020.

9. Certification re: Section 11.02.060.

No action, event or condition enumerated in Section 11.02.060 of the Town of Castle Rock Municipal Code, constituting a material modification to any of the Districts' service plans, occurred in the report year.

10. Names, addresses, telephone numbers, meetings.

The names, business addresses, and telephone numbers of each member of the Board of Directors, the president, and the District counsel as of December 31, 2020 are as follows:

Colleen K. Huber 10425 Lynx Run Highlands Ranch, CO 80124 Director and President of District Nos. 1 and No. 5 and Director and Vice President of District Nos. 2, 3, and 4

Gregg Cole Miller Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and President of District Nos. 2, 3 and 4

Henry John Vanderryst Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Secretary of District Nos. 2, 3 and 4

Chad Michael Ellington Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Treasurer of District Nos. 2, 3 and 4

Kathryn T. James, Esq. Folkestad Fazekas Barrick & Patoile, P.C. 18 S. Wilcox Street, Suite 200 Castle Rock, CO 80104 303-688-3045 Legal Counsel

Regular meetings are scheduled for the 1st Wednesday of every month, commencing at 9:30 a.m., at the office of Folkestad Fazekas Barrick & Patoile, P.C., located at 18 S. Wilcox Street, Suite 200, Castle Rock, Colorado, 80104.

Pursuant to Section 32-1-207(3)(c), C.R.S., a copy of this report will be filed with the Town Council of the Town of Castle Rock, the Douglas County Board of Commissioners, the Division of Local Government, and the State Auditor, as well as being deposited with the Douglas County Clerk and Recorder and made available to any interested party as defined in Section 32-1-204(1), C.R.S.

Dated this 2nd day of June, 2021.

DAWSON RIDGE METROPOLITAN DISTRICT NO. 1, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathern T. Jamles, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 2, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 3, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 4, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 5, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James District Legal Counsel

Applications for Exemption from Audit -- 2020 Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

using Governmental or Proprietary fund types

APPLICATION FOR EXEMPTION FROM AUDIT

SHORT FORM

NAME OF GOVERNMENT	Dawson Ridge Metropolitan District No	. 2	For the Year Ended
ADDRESS	7995 E Prentice Ave., Suite 103E	12/31/20	
	Greenwood Village, CO 80111		or fiscal year ended:
CONTACT PERSON	Sue Blair		
PHONE	303-381-4960		
EMAIL	sblair@crsofcolorado.com		
FAX	303-381-4961		
	PART 1 - CERTIFICATION	N OF PREPARER	
	rnmental accounting and that the inform		te and accurate, to the best of
NAME:	Joan Beans	***************************************	
TITLE	Accountant		
FIRM NAME (if applicable)	Community Resource Services of Colo	rado	
ADDRESS	7995 E Prentice Ave., Suite 103E, Gre	enwood Village, CO 80111	
PHONE	303-381-4981		
DATE PREPARED	3/1/21		
PREPARER (SIGNATUR	E REQUIRED)		
Goan	Becens		
Please indicate whether the follow	wing financial information is recorded	GOVERNMENTAL (MODIFIED ACCRUAL BASIS)	PROPRIETARY (CASH OR BUDGETARY BASIS)

PART 2 - REVENUE

REVENUE: All revenues for all funds must be reflected in this section, including proceeds from the sale of the government's land, building, and equipment, and proceeds from debt or lease transactions. Financial information will not include fund equity information.

Line#		Des	cription	Round to nearest Dollar	Please use this
2-1	Taxes:	Property (report mills levied in Question 10-6)	\$ 296	space to provide
2-2		Specific owners	hip	\$ 17	any necessary
2-3		Sales and use		\$ =	explanations
2-4		Other (specify):		\$ -	
2-5	Licenses and permit	\$		\$ _	
2-6	Intergovernmental:	•	Grants	\$ =	
2-7		•	Conservation Trust Funds (Lottery)	\$ =	
2-8		l	Highway Users Tax Funds (HUTF)	\$ · -	
2-9		(Other (specify):	\$ -	
2-10	Charges for services			\$ -	
2-11	Fines and forfeits			\$ -	
2-12	Special assessments	;		\$ -	
2-13	Investment income			\$ -	
2-14	Charges for utility se	rvices		\$ - · · · · · · · · · · · · · · · · · · ·	
2-15	Debt proceeds		(should agree with line 4-4, column 2)	\$ -	
2-16	Lease proceeds			\$ -	
2-17	Developer Advances	received	(should agree with line 4-4)	\$ -	
2-18	Proceeds from sale of	of capital assets		\$ -	
2-19	Fire and police pensi	on		\$ -	
	Donations			\$ -	
2-21	Other (specify):			\$ -	
2-22				\$ -	
2-23				\$ -	
2-24		(add line	s 2-1 through 2-23) TOTAL REVENUE	\$ 313	l

PART 3 - EXPENDITURES/EXPENSES

EXPENDITURES: All expenditures for all funds must be reflected in this section, including the purchase of capital assets and principal and interest payments on long-term debt. Financial information will not include fund equity information.

	Description Administrative Salaries		Round to nearest Dollar	riease	use this
				000004	o provide
3-2		ļ	\$	any neo	
			\$	explana	
	Payroll taxes		\$	-	tions
	Contract services			310	
3-5 I	Employee benefits		\$		
3-6 i	Insurance		\$		
3-7	Accounting and legal fees		\$		
3-8	Repair and maintenance		\$	-	
3-9	Supplies		\$	-	
3-10 l	Utilities and telephone		\$	-	
3-11 I	Fire/Police		Ψ	-	
3-12	Streets and highways		\$	-	
3-13 I	Public health		\$	-	
3-14	Capital outlay		\$	-	
3-15 I	Utility operations		\$	-	
3-16	Culture and recreation		\$	-	
3-17 I	Debt service principal	(should agree with Part 4)	\$	-]	
3-18 I	Debt service interest		\$	-	
3-19 I	Repayment of Developer Advance Principal	(should agree with line 4-4)	\$	-]	
3-20	Repayment of Developer Advance Interest		\$	-]	
3-21	Contribution to pension plan	(should agree to line 7-2)	\$	-	
3-22	Contribution to Fire & Police Pension Assoc.	(should agree to line 7-2)	\$	- 1	
3-23	Other (specify): County Treasurer Fees		\$	1	
3-24			\$	- 1	
3-25		İ	\$	- 1	
3-26	(add lines 3-1 through 3-24) TOTAL EXPEN	DITURES/EXPENSES	\$	311	

If TOTAL REVENUE (Line 2-24) or TOTAL EXPENDITURES (Line 3-26) are GREATER than \$100,000 - <u>STOP</u>. You may not use this form. Please use the "Application for Exemption from Audit - <u>LONG FORM</u>".

	PART 4 - DEBT OUTSTANDING	S, ISSUED	, AND RE	ETIRED	
	Please answer the following questions by marking the	appropriate boxes.		Yes	No
4-1	Does the entity have outstanding debt? If Yes, please attach a copy of the entity's Debt Repayment So	chadula		Li	V
4-2	Is the debt repayment schedule attached? If no, MUST explain			П	
	is the dest repayment somedic attached. If no, most explan				
4-3	Is the entity current in its debt service payments? If no, MUST	Гexplain:			
		• • • • • • • • • • • • • • • • • • • •	*** *** *** *** *** *** *** *** *** **		
4-4	Please complete the following debt schedule, if applicable:				
	(please only include principal amounts)(enter all amount as positive	Outstanding at end of prior year*	Issued during year	Retired during year	Outstanding at year-end
	numbers)				
	General obligation bonds	\$ -	\$ -	\$ -	\$ -
	Revenue bonds	\$ -	\$ -	\$ -	\$ -
	Notes/Loans	\$ -	\$ -	\$ -	\$ -
	Leases	\$ -	\$ -	\$ -	\$ -
	Developer Advances	\$ -	\$ -	\$ -	\$ -
	Other (specify): TOTAL	\$ - \$ -	\$ - \$ -	\$ - \$ -	\$ - \$ -
	IOTAL	*must tie to prior ye		ъ -	<u> </u>
	Please answer the following questions by marking the appropriate boxes.		ar enumy balance	Yes	No
4-5	Does the entity have any authorized, but unissued, debt?			V	
If yes:	How much?	\$	27,150,000		
	Date the debt was authorized:	5/2/2	000] _	
4-6	Does the entity intend to issue debt within the next calendar	year?			V
If yes:	How much?	\$	-] _	r3
4-7	Does the entity have debt that has been refinanced that it is s	r	or?	1	V
If yes: 4-8	What is the amount outstanding? Does the entity have any lease agreements?	\$	-	J	7
If yes:	What is being leased?]	<u></u>
,	What is the original date of the lease?				
	Number of years of lease?] _	
	Is the lease subject to annual appropriation?			1	
5 5 6 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	What are the annual lease payments? Please use this space to provide any	\$	commonte:	7 N 1 NAP 2000 N. 151 S 188	CHAIR NAME WAS AND
	r lease use this space to provide any	explai audis di	comments.		
	PART 5 - CASH AND	INVESTM	ENTS		
		HAAFOIM	LIVIO	Amount	Total
5-1	Please provide the entity's cash deposit and investment balances. YEAR-END Total of ALL Checking and Savings Accounts			\$ -	TOtal
5-2	Certificates of deposit			\$ -	
	Total Cash Deposits			L 	\$ -
	Investments (if investment is a mutual fund, please list underlying	investments):			· · · · · · · · · · · · · · · · · · ·
				\$ -	1
				\$ -	
5-3				\$ -	
				\$ -	
	Total Investments				\$ -
	Total Cash and Investments				\$ -
	Please answer the following questions by marking in the appropri		Yes	No	N/A
5-4	Are the entity's Investments legal in accordance with Section	24-75-601, et.			2
	seq., C.R.S.?		_	<u> </u>	_
5-5	Are the entity's deposits in an eligible (Public Deposit Protect	ion Act) public			V
	depository (Section 11-10.5-101, et seq. C.R.S.)?				-
f no, MU	ST use this space to provide any explanations:				AND TO STORY

	PART 6 - CAPITA		ETS		.,	
6.4	Please answer the following questions by marking in the appropriate box	es.			Yes	No 🔽
6-1	Does the entity have capital assets?					۷
6-2	Has the entity performed an annual inventory of capital asset 29-1-506, C.R.S.,? If no, MUST explain:	s in accordan	ce with Sect	ion		
6-3		Balance -	Additions (Year-End
	Complete the following capital assets table:	beginning of the			Deletions	Balance
	Land	year*	Part 3		\$ -	\$ -
	Buildings	\$ -	\$		\$ -	\$ -
	Machinery and equipment	\$ -	\$		\$ -	\$ -
	Furniture and fixtures	\$ -	\$		\$ -	\$ -
	Infrastructure	\$ -	\$		\$ -	\$ -
	Construction In Progress (CIP)	\$ -	\$		\$ -	<u> </u>
	• · · · · · · · · · · · · · · · · · · ·	\$ -	\$		\$ -	
	Other (explain): Accumulated Depreciation					\$ -
	TOTAL	\$ -	\$ \$		<u>\$ -</u> \$ -	\$ - \$ -
	Please use this space to provide any					
des es la	iodati dat till opdat to provide dily	CAPID.I.C.I.O.I.O	or comment	.		in a switching graph of a co-
	DART T. DENOION	MEODIA	ATION			
	PART 7 - PENSION	INFORM	AHON			
	Please answer the following questions by marking in the appropriate box	es.			Yes	No
7-1	Does the entity have an "old hire" firemen's pension plan?					_
7-2	Does the entity have a volunteer firemen's pension plan?					~
If yes:	Who administers the plan?			$\overline{}$		
•	Indicate the contributions from:					
			œ			
	Tax (property, SO, sales, etc.): State contribution amount:		\$			
	Other (gifts, donations, etc.):		\$			
	TOTAL		- ¢			
	What is the monthly benefit paid for 20 years of service per re	atiron as of la	p ¢			
0.5 774 de	Please use this space to provide any	evolanations	or comment	-		
The hoar	d will be considering a resolution to amend the 2020 budget at the				owing a nublic	hearing
i ne boai	d will be considering a resolution to amend the 2020 budget at the	mext someduiet	a board meeti	ng ron	owing a public	o nearing.
	DADT O DUDCET	INFORM	ATION			
	PART 8 - BUDGET	INFORM	AHON			
	Please answer the following questions by marking in the appropriate box		Yes		No	N/A
8-1	Did the entity file a budget with the Department of Local Affai	irs for the	 		L	LJ
	current year in accordance with Section 29-1-113 C.R.S.?	······································	***************************************		hanna?	band.
8-2	Did the entity pass an appropriations resolution, in accordance	ce with Section	.n —			
	29-1-108 C.R.S.? If no, MUST explain:	oc with ocome)II		L	
	23-1-100 C.I.C.: II 110, WOO'I Explain.					
if was	Please indicate the amount budgeted for each fund for the ye	ar reported:				
n yes:	r lease mulcate the amount buugeted for each fund for the ye	ai reporteu:				
	Fund Name	Budgeted Exp	enditures/Expe	nses		
	General Fund	\$		307		
		l É				
				—		

	PART 9 - TAXPAYER'S BILL OF RIGHTS (TAB	OR)	
	Please answer the following question by marking in the appropriate box	Yes	No
9-1	Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?		
J -1	Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency	J	
	reserve requirement. All governments should determine if they meet this requirement of TABOR.		
f no, Ml	JST explain:		
	PART 10 - GENERAL INFORMATION		
	District the state of the state		
	Please answer the following questions by marking in the appropriate boxes.	Yes	No
	Is this application for a newly formed governmental entity?		[7]
10-1	production of the second of th	<u> </u>	_
If yes:	Date of formation:	ı	
10-2	Has the entity changed its name in the past or current year?		Image: section of the content of the
If yes:	Please list the NEW name & PRIOR name:		
		1	
10-3	Is the entity a metropolitan district?	v	
	Please indicate what services the entity provides:		
	Water, irrigation, sanitation, drainage, streets, traffic & safety controls, transportation, parks & rec	į	
10-4	Does the entity have an agreement with another government to provide services?	\square	
If yes:	List the name of the other governmental entity and the services provided:		
	All services are provided by Dawson Ridge Metropolitan District No. 5		_
10-5	Has the district filed a Title 32, Article 1 Special District Notice of Inactive Status during		7
If yes:	Date Filed:		
			_
10-6	Does the entity have a certified Mill Levy?	V	
If yes:	Please provide the following mills levied for the year reported (do not report \$ amounts):		
	i lease provide the following hims levied for the year reported (do not report # amounts).		
	Bond Redemption mills		-
	General/Other mills		45.000
	Total mills		45.000

Please use this space to provide any explanations or comments:

	PART 11 - GOVERNING BODY APPROVAL		_
	Please answer the following question by marking in the appropriate box	YES	NO
12-1	If you plan to submit this form electronically, have you read the new Electronic Signature Policy?	✓	

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedure

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as Docusign or Echosign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
- a. Include a copy of an adopted resolution that documents formal approval by the Board, or
- b. Include electronic signatures obtained through a software program such as Docusign or Echosign in accordance with the requirements noted above.

	Print the names of ALL members of current governing body below.	A MAJORITY of the members of the governing body must complete and sign in the column below.
Board	Print Board Member's Name	I, Colleen Huber, attest I am a duly elected or appointed board member, and that I have personal tracking the state of the
Member 1	Colleen Huber	Signed (ollin tubir Date: 3/29/2021-413 My term Expires: May 2022
Board	Print Board Member's Name	I, Gregg Miller, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed 1990 1991
Member 2	Gregg Miller	Date: 3/30/420/dlackso My term Expires:May 2022
Board	Print Board Member's Name	I, Henry Vanderryst, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.
Member 3	Henry Vadnerryst	Signed Date: My term Expires: May 2022
Board	Print Board Member's Name	I, Chad Ellington, attest I am a duly elected or appointed board member, and that I have personally eviewed and approve this application for exemption from audit. Signed
Member 4	Chad Ellington	Date:3 y30 %26ൽ ²⁸⁴⁰³ My term Expires: May 2023
Board Member 5	Print Board Member's Name	nember, and that I have personally reviewed and approve this application for exemption from audit. Signed Date: My term Expires:
Board Member 6	Print Board Member's Name	I
Board Member 7	Print Board Member's Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed Date: My term Expires:



Certificate Of Completion

Envelope Id: 2F3E74B8738943A3842D73D7EF10C3F9

Subject: Please DocuSign: 2020 Audit Exemption - Dawson Ridge No. 2.pdf

Source Envelope:

Document Pages: 7 Certificate Pages: 5 Signatures: 3 Initials: 0

AutoNav: Enabled

Envelopeld Stamping: Enabled

Time Zone: (UTC-08:00) Pacific Time (US & Canada)

Status: Completed

Envelope Originator: Marcos Pacheco

mpacheco@crsofcolorado.com IP Address: 96.88.70.121

Record Tracking

Status: Original

3/29/2021 7:50:55 AM

Holder: Marcos Pacheco

mpacheco@crsofcolorado.com

Location: DocuSign

Signer Events

Colleen Huber

colleen.huber@coloradohomes.com

Security Level: Email, Account Authentication

(None)

Signature

Colleen Huber

Signature Adoption: Pre-selected Style Using IP Address: 73.229.95.75

Timestamp

Sent: 3/29/2021 8:03:25 AM Viewed: 3/29/2021 3:27:15 PM Signed: 3/29/2021 3:27:24 PM

Electronic Record and Signature Disclosure:

Accepted: 3/29/2021 3:27:15 PM ID: b02e4cc2-f648-43e7-9192-56d88fe8a772

Gregg Miller

gmiller.co@gmail.com

Security Level: Email, Account Authentication

(None)

Yun Mle

Signature Adoption: Drawn on Device Using IP Address: 69.59.91.181

Sent: 3/29/2021 3:27:25 PM Viewed: 3/30/2021 7:21:07 AM Signed: 3/30/2021 7:21:46 AM

Electronic Record and Signature Disclosure:

Accepted: 3/30/2021 7:21:07 AM

ID: 9673de3a-c592-423c-996b-bb119ed9b366

Chad Ellington

chad@peakdevgrp.com

Security Level: Email, Account Authentication

(None)

Chad Ellington
90429E1E0A384C3...

Signature Adoption: Pre-selected Style Using IP Address: 107.77.219.226

Signed using mobile

Sent: 3/30/2021 9:03:42 AM Viewed: 3/30/2021 9:08:37 AM Signed: 3/30/2021 9:08:49 AM

Electronic Record and Signature Disclosure:

Accepted: 3/30/2021 9:08:37 AM

ID: 099ff98e-7008-4953-a86d-f651190fa9eb

n Person Signer Events Signature Timestamp	
ditor Delivery Events : Status Timestamp	
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ertified Delivery Events Status Timestamp	

Carbon Copy Events Status Timestamp Sent: 3/30/2021 9:08:49 AM Joan Beans **COPIED** jbeans@crsofcolorado.com Viewed: 3/30/2021 9:22:51 AM Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Not Offered via DocuSign Katie James Sent: 3/30/2021 9:08:50 AM **COPIED** james@ffcolorado.com Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Not Offered via DocuSign Tina Vildbill Sent: 3/30/2021 9:08:50 AM **COPIED** Vildbill@affcolorado.com

Security Level: Email, Account Authentication

Electronic Record and Signature Disclosure:

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(None)

Witness Events	Signature	Timestamp
Notary Events	Signature	Timestamp
Envelope Summary Events	Status	Timestamps
Envelope Sent	Hashed/Encrypted	3/29/2021 8:03:25 AM
Certified Delivered	Security Checked	3/30/2021 9:08:37 AM
Signing Complete	Security Checked	3/30/2021 9:08:49 AM
Completed	Security Checked	3/30/2021 9:08:50 AM
Payment Events	Status	Timestamps
Electronic Record and Signature Di	sciosure	

2021 Budgets

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

DAWSON RIDGE METRO DISTRICT NO. 2

GENERAL FUND

2021 ADOPTED BUDGET

WITH 2019 ACTUAL AND 2020 ESTIMATED AMOUNTS FOR THE YEARS ENDED AND ENDING DECEMBER 31,

	2019 Actual	2020 Estimated		2021 Adopted	
REVENUES			_		
Property taxes	\$ 287	\$	296	\$	170
Specific ownership taxes	24		10		12
Total revenues	311		306		182
EXPENDITURES					
County treasurer fees	4		1		3
Service fees to District No. 5	307		296		174
Emergency reserve			9		5
Total expenditures	311		306	•	182
NET CHANGE IN FUND BALANCE	-		-		-
BEGINNING FUND BALANCE	 				
ENDING FUND BALANCE	\$ _	\$	_	\$	

DAWSON RIDGE METROPOLITAN DISTRICT NO. 2

2021 BUDGET MESSAGE

District Services

The Dawson Ridge Metropolitan District No. 2 has prepared its 2021 budget utilizing the modified accrual basis of account. The District has no obligations relating to lease-purchase agreements. The District was formed to provide for the construction, installation, and completion of arterial and collector roadways, water and sewer facilities, traffic signal and safety devices, and all other necessary improvements for such projects.

Budget Features

General Fund

Per agreement with Districts #1, #3, #4 and #5, monies receipted in the Debt Service Funds will be transferred into District #1. General Fund expenditures for accounting, management, insurance, etc. are budgeted at \$182 and will be transferred to District #5 for payment.

The mill levy will remain at 45.00 mills for 2021.

CERTIFICATION OF VALUATION BY DOUGLAS COUNTY ASSESSOR

Name of Jurisdiction: 4105 - Dawson Ridge Metro District 2

IN DOUGLAS COUNTY ON 10/12/2020

New Entity: No

USE FOR STATUTORY PROPERTY TAX REVENUE LIMIT CALCULATIONS (5.5% LIMIT) O	NLY

IN ACCORDANCE WITH 39-5-121(2)(a) AND 39-5-128(1), C.R.S. AND NO LATER THAN AUGUST 25, THE ASSESSOR CERTIFIES THE TOTAL

	VALUATION FOR ASSESSMENT FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY. COLORADO	•
1.	PREVIOUS YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	<u>\$6,370</u>
2.	CURRENT YEAR'S GROSS TOTALTAXABLE ASSESSED VALUATION: *	\$3,770
3.	LESS TIF DISTRICT INCREMENT, IF ANY:	<u>\$0</u>
4.	CURRENT YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	\$3,770
5.	NEW CONSTRUCTION: **	<u>\$0</u>
_	INODE AGED PRODUCTION OF PRODUCING MINES.	40
6.	INCREASED PRODUCTION OF PRODUCING MINES: #	\$0
7.	ANNEXATIONS/INCLUSIONS:	<u>\$0</u>
8.	PREVIOUSLY EXEMPT FEDERAL PROPERTY: #	<u>\$0</u>
9.	NEW PRIMARY OIL OR GAS PRODUCTION FROM ANY PRODUCING OIL AND GAS LEASEHOLD ## OR LAND (29-1-301(1)(b) C.R.S.):	<u>\$0</u>
10.	TAXES COLLECTED LAST YEAR ON OMITTED PROPERTY AS OF AUG. 1 (29-1-301(1))(a) C.R.S.):	<u>\$0.00</u>
11.	TAXES ABATED AND REFUNDED AS OF AUG. 1 (29-1-301(1)(a) C.R.S.) and (39-10-114(1)(a)(I)(B) C.R.S.):	<u>\$0.00</u>
	his value reflects personal property exemptions IF enacted by the jurisdiction as authorized by Art. X, Sec.20(8)(b),Colo. Iew construction is defined as: Taxable real property structures and the personal property connected with the structure.	
# J	urisdiction must submit respective certifications (Forms DLG 52 AND 52A) to the Division of Local Government in order for the value	s to be treated as growth in the limit
	sulation. Jurisdiction must apply (Forms DLG 52B) to the Division of Local Government before the value can be treated as growth in the limit	calculation
-		
TNI	USE FOR 'TABOR' LOCAL GROWTH CALCULATIONS ONLY ACCORDANCE WITH THE PROVISION OF ARTICLE X, SECTION 20, COLO CONST, AND 39-5-121(2)(b),C.R.S.	THE ASSESSOR CERTIFIES TH
	TAL ACTUAL VALUATION FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY, COLORADO ON AUGUS	
1.	CURRENT YEAR'S TOTAL ACTUAL VALUE OF ALL REAL PROPERTY: @	<u>\$13,005</u>
	ADDITIONS TO TAXABLE REAL PROPERTY:	
2.	CONSTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS:	<u>\$0</u>
3.	ANNEXATIONS/INCLUSIONS:	<u>\$0</u>
4.	INCREASED MINING PRODUCTION: %	<u>\$0</u>
5.	PREVIOUSLY EXEMPT PROPERTY:	\$0
6.	OIL OR GAS PRODUCTION FROM A NEW WELL:	\$ 0
7.	TAXABLE REAL PROPERTY OMITTED FROM THE PREVIOUS YEAR'S TAX WARRANT:	\$0
•	(If land and/or a structure is picked up as omitted property for multiple years, only the most current year's actual value can be reported as omitte	
	DELETIONS FROM TAXABLE REAL PROPERTY:	
8.	DESTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS:	<u>\$0</u>
9.	DISCONNECTIONS/EXCLUSION:	<u>\$0</u>
10.	PREVIOUSLY TAXABLE PROPERTY:	\$0
@	ا Fhis includes the actual value of all taxable real property plus the actual value of religious, private schools, and charitable real prope	
! Co	onstruction is defined as newly constructed taxable real property structures.	
% I	ncludes production from new mines and increases in production of existing producing mines.	
IN	ACCORDANCE WITH 39-5-128(1), C.R.S. AND NO LATER THAN AUGUST 25, THE ASSESSOR CERTIFIES	
TC	SCHOOL DISTRICTS: 1. TOTAL ACTUAL VALUE OF ALL TAXABLE PROPERTY:>	<u>\$0</u>

NOTE: All levies must be Certified to the Board of County Commissioners NO LATER THAN DECEMBER 15, 2020

Data Date: 10/12/2020

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ANNUAL REPORT DAWSON RIDGE METROPOLITAN DISTRICTS NO. 1, NO. 2, NO. 3, NO. 4 AND NO. 5, DOUGLAS COUNTY, COLORADO, REPORTING PERIOD ENDING DECEMBER 31, 2020

Pursuant to Section 32-1-207(3)(c), C.R.S., Special District Act, and Section 11.02.040 of Castle Rock Municipal Code, the following report is submitted by the Boards of Directors of Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 (the "Districts"), to the Town Council of the Town of Castle Rock.

The following information concerns the activity and financial events of the Districts during the year 2020:

1. Progress in implementing service plan.

The Districts are currently operating under a Suspension Agreement with the Town of Castle Rock, dated October 8, 1992, which does not allow the Districts to exercise their powers other than those administrative functions necessary to remain in lawful existence and in compliance with State law, until the Districts submit amendments to their service plans that are approved by the Town of Castle Rock.

2. 2020 Audit.

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 each qualify for, and have applied to the State Auditor's Office for, audit exemptions for fiscal year 2020. Copies of the Applications for Exemption from Audit are attached to this report.

3. <u>Capital expenditures and capital improvements.</u>

The Districts did not incur any capital expenditures in the development of public facilities in the report year of 2020.

4. <u>Capital improvements/projects proposed to be undertaken in 5 years following 2020.</u>

None.

5. Financial obligations and assessed valuation.

Financial Obligations are reflected on the Applications for Exemption from Audit and the 2021 budgets attached to this report.

<u>District No. 1:</u> The gross total assessed valuation of all taxable properties within the District No. 1 as of December 2020 was \$77,050.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 2:</u> The gross total assessed valuation of all taxable properties within the District No. 2 as of December 2020 was \$3,770.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 3:</u> The gross total assessed valuation of all taxable properties within the District No. 3 as of December 2020 was \$33,650.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 4:</u> The gross total assessed valuation of all taxable properties within the District No. 4 as of December 2020 was \$5,880.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 5:</u> The gross total assessed valuation of all taxable properties within the District No. 5 as of December 2020 was \$60.00. No portion of the District 2020 mill levy was pledged to debt retirement.

6. <u>Budgets for 2021.</u>

Copies of the Districts' budgets for 2021 as adopted on November 4, 2020 are attached to this report.

7. Residential/commercial development which occurred within Districts in 2020:

No commercial or residential development occurred within the Districts during the reporting period.

8. Fees, charges and assessments.

No fees, charges or assessments were levied or collected by the Districts in 2020.

9. Certification re: Section 11.02.060.

No action, event or condition enumerated in Section 11.02.060 of the Town of Castle Rock Municipal Code, constituting a material modification to any of the Districts' service plans, occurred in the report year.

10. Names, addresses, telephone numbers, meetings.

The names, business addresses, and telephone numbers of each member of the Board of Directors, the president, and the District counsel as of December 31, 2020 are as follows:

Colleen K. Huber 10425 Lynx Run Highlands Ranch, CO 80124 Director and President of District Nos. 1 and No. 5 and Director and Vice President of District Nos. 2, 3, and 4

Gregg Cole Miller Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and President of District Nos. 2, 3 and 4

Henry John Vanderryst Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Secretary of District Nos. 2, 3 and 4

Chad Michael Ellington Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Treasurer of District Nos. 2, 3 and 4

Kathryn T. James, Esq. Folkestad Fazekas Barrick & Patoile, P.C. 18 S. Wilcox Street, Suite 200 Castle Rock, CO 80104 303-688-3045 Legal Counsel

Regular meetings are scheduled for the 1st Wednesday of every month, commencing at 9:30 a.m., at the office of Folkestad Fazekas Barrick & Patoile, P.C., located at 18 S. Wilcox Street, Suite 200, Castle Rock, Colorado, 80104.

Pursuant to Section 32-1-207(3)(c), C.R.S., a copy of this report will be filed with the Town Council of the Town of Castle Rock, the Douglas County Board of Commissioners, the Division of Local Government, and the State Auditor, as well as being deposited with the Douglas County Clerk and Recorder and made available to any interested party as defined in Section 32-1-204(1), C.R.S.

Dated this 2nd day of June, 2021.

DAWSON RIDGE METROPOLITAN DISTRICT NO. 1, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathern T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 2, a quasi-municipal corporation and political subdivision of the State of Colorado

Bv:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 3, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 4, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 5, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James District Legal Counsel

Applications for Exemption from Audit -- 2020 Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

APPLICATION FOR EXEMPTION FROM AUDIT

SHORT FORM

NAME OF GOVERNMENT	Dawson Ridge Metropolitan District No	. 3	For the Year Ended
ADDRESS	7995 E Prentice Ave., Suite 103E		12/31/20
	Greenwood Village, CO 80111		or fiscal year ended:
CONTACT PERSON	Sue Blair		
PHONE	303-381-4960		
EMAIL	sblair@crsofcolorado.com	1	
FAX	303-381-4961		
	PART 1 - CERTIFICATION	N OF PREPARER	
I certify that I am skilled in gov	ernmental accounting and that the inform		ete and accurate, to the best of
my knowledge.			
NAME:	Joan Beans		
TITLE	Accountant		
FIRM NAME (if applicable)	Community Resource Services of Colo	rado	***************************************
ADDRESS	7995 E Prentice Ave., Suite 103E, Gre		
PHONE	303-381-4981		
DATE PREPARED	3/1/21		
PREPARER (SIGNATU			
Goan	Beans		
Please indicate whether the follousing Governmental or Propriet	owing financial information is recorded	GOVERNMENTAL (MODIFIED ACCRUAL BASIS)	PROPRIETARY (CASH OR BUDGETARY BASIS)
Juania governmental di Liphier	ary runa types	l 573	

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PART 2 - REVENUE

REVENUE: All revenues for all funds must be reflected in this section, including proceeds from the sale of the government's land, building, and equipment, and proceeds from debt or lease transactions. Financial information will not include fund equity information.

Line#		Des	cription	Round to neare	st Dollar	Please use this
2-1	Taxes:	Property	(report mills levied in Question 10-6)	\$	1,721	space to provide
2-2		Specific owners	hip	\$	115	any necessary
2-3		Sales and use		\$	-	explanations
2-4		Other (specify):		\$	_	
2-5	Licenses and permi	its		\$	_	T
2-6	Intergovernmental:		Grants	\$	_	1
2-7			Conservation Trust Funds (Lottery)	\$	-	1
2-8			Highway Users Tax Funds (HUTF)	\$	_	1
2-9			Other (specify):	\$	-	1
2-10	Charges for service	S		\$	-	1
2-11	Fines and forfeits			\$	-	1
2-12	Special assessment	ts		\$	-	1
2-13	Investment income			\$	-	1
2-14	Charges for utility s	ervices		\$	-	1
2-15	Debt proceeds		(should agree with line 4-4, column 2)	\$	-]
2-16	Lease proceeds			\$	_	
2-17	Developer Advances	s received	(should agree with line 4-4)	\$	-	1
2-18	Proceeds from sale	of capital assets		\$	-	
2-19	Fire and police pens	sion		\$	-	
2-20	Donations			\$	-]
2-21	Other (specify):			\$	-]
2-22				\$	-]
2-23				\$	-]
2-24		(add line	es 2-1 through 2-23) TOTAL REVENUE	\$	1,836	

PART 3 - EXPENDITURES/EXPENSES

EXPENDITURES: All expenditures for all funds must be reflected in this section, including the purchase of capital assets and principal and interest payments on long-term debt. Financial information will not include fund equity information.

Line#	Description	in not include fand equity mion	Round to ne	arest Dollar	Please use this
3-1	Administrative		\$		space to provide
3-2	Salaries		\$	-	any necessary
3-3	Payroll taxes		\$	-	explanations
3-4	Contract services		\$	1,819	
3-5	Employee benefits		\$	-	
3-6	Insurance		\$	-	
3-7	Accounting and legal fees		\$	-	
3-8	Repair and maintenance		\$		
3-9	Supplies		\$	-	
3-10	Utilities and telephone		\$	-	
3-11	Fire/Police		\$	-	
3-12	Streets and highways		\$	-	
3-13	Public health		\$	-	
3-14	Capital outlay		\$	-	
3-15	Utility operations		\$	-	
3-16	Culture and recreation		\$	-	
3-17	Debt service principal	(should agree with Part 4)	\$	-	
3-18	Debt service interest		\$	-	
3-19	Repayment of Developer Advance Principal	(should agree with line 4-4)	\$	-	
3-20	Repayment of Developer Advance Interest		\$	-	
3-21	Contribution to pension plan	(should agree to line 7-2)	\$	-	
3-22	Contribution to Fire & Police Pension Assoc.	(should agree to line 7-2)	\$	-	
3-23	Other (specify): County Treasurer Fees		\$	3	
3-24			\$	-	
3-25			\$	-	
3-26	(add lines 3-1 through 3-24) TOTAL EX	PENDITURES/EXPENSES	\$	1,822	

If TOTAL REVENUE (Line 2-24) or TOTAL EXPENDITURES (Line 3-26) are GREATER than \$100,000 - <u>STOP</u>. You may not use this form. Please use the "Application for Exemption from Audit -<u>LONG FORM</u>".

	PART 4 - DEBT OUTSTANDING	G, ISSUED	, AND RI	ETIRED	
	Please answer the following questions by marking the	appropriate boxes.		Yes	No
4-1	Does the entity have outstanding debt? If Yes, please attach a copy of the entity's Debt Repayment S	obodulo			7
4-2	is the debt repayment schedule attached? If no, MUST explai			П	
. ~	S the debt repayment schedule attached: If no, most explai	11.]	
4-3	Is the entity current in its debt service payments? If no, MUS	Tavalain	· · · · · · · · · · · · · · · · · · ·]	11
4-3	is the entity current in its debt service payments? If no, mos	і ехріані.	······································]	
4-4	Please complete the following debt schedule, if applicable: (please only include principal amounts)(enter all amount as positive numbers)	Outstanding at end of prior year*	Issued during year	Retired during year	Outstanding at year-end
	General obligation bonds				
	Revenue bonds	\$ - \$ -	\$ - \$ -	\$ - \$ -	\$ - \$ -
	Notes/Loans	\$ -	\$ -	\$ -	\$ -
	Leases	\$ -	\$ -	\$ -	\$ -
	Developer Advances	\$ -	\$ -	\$ -	\$ -
	Other (specify):	\$ -	\$ -	\$ -	\$ -
	TOTAL	\$ -	\$ -	\$ -	\$ -
		*must tie to prior ye	 ' 	1 7	Ι Ψ
	Please answer the following questions by marking the appropriate boxes			Yes	No
4-5	Does the entity have any authorized, but unissued, debt?				
If yes:		\$	23,175,000]	
	Date the debt was authorized:	5/2/2	000] _	
4-6	Does the entity intend to issue debt within the next calendar	year?		, D	✓
If yes:	How much?	\$]	r
4-7	Does the entity have debt that has been refinanced that it is s	still responsible	for?	, U	. 🗸
If yes:		\$	· ·]	
4-8	Does the entity have any lease agreements? What is being leased?			,	\checkmark
If yes:	What is the original date of the lease?			1	
	Number of years of lease?			1	
	Is the lease subject to annual appropriation?	<u> </u>	· · · · · · · · · · · · · · · · · · ·	, \Box	
	What are the annual lease payments?	\$	-]	
	Please use this space to provide any	explanations or	comments:		
	PART 5 - CASH AND	INVESTM	IENTS		
	Please provide the entity's cash deposit and investment balances.			Amount	Total
5-1	YEAR-END Total of ALL Checking and Savings Accounts			\$ -	
5-2	Certificates of deposit			\$ -	
	Total Cash Deposits			İ	\$ -
	Investments (if investment is a mutual fund, please list underlying	investments):			
	<u> 1888 - An Culti-Astronom de Alabaria de La Astronomia de</u>	in saya ke aharata da estitu.	North Color (1987)	\$ -	1
				\$ -	1
5-3			· · · · · · · · · · · · · · · · · · ·	\$ -	1
				\$ -	1
	Total Investments				\$ -
	Total Cash and Investments			<u> </u>	\$ -
	Please answer the following questions by marking in the approp		Yes	No	N/A
5-4	Are the entity's Investments legal in accordance with Section	24-75-601, et.			V
	seq., C.R.S.?			d	J
5-5	Are the entity's deposits in an eligible (Public Deposit Protec	tion Act) public			V
	depository (Section 11-10.5-101, et seq. C.R.S.)?		<u></u>	<u>.</u>	ü
is no Mil	IST use this space to provide any explanations:				

	PART 6 - CAPITA Please answer the following questions by marking in the appropriate box		ETS		V	,	
6-1	Does the entity have capital assets?	es.			Yes	No V)
	Does the entity have capital assets:						
6-2	Has the entity performed an annual inventory of capital asset 29-1-506, C.R.S.,? If no, MUST explain:	s in accordan	nce with Sect	ion			
6-3		Balance -	Additions			Year-	End
	Complete the following capital assets table:	beginning of t	the be include Part 3		Deletions	Balar	ıce
	Land	\$ -		-	\$ -	\$	-
	Buildings	\$ -	\$	-	\$ -	\$	_
	Machinery and equipment	\$ -		-	\$ -	\$	-
	Furniture and fixtures	\$ -	\$	-	\$ -	\$	-
	Infrastructure	\$ -	ΙΨ	-	\$ -	\$	-
	Construction In Progress (CIP)	\$ -		-	\$ -	\$	_
	Other (explain):	\$ -	\$	-	\$ -	\$	-
	Accumulated Depreciation	\$ -	ΙΨ	-	\$ -	\$	-
	TOTAL	\$ -	\$	-	\$ -	\$	_
	Please use this space to provide any	explanations	or comment	s:			
	PART 7 - PENSION	INFORM	IATION				
	Please answer the following questions by marking in the appropriate box				Yes	No)
7-1	Does the entity have an "old hire" firemen's pension plan?					V	
7-2	Does the entity have a volunteer firemen's pension plan?					V	
If yes:	Who administers the plan?						
	Indicate the contributions from:						
	Tax (property, SO, sales, etc.):		\$				
	State contribution amount:		\$	-			
	Other (gifts, donations, etc.):		\$	-			
	TOTAL		\$	_			
	What is the monthly benefit paid for 20 years of service per re	etiree as of Ja	an \$	-		,	
	Please use this space to provide any			s:			
		•					
	PART 8 - BUDGET	INFORM	ATION				
			Yes		B1 -	517	
8-1	Please answer the following questions by marking in the appropriate box Did the entity file a budget with the Department of Local Affai		1 es		No	N/A	4
0-1	current year in accordance with Section 29-1-113 C.R.S.?	is for the	<u> </u>		L	LJ	
	current year in accordance with Section 25-1-115 C.N.S.:						
8-2							
0-2	Did the entity pass an appropriations resolution, in accordance	ce with Section	on 🕝				
	29-1-108 C.R.S.? If no, MUST explain:						
If yes:	Please indicate the amount budgeted for each fund for the ye	ar reported:					
	Fund Name	Budgeted Exp	enditures/Expe	nses			
	General Fund	\$		024			
	Conorai i uno	*		<u> </u>			
	<u>L.,</u>		 				

	PART 9 - TAXPAYER'S BILL OF RIGHTS (TAB	OR)	
9-1	Please answer the following question by marking in the appropriate box Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]? Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.	Yes	No
lf no, ML	IST explain:		
	PART 10 - GENERAL INFORMATION		
	Please answer the following questions by marking in the appropriate boxes.	Yes	No
10-1	Is this application for a newly formed governmental entity?		V
If yes: 10-2	Date of formation: Has the entity changed its name in the past or current year?		V
If yes:	Please list the NEW name & PRIOR name:		
10-3	Is the entity a metropolitan district? Please indicate what services the entity provides:	abla	
10-4	Water, irrigation, sanitation, drainage, streets, traffic & safety controls, transportation, parks & rec Does the entity have an agreement with another government to provide services?		
If yes:	List the name of the other governmental entity and the services provided: All services are provided by Dawson Ridge Metropolitan District No. 5		
10-5 If yes:	Has the district filed a <i>Title 32, Article 1 Special District Notice of Inactive Status</i> during Date Filed:		☑
10-6 If yes:	Does the entity have a certified Mill Levy?	Ø	
11 yes.	Please provide the following mills levied for the year reported (do not report \$ amounts):		
	Bond Redemption mills General/Other mills		- 45.000
	Total mills Please use this space to provide any explanations or comments:		45.000

	PART 11 - GOVERNING BODY APPROVAL		
	Please answer the following question by marking in the appropriate box	YES	NO
12-1	If you plan to submit this form electronically, have you read the new Electronic Signature Policy?		

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedure

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as Docusign or Echosign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
- a. Include a copy of an adopted resolution that documents formal approval by the Board, or
- b. Include electronic signatures obtained through a software program such as Docusign or Echosign in accordance with the requirements noted above.

	Print the names of ALL members of current governing body below.	A <u>MAJORITY</u> of the members of the governing body must complete and sign in the column below.
Board Member	Print Board Member's Name Colleen Huber	I, Colleen Huber, attest Lapp as guly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed Date: 3/29/2021 60F16B76C2DF413
1	· · · · · · · · · · · · · · · · · · ·	My term Expires: May 2022
Board Member 2	Gregg Miller	I, Gregg Miller, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed Date: 3/30/2021 A84539D6D3B045D My term Expires: May 2022
Board Member 3	Henry Vadnerryst	I, Henry Vanderryst, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed Date: My term Expires: May 2022
Board Member 4	Chad Ellington	I, Chad Ellington, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed Date: 3/30/2021 — 90429E1E0A384C3 My term Expires: May 2023
Board Member 5	Print Board Member's Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed
Board Member 6	Print Board Member's Name	member, and that I have personally reviewed and approve this application for exemption from audit. Signed
Board Member 7	Print Board Member's Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed Date: My term Expires:



Certificate Of Completion

Envelope Id: 62F97E4071D94FA7A0CAD9EB27100B72

Subject: Please DocuSign: 2020 Audit Exemption - Dawson Ridge No. 3.pdf

Source Envelope:

Document Pages: 7 Certificate Pages: 5 Signatures: 3 Initials: 0

AutoNav: Enabled

Envelopeld Stamping: Enabled

Time Zone: (UTC-08:00) Pacific Time (US & Canada)

Status: Completed

Envelope Originator: Marcos Pacheco

mpacheco@crsofcolorado.com IP Address: 96.88.70.121

Record Tracking

Status: Original

3/29/2021 8:03:44 AM

Holder: Marcos Pacheco

mpacheco@crsofcolorado.com

Location: DocuSign

Signer Events

Colleen Huber

colleen.huber@coloradohomes.com

Security Level: Email, Account Authentication

(None)

Signature

Colleen Huber —60F16B76C2DF413...

Signature Adoption: Pre-selected Style Using IP Address: 73.229.95.75

Timestamp

Sent: 3/29/2021 8:07:42 AM Viewed: 3/29/2021 3:26:48 PM Signed: 3/29/2021 3:27:03 PM

Electronic Record and Signature Disclosure:

Accepted: 3/29/2021 3:26:48 PM ID: 09da1e80-0307-4165-a2ab-71cf929c457d

Gregg Miller

gmiller.co@gmail.com

Security Level: Email, Account Authentication

(None)

Docusing ned by:

AM AUL

A84539D6D3B045D...

Signature Adoption: Drawn on Device Using IP Address: 69.59.91.181

Sent: 3/29/2021 3:27:04 PM Viewed: 3/30/2021 7:20:02 AM Signed: 3/30/2021 7:20:41 AM

Electronic Record and Signature Disclosure:

Accepted: 3/30/2021 7:20:02 AM

ID: a018d887-15bc-4279-8127-e0d751393a0e

Chad Ellington

chad@peakdevgrp.com

Security Level: Email, Account Authentication

(None)

Chad Ellington

90429E1E0A384C3...

Signature Adoption: Pre-selected Style Using IP Address: 107.77.219.226

Signed using mobile

Sent: 3/30/2021 9:03:14 AM Viewed: 3/30/2021 9:08:07 AM Signed: 3/30/2021 9:08:13 AM

Electronic Record and Signature Disclosure:

Accepted: 3/30/2021 9:08:07 AM

In Person Signer Events

ID: be40c415-fd70-40e9-920c-01bcda58ce64

Signature Timestamp

Editor Delivery Events Status Timestamp

Agent Delivery Events Status Timestamp

Intermediary Delivery Events Status Timestamp

Certified Delivery Events Status Timestamp

Carbon Copy Events Status Timestamp Joan Beans Sent: 3/30/2021 9:08:14 AM **COPIED** jbeans@crsofcolorado.com Viewed: 3/30/2021 9:19:05 AM Security Level: Email, Account Authentication **Electronic Record and Signature Disclosure:** Not Offered via DocuSign Katie James Sent: 3/30/2021 9:08:15 AM **COPIED** james@ffcolorado.com Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Not Offered via DocuSign Tina Vildbill Sent: 3/30/2021 9:08:15 AM **COPIED**

Vildbill@affcolorado.com

Security Level: Email, Account Authentication

Electronic Record and Signature Disclosure:

Not Offered via DocuSign

Witness Events	Signature	Timestamp
Notary Events	Signature	Timestamp
Envelope Summary Events	Status	Timestamps
Envelope Sent	Hashed/Encrypted	3/29/2021 8:07:42 AM ₍
Certified Delivered	Security Checked	3/30/2021 9:08:07 AM
Signing Complete	Security Checked	3/30/2021 9:08:13 AM
Completed	Security Checked	3/30/2021 9:08:15 AM

2021 Budgets

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

DAWSON RIDGE METRO DISTRICT NO. 3 GENERAL FUND

2021 ADOPTED BUDGET

WITH 2019 ACTUAL AND 2020 ESTIMATED AMOUNTS FOR THE YEARS ENDED AND ENDING DECEMBER 31,

	2019 Actual	2020 timated	2021 lopted
REVENUES		 	
Property taxes	\$ 1,917	\$ 1,892	\$ 1,514
Property taxes - abatements	-	-	229
Specific ownership taxes	160	132	106
Total revenues	 2,077	 2,024	 1,849
EXPENDITURES			
County treasurer fees	29	10	23
Service fees to District No. 5	2,048	1,953	1,771
Emergency reserve	 -	 61	55
Total expenditures	2,077	2,024	 1,849
NET CHANGE IN FUND BALANCE	-	-	-
BEGINNING FUND BALANCE	 	 <u>-</u>	
ENDING FUND BALANCE	\$ -	\$ - -	\$ <u>-</u>

DAWSON RIDGE METROPOLITAN DISTRICT NO. 3

2021 BUDGET MESSAGE

District Services

The Dawson Ridge Metropolitan District No. 3 has prepared its 2021 budget utilizing the modified accrual basis of account. The District has no obligations relating to lease-purchase agreements. The District was formed to provide for the construction, installation, and completion of arterial and collector roadways, water and sewer facilities, traffic signal and safety devices, and all other necessary improvements for such projects.

Budget Features

General Fund

Per agreement with Districts #1, #2, #4 and #5, monies receipted in the Debt Service Funds will be transferred into District #1. General Fund expenditures for accounting, management, insurance, etc. are budgeted at \$1,849 and will be transferred to District #5 for payment.

The mill levy will remain at 45.00 mills for 2021.

CERTIFICATION OF VALUATION BY DOUGLAS COUNTY ASSESSOR

Name of Jurisdiction: 4106 - Dawson Ridge Metro District 3

IN DOUGLAS COUNTY ON 10/12/2020

New Entity: No

IN ACCORDANCE WITH 39-5-121(2)(a) AND 39-5-128(1), C.R.S. AND NO LATER THAN AUGUST 25, THE ASSESSOR CERTIFIES THE TOTAL
VALUATION FOR ASSESSMENT FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY, COLORADO

•	VALUATION FOR ASSESSMENT FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY. COLORADO	
1.	PREVIOUS YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	<u>\$42,050</u>
2.	CURRENT YEAR'S GROSS TOTALTAXABLE ASSESSED VALUATION: *	\$33,650
3.	LESS TIF DISTRICT INCREMENT, IF ANY:	\$0
4.	CURRENT YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	\$33,650
5.	NEW CONSTRUCTION: **	\$0
	INCREASED PRODUCTION OF PRODUCING MINES: #	<u>\$0</u>
	ANNEXATIONS/INCLUSIONS:	<u>\$0</u>
8.	PREVIOUSLY EXEMPT FEDERAL PROPERTY: #	<u>\$0</u>
	NEW PRIMARY OIL OR GAS PRODUCTION FROM ANY PRODUCING OIL AND GAS LEASEHOLD ## OR LAND (29-1-301(1)(b) C.R.S.):	<u>\$0</u>
10.	TAXES COLLECTED LAST YEAR ON OMITTED PROPERTY AS OF AUG. 1 (29-1-301(1))(a) C.R.S.):	\$0.00
	TAXES ABATED AND REFUNDED AS OF AUG. 1 (29-1-301(1)(a) C.R.S.) and (39-10-114(1)(a)(I)(B) C.R.S.):	\$229.50
	is value reflects personal property exemptions IF enacted by the jurisdiction as authorized by Art. X, Sec.20(8)(b),Colo. ew construction is defined as: Taxable real property structures and the personal property connected with the structure.	
# Ju	risdiction must submit respective certifications (Forms DLG 52 AND 52A) to the Division of Local Government in order for the values	s to be treated as growth in the limit
	ulation. urisdiction must apply (Forms DLG 52B) to the Division of Local Government before the value can be treated as growth in the limit (ealculation
TNY A	USE FOR 'TABOR' LOCAL GROWTH CALCULATIONS ONLY ACCORDANCE WITH THE PROVISION OF ARTICLE X, SECTION 20, COLO CONST, AND 39-5-121(2)(b),C.R.S.	PLIE ACCECCOD CEDITICIES TYL
TOI	CAL ACTUAL VALUATION FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY, COLORADO ON AUGUST	25, 2020
1.	CURRENT YEAR'S TOTAL ACTUAL VALUE OF ALL REAL PROPERTY: @	\$24,647
	ADDITIONS TO TAXABLE REAL PROPERTY:	
2.	CONSTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS: !	\$0
3.	ANNEXATIONS/INCLUSIONS:	<u>\$0</u>
4.	INCREASED MINING PRODUCTION: %	<u>\$0</u>
5.	PREVIOUSLY EXEMPT PROPERTY:	\$0
6.	OIL OR GAS PRODUCTION FROM A NEW WELL:	<u>\$0</u>
7 .	TAXABLE REAL PROPERTY OMITTED FROM THE PREVIOUS YEAR'S TAX WARRANT:	\$0
	(If land and/or a structure is picked up as omitted property for multiple years, only the most current year's actual value can be reported as omitted	·
	DELETIONS FROM TAXABLE REAL PROPERTY:	
8.	DESTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS:	<u>\$0</u>
9.	DISCONNECTIONS/EXCLUSION:	<u>\$0</u>
10.	PREVIOUSLY TAXABLE PROPERTY:	\$0
@ TI	L his includes the actual value of all taxable real property plus the actual value of religious, private schools, and charitable real proper	
_	nstruction is defined as newly constructed taxable real property structures.	-
% In	cludes production from new mines and increases in production of existing producing mines.	
IN A	ACCORDANCE WITH 39-5-128(1), C.R.S. AND NO LATER THAN AUGUST 25, THE ASSESSOR CERTIFIES	
	SCHOOL DISTRICTS: 1. TOTAL ACTUAL VALUE OF ALL TAXABLE PROPERTY:	<u>\$0</u>
	NOTE: All levies must be Certified to the Board of County Commissioners NO LATER THAN DECEM	BER 15, 2020

Data Date: 10/12/2020

		1	
	4		
	•		

ANNUAL REPORT DAWSON RIDGE METROPOLITAN DISTRICTS NO. 1, NO. 2, NO. 3, NO. 4 AND NO. 5, DOUGLAS COUNTY, COLORADO, REPORTING PERIOD ENDING DECEMBER 31, 2020

Pursuant to Section 32-1-207(3)(c), C.R.S., Special District Act, and Section 11.02.040 of Castle Rock Municipal Code, the following report is submitted by the Boards of Directors of Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 (the "Districts"), to the Town Council of the Town of Castle Rock.

The following information concerns the activity and financial events of the Districts during the year 2020:

1. Progress in implementing service plan.

The Districts are currently operating under a Suspension Agreement with the Town of Castle Rock, dated October 8, 1992, which does not allow the Districts to exercise their powers other than those administrative functions necessary to remain in lawful existence and in compliance with State law, until the Districts submit amendments to their service plans that are approved by the Town of Castle Rock.

2. 2020 Audit.

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 each qualify for, and have applied to the State Auditor's Office for, audit exemptions for fiscal year 2020. Copies of the Applications for Exemption from Audit are attached to this report.

3. Capital expenditures and capital improvements.

The Districts did not incur any capital expenditures in the development of public facilities in the report year of 2020.

4. <u>Capital improvements/projects proposed to be undertaken in 5 years following 2020.</u>

None.

5. Financial obligations and assessed valuation.

Financial Obligations are reflected on the Applications for Exemption from Audit and the 2021 budgets attached to this report.

<u>District No. 1:</u> The gross total assessed valuation of all taxable properties within the District No. 1 as of December 2020 was \$77,050.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 2:</u> The gross total assessed valuation of all taxable properties within the District No. 2 as of December 2020 was \$3,770.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 3:</u> The gross total assessed valuation of all taxable properties within the District No. 3 as of December 2020 was \$33,650.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 4:</u> The gross total assessed valuation of all taxable properties within the District No. 4 as of December 2020 was \$5,880.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 5:</u> The gross total assessed valuation of all taxable properties within the District No. 5 as of December 2020 was \$60.00. No portion of the District 2020 mill levy was pledged to debt retirement.

6. Budgets for 2021.

Copies of the Districts' budgets for 2021 as adopted on November 4, 2020 are attached to this report.

7. Residential/commercial development which occurred within Districts in 2020:

No commercial or residential development occurred within the Districts during the reporting period.

8. Fees, charges and assessments.

No fees, charges or assessments were levied or collected by the Districts in 2020.

9. Certification re: Section 11.02.060.

No action, event or condition enumerated in Section 11.02.060 of the Town of Castle Rock Municipal Code, constituting a material modification to any of the Districts' service plans, occurred in the report year.

10. Names, addresses, telephone numbers, meetings.

The names, business addresses, and telephone numbers of each member of the Board of Directors, the president, and the District counsel as of December 31, 2020 are as follows:

Colleen K. Huber 10425 Lynx Run Highlands Ranch, CO 80124 Director and President of District Nos. 1 and No. 5 and Director and Vice President of District Nos. 2, 3, and 4

Gregg Cole Miller Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and President of District Nos. 2, 3 and 4

Henry John Vanderryst Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Secretary of District Nos. 2, 3 and 4

Chad Michael Ellington Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Treasurer of District Nos. 2, 3 and 4

Kathryn T. James, Esq. Folkestad Fazekas Barrick & Patoile, P.C. 18 S. Wilcox Street, Suite 200 Castle Rock, CO 80104 303-688-3045 Legal Counsel

Regular meetings are scheduled for the 1st Wednesday of every month, commencing at 9:30 a.m., at the office of Folkestad Fazekas Barrick & Patoile, P.C., located at 18 S. Wilcox Street, Suite 200, Castle Rock, Colorado, 80104.

Pursuant to Section 32-1-207(3)(c), C.R.S., a copy of this report will be filed with the Town Council of the Town of Castle Rock, the Douglas County Board of Commissioners, the Division of Local Government, and the State Auditor, as well as being deposited with the Douglas County Clerk and Recorder and made available to any interested party as defined in Section 32-1-204(1), C.R.S.

Dated this 2nd day of June, 2021.

DAWSON RIDGE METROPOLITAN DISTRICT NO. 1, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Katheyn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 2, a quasi-municipal corporation and political subdivision of the State of Colorado

Bv

Kathryn T. James, Distric Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 3, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 4, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 5, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James District Legal Counsel

Applications for Exemption from Audit -- 2020

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

using Governmental or Proprietary fund types

APPLICATION FOR EXEMPTION FROM AUDIT

SHORT FORM

NAME OF GOVERNMENT	Dawson Ridge Metropolitan District No.	4	For the Year Ended
ADDRESS	7995 E Prentice Ave., Suite 103E		12/31/20
	Greenwood Village, CO 80111		or fiscal year ended:
CONTACT PERSON	Sue Blair		
PHONE	303-381-4960		
EMAIL	sblair@crsofcolorado.com		
FAX	303-381-4961		
	PART 1 - CERTIFICATIO	N OF PREPARER	
I certify that I am skilled in governy knowledge.	ernmental accounting and that the informa		te and accurate, to the best of
NAME:	Joan Beans		
TITLE	Accountant		
FIRM NAME (if applicable)	Community Resource Services of Color	ado	
ADDRESS	7995 E Prentice Ave., Suite 103E, Gree	nwood Village, CO 80111	Water and the state of the stat
PHONE	303-381-4981		
DATE PREPARED	3/1/8/		
PREPARER (SIGNATUR	RE REQUIRED)		
Joan F	Seans		
Please indicate whether the follo	wing financial information is recorded	GOVERNMENTAL	PROPRIETARY

7

PART 2 - REVENUE

REVENUE: All revenues for all funds must be reflected in this section, including proceeds from the sale of the government's land, building, and equipment, and proceeds from debt or lease transactions. Financial information will not include fund equity information.

Line#		Desci	ription		Round to nearest Dollar	Please use this
2-1	Taxes:	Property (re	eport mills levied in Question 10-6)	\$	296	space to provide
2-2		Specific ownersh	ip	\$	17	any necessary
2-3		Sales and use		\$	-	explanations
2-4		Other (specify):		\$	-	
2-5	Licenses and permit	s		49	-	
2-6	Intergovernmental:	G	irants	\$	-]
2-7		С	onservation Trust Funds (Lottery)	\$	-]
2-8		Ĥ	lighway Users Tax Funds (HUTF)	\$]
2-9		0	ther (specify):	\$	-]
2-10	Charges for services	;		\$]
2-11	Fines and forfeits			\$	-]
2-12	Special assessments	S		\$	-]
2-13	Investment income			\$	-	
2-14	Charges for utility se	ervices		\$	-	
2-15	Debt proceeds		(should agree with line 4-4, column 2)	\$	-	
2-16	Lease proceeds			\$	-	
2-17	Developer Advances	received	(should agree with line 4-4)	\$	-]
2-18	Proceeds from sale	of capital assets		\$	-	
2-19	Fire and police pens	ion		\$		
2-20	Donations			\$	-]
2-21	Other (specify): Cou	nty treasurer fees		\$	-]
2-22				\$	-	
2-23				\$	_	
2-24		(add lines	2-1 through 2-23) TOTAL REVENUE	\$	313	

PART 3 - EXPENDITURES/EXPENSES

EXPENDITURES: All expenditures for all funds must be reflected in this section, including the purchase of capital assets and principal and interest payments on long-term debt. Financial information will not include fund equity information.

Line#	Description	Clade fund equity inform	Round to nearest Dollar		Please use this
3-1	Administrative		\$	-	space to provide
3-2	Salaries		\$	-	any necessary
3-3	Payroll taxes		\$	-	explanations
3-4	Contract services		\$	310	
3-5	Employee benefits		\$	-	
3-6	Insurance		\$	-	
3-7	Accounting and legal fees		\$	-	
3-8	Repair and maintenance		\$	-	
3-9	Supplies		\$	-	
3-10	Utilities and telephone		\$	-	
3-11	Fire/Police		\$	-	
3-12	Streets and highways		\$	-	
3-13	Public health		\$	-	
3-14	Capital outlay		\$	-	
3-15	Utility operations		\$	-	
3-16	Culture and recreation		\$	-	
3-17	Debt service principal	(should agree with Part 4)	\$	-	
3-18	Debt service interest		\$	-	
3-19	Repayment of Developer Advance Principal	should agree with line 4-4)	\$	-	
3-20	Repayment of Developer Advance Interest		\$	-	
3-21	Contribution to pension plan	(should agree to line 7-2)	\$	-	
3-22	Contribution to Fire & Police Pension Assoc.	(should agree to line 7-2)	\$	-	
3-23	Other (specify): County Treasurer Fees		\$	1	
3-24			\$	-	
3-25			\$	-	
3-26	(add lines 3-1 through 3-24) TOTAL EXPEND	ITURES/EXPENSES	\$	311	

If TOTAL REVENUE (Line 2-24) or TOTAL EXPENDITURES (Line 3-26) are GREATER than \$100,000 - <u>STOP</u>. You may not use this form. Please use the "Application for Exemption from Audit -<u>LONG FORM</u>".

	PART 4 - DEBT OUTSTANDIN			, AND R	ETIRE	ΞD		
4-1	Please answer the following questions by marking the Does the entity have outstanding debt?	e appropriate	boxes.		Y	es		No 7
4- :	If Yes, please attach a copy of the entity's Debt Repayment Schedule.							<u>~</u>]
4-2	is the debt repayment schedule attached? If no, MUST expla				_ 🗆			
					J			
4-3	Is the entity current in its debt service payments? If no, MUS	ST explain:			, Ш		L	_
4-4			60 N 1	regional contra				
	Please complete the following debt schedule, if applicable: (please only include principal amounts)(enter all amount as positive	Outstand		Issued during	Retired	during	Outsta	anding at
	(please only include principal amounts)(enter all amount as positive numbers)	end of pri	or year*	year	ye	ar	yea	ir-end
	General obligation bonds						6	ug Kutay.
	Revenue bonds	\$	-	\$ - \$ -	\$ \$	-	\$	
	Notes/Loans	\$	_	\$ -	\$		\$	_
	Leases	\$		\$ -	\$		\$	
	Developer Advances	\$	-	\$ -	\$		\$	-
	Other (specify):	\$	_	\$ -	\$	-	\$	-
	TOTAL	\$	-	\$ -	\$	-	\$	-
			o prior ye	ear ending balance	3			
4.5	Please answer the following questions by marking the appropriate boxes	s.				es		No
4-5 If yes:	Does the entity have any authorized, but unissued, debt? How much?	\$		14,300,000	٦ .			L.
ii yes.	Date the debt was authorized:	Ψ	5/2/2		-			
4-6	Does the entity intend to issue debt within the next calendar	vear?	0,2,2	.000	J [7		v
If yes:	How much?	\$			٦	_		
4-7	Does the entity have debt that has been refinanced that it is	still respo	nsible 1	for?		7		[J]
If yes:	What is the amount outstanding?	\$		-	_ آ	-		_
4-8	Does the entity have any lease agreements?	<u> </u>			[V
If yes:	What is being leased?]			
	What is the original date of the lease? Number of years of lease?				-			
	Is the lease subject to annual appropriation?					7		
	What are the annual lease payments?	\$		-	7	-		_
	Please use this space to provide any	y explanati	ons or	comments:			Visit.	layor a
	:							
	PART 5 - CASH AND) INVE	STM	IENTS				
	Please provide the entity's cash deposit and investment balances.				Ame	ount	Т	otal
5-1	YEAR-END Total of ALL Checking and Savings Accounts				\$	_		
5-2	Certificates of deposit				\$			
	Total Cash Deposits			the state of the second of the second of the second of the second of the second of the second of the second of			\$	-
	Investments (if investment is a mutual fund, please list underlying	g investmer	nts):					
		and a Africa Sign of Fi	V 7 2.85		\$	-]	
5-3					\$	-]	
U-U					\$	-		
					\$		ļ. <u>.</u>	
	Total Investments						\$	-
	Total Cash and Investments	nrinta bayes		Vos		10	\$	
5-4	Please answer the following questions by marking in the appropriate the entity's Investments legal in accordance with Section		1 ef	Yes		lo		N/A
J-4	seq., C.R.S.?	∠ -, -/ J=00	ı, CL.					7
5-5	Are the entity's deposits in an eligible (Public Deposit Protect	ction Act) -	auhlia					
J-5	depository (Section 11-10.5-101, et seq. C.R.S.)?	otion Mot) [JUDIIG				•	7
(6.27-10)	JST use this space to provide any explanations:							
	スプルスといった。まれらいまっていいで、最大はおけれるとはいった。日本はいれたいはなければはははないという。							

	PART 6 - CAPITA Please answer the following questions by marking in the appropriate box		SET	'S		Yes		No
6-1	Does the entity have capital assets?	c3.				res		No ✓
6-2	Has the entity performed an annual inventory of capital asset	s in acco	rdance	with Sec	tion			
	29-1-506, C.R.S.,? If no, MUST explain:							
6-3	Complete the following capital assets table:	Balan beginnin	g of the	Additions be includ	ed in	Deletions	5 m	Year-End Balance
	Land	yea \$	- -	Part 3) -	\$	- -	2 - 10 - 10 - 10 - 10 - 10 - 10 - 10 - 1
	Buildings	\$	_	\$	_			\$ -
	Machinery and equipment	\$	-	\$	-			\$ -
	Furniture and fixtures	\$	-	\$	-	<u> </u>		\$ -
	Infrastructure	\$	-	\$	-			* -
	Construction In Progress (CIP)	\$	-	\$		_		\$ -
	Other (explain):	\$		\$		_		\$ -
	Accumulated Depreciation	\$		\$	<u> </u>	•		\$ -
	TOTAL	\$	-	\$	-	\$		y - \$ -
47 J. S. S. S.	Please use this space to provide any	explanati	ons or		s:			
		Brainlei zekskenialnistrini						
			3777					
	PART 7 - PENSION	INFO	RMA	TION				
	Please answer the following questions by marking in the appropriate box	es.				Yes		No
7-1	Does the entity have an "old hire" firemen's pension plan?							V
7-2	Does the entity have a volunteer firemen's pension plan?							V
If yes:	Who administers the plan?]		
,	Indicate the contributions from:					1		
				_		1		
	Tax (property, SO, sales, etc.):			\$				
	State contribution amount:			\$	-			
	Other (gifts, donations, etc.):			\$	-			
	TOTAL			\$	-			
	What is the monthly benefit paid for 20 years of service per re			\$	-		Na 10 10 10 10 10 10 10 10 10 10 10 10 10	
	Please use this space to provide any							
The boar	d will be considering a resolution to amend the 2020 budget at the	next sche	duled b	pard meet	ing to	llowing a pul	olic he	arıng.
	PART 8 - BUDGET	INFOF	RMA.	TION				
	Please answer the following questions by marking in the appropriate box			Yes		No		N/A
8-1	Did the entity file a budget with the Department of Local Affai					110		IV/A
U -,	current year in accordance with Section 29-1-113 C.R.S.?	no ioi tiic		7		LJ		
	current year in accordance with Section 23-1-113 C.N.S.:			1				
				l				
8-2	Did the entity pass an appropriations resolution, in accordan	ce with S	ection	V				П
	29-1-108 C.R.S.? If no, MUST explain:					L1		
				ì				
If ves:	Please indicate the amount budgeted for each fund for the ye	ar reporte	ed:	!				
,	•	-						
	Fund Name	Budgetec	Expend	itures/Exp	enses			
	General Fund	\$			307			
						-		

	PART 9 - TAXPAYER'S BILL OF RIGHTS (TABO	OR)	
	Please answer the following question by marking in the appropriate box	Yes	No
9-1	Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?		
	Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.	V	
f no, Ml	JST explain:		
	PART 10 - GENERAL INFORMATION		
	Please answer the following questions by marking in the appropriate boxes.	Yes	No
10-1	Is this application for a newly formed governmental entity?		~
If yes:	Date of formation:		
10-2	Has the entity changed its name in the past or current year?	П	ᄓ
If yes:	Please list the NEW name & PRIOR name:		
10-3	Is the entity a metropolitan district?	V	
	Please indicate what services the entity provides:		
	Water, irrigation, sanitation, drainage, streets, traffic & safety controls, transportation, parks & rec		
10-4	Does the entity have an agreement with another government to provide services?	V	
If yes:	List the name of the other governmental entity and the services provided:		
	All services are provided by Dawson Ridge Metropolitan District No. 5		
10-5	Has the district filed a Title 32, Article 1 Special District Notice of Inactive Status during		
If yes:	Date Filed:		
10-6	Does the entity have a certified Mill Levy?	7	
If yes:	•		
•	Please provide the following mills levied for the year reported (do not report \$ amounts):		
	Bond Redemption mills	····	-

Please use this space to provide any explanations or comments:

General/Other mills

Total mills

45.000

45.000

	PART 11 - GOVERNING BODY APPROVAL		
	Please answer the following question by marking in the appropriate box	YES	NO
12-1	If you plan to submit this form electronically, have you read the new Electronic Signature Policy?	V	

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedure

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as Docusign or Echosign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- · Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
- a. Include a copy of an adopted resolution that documents formal approval by the Board, or
- b. Include electronic signatures obtained through a software program such as Docusign or Echosign in accordance with the requirements noted above.

	Print the names of ALL members of current governing body below.	A <u>MAJORITY</u> of the members of the governing body must complete and sign in the column below.
Board	Print Board Member's Name	I, Colleen Huber, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.
Member 1	Colleen Huber	Signed (ollew truber) Date: 60F16B76C28F443/2021
		My term Expires: May 2022
		I, Gregg Miller attest I am a duly elected or appointed board member, and that I have perspending reviewed and approve this application for exemption from audit.
Board Member	Gregg Miller	Signed Jy MJW Date: A84539D6D3643D/2021
2		My term Expires:May 2022
Board		I, Henry Vanderryst, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.
Member	Henry Vadnerryst	Signed
3		My term Expires: May 2022
Board	Print Board Member's Name	I, Chad Ellington, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed 3/30/2021
Member 4	Chad Ellington	Date:
	Print Board Member's Name	I, attest I am a duly elected or appointed board
Board		member, and that I have personally reviewed and approve this application for exemption from audit.
Member 5		Signed
Ü		Date:
	Print Board Member's Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for
Board Member 6		exemption from audit. Signed
		Date: My term Expires:
	Print Board Member's Name	, attest I am a duly elected or appointed board
Board Member		member, and that I have personally reviewed and approve this application for exemption from audit.
7		Signed
		Date: My term Expires:
		my term Expires.



Certificate Of Completion

Envelope Id: 7DCEB5C5935B47B09BF5326E2D94C6B5

Subject: Please DocuSign: 2020 Audit Exemption - Dawson Ridge No. 4.pdf

Source Envelope:

Document Pages: 7 Certificate Pages: 5

AutoNav: Enabled

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Status: Completed

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mpacheco@crsofcolorado.com IP Address: 96.88.70.121

Record Tracking

Status: Original

3/29/2021 8:07:58 AM

Holder: Marcos Pacheco

Signatures: 3

Signature

Colleen Huber

80F16B76C2DF413..

initials: 0

mpacheco@crsofcolorado.com

Location: DocuSign

Timestamp

Signer Events

Colleen Huber

colleen.huber@coloradohomes.com

(None)

Security Level: Email, Account Authentication

Electronic Record and Signature Disclosure:

Accepted: 3/29/2021 3:26:28 PM ID: f2b08631-7223-4ca5-a936-5fbd18e4a5a3

Gregg Miller

gmiller.co@gmail.com

Security Level: Email, Account Authentication

(None)

DocuSigned by: Aller

Signature Adoption: Drawn on Device Using IP Address: 69.59.91.181

Signature Adoption: Pre-selected Style Using IP Address: 73.229.95.75

Electronic Record and Signature Disclosure:

Accepted: 3/30/2021 7:18:31 AM ID: adfba06a-9675-4789-9201-086ec32b7523

Chad Ellington

chad@peakdevgrp.com

Security Level: Email, Account Authentication

(None)

Chad Ellington 90429E1E0A384C3...

Using IP Address: 189.170.38.224

Signed using mobile

Signature Adoption: Pre-selected Style

Electronic Record and Signature Disclosure: Accepted: 3/30/2021 9:06:49 AM

ID: e9b64270-50ca-433e-954e-fec3f28f6060

Signed: 3/29/2021 3:26:36 PM

Sent: 3/29/2021 8:11:57 AM

Viewed: 3/29/2021 3:26:28 PM

Sent: 3/29/2021 3:26:37 PM Viewed: 3/30/2021 7:18:31 AM

Signed: 3/30/2021 7:19:22 AM

Sent: 3/30/2021 9:02:40 AM Viewed: 3/30/2021 9:06:49 AM Signed: 3/30/2021 9:06:59 AM

In Person Signer Events Signature Timestamp
Editor Delivery Events Status Timestamp
Agent Delivery Events Status Timestamp
Intermediary Delivery Events Status Timestamp
Certified Delivery Events Status Timestamp

Carbon Copy Events Status Timestamp Joan Beans Sent: 3/30/2021 9:07:00 AM **COPIED** Viewed: 3/30/2021 9:07:29 AM jbeans@crsofcolorado.com Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Not Offered via DocuSign Katie James Sent: 3/30/2021 9:07:01 AM **COPIED** james@ffcolorado.com Security Level: Email, Account Authentication

COPIED

Sent: 3/30/2021 9:07:01 AM

Electronic Record and Signature Disclosure:Not Offered via DocuSign

Vildbill@affcolorado.com Security Level: Email, Account Authentication (None)

Tina Vildbill

Electronic Record and Signature Disclosure:Not Offered via DocuSign

Witness Events Signature **Timestamp Notary Events** Signature **Timestamp Envelope Summary Events Status Timestamps Envelope Sent** Hashed/Encrypted 3/29/2021 8:11:57 AM Certified Delivered 3/30/2021 9:06:49 AM Security Checked Signing Complete Security Checked 3/30/2021 9:06:59 AM Completed Security Checked 3/30/2021 9:07:01 AM **Payment Events Status Timestamps**

Electronic Record and Signature Disclosure

2021 Budgets

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

DAWSON RIDGE METRO DISTRICT NO. 4

GENERAL FUND

2021 ADOPTED BUDGET

WITH 2019 ACTUAL AND 2020 ESTIMATED AMOUNTS FOR THE YEARS ENDED AND ENDING DECEMBER 31,

	2019 Actual		_	2020 Estimated		2020 Adopted		
REVENUES								
Property taxes	\$	345	\$	296	\$	265		
Specific ownership taxes		23		10		19		
Interest		31		-		-		
Total revenues		399		306		284		
EXPENDITURES								
County treasurer fees		6		1		4		
Service fees to District No. 5		393		205		271		
Emergency reserve		-		100		9		
Total expenditures		399		306		284		
NET CHANGE IN FUND BALANCE		-		-		-		
BEGINNING FUND BALANCE								
ENDING FUND BALANCE	\$	_	\$	-	\$			

DAWSON RIDGE METROPOLITAN DISTRICT NO. 4

2021 BUDGET MESSAGE

District Services

The Dawson Ridge Metropolitan District No. 4 has prepared its 2021 budget utilizing the modified accrual basis of account. The District has no obligations relating to lease-purchase agreements. The District was formed to provide for the construction, installation, and completion of arterial and collector roadways, water and sewer facilities, traffic signal and safety devices, and all other necessary improvements for such projects.

Budget Features

General Fund

Per agreement with Districts #1, #2, #3 and #5, monies receipted in the Debt Service Funds will be transferred into District #1. General Fund expenditures for accounting, management, insurance, etc. are budgeted at \$284 and will be transferred to District #5 for payment.

The mill levy will remain at 45.00 mills for 2021.

CERTIFICATION OF VALUATION BY DOUGLAS COUNTY ASSESSOR

Name of Jurisdiction: 4107 - Dawson Ridge Metro District 4

IN DOUGLAS COUNTY ON 10/12/2020

New Entity: No

	IN DOUGLAS COUNTY ON	Now Entry: No
	USE FOR STATUTORY PROPERTY TAX REVENUE LIMIT CALCULATIONS (5	5.5% LIMIT) ONLY
	IN ACCORDANCE WITH 39-5-121(2)(a) AND 39-5-128(1),C.R.S. AND NO LATER THAN AUGUST 25, THE ASS VALUATION FOR ASSESSMENT FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY. COLORADO	ESSOR CERTIFIES THE TOTAL
1.	PREVIOUS YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	\$6,380
2.	CURRENT YEAR'S GROSS TOTALTAXABLE ASSESSED VALUATION: *	\$5,880
3.	LESS TIF DISTRICT INCREMENT, IF ANY:	\$0
4.	CURRENT YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	\$5,880
5.	NEW CONSTRUCTION: **	\$0
6.	INCREASED PRODUCTION OF PRODUCING MINES: #	<u>\$0</u>
7.	ANNEXATIONS/INCLUSIONS:	\$0
8.	PREVIOUSLY EXEMPT FEDERAL PROPERTY: #	\$0
9.	NEW PRIMARY OIL OR GAS PRODUCTION FROM ANY PRODUCING OIL AND GAS LEASEHOLD ## OR LAND (29-1-301(1)(b) C.R.S.):	\$0
10.	TAXES COLLECTED LAST YEAR ON OMITTED PROPERTY AS OF AUG. 1 (29-1-301(1))(a) C.R.S.):	\$0.00
11.	TAXES ABATED AND REFUNDED AS OF AUG. 1 (29-1-301(1)(a) C.R.S.) and (39-10-114(1)(a)(I)(B) C.R.S.):	\$0.00
	his value reflects personal property exemptions IF enacted by the jurisdiction as authorized by Art. X, Sec.20(8)(b),Colo. New construction is defined as: Taxable real property structures and the personal property connected with the structure.	
# J	urisdiction must submit respective certifications (Forms DLG 52 AND 52A) to the Division of Local Government in order for the value	es to be treated as growth in the limit
	Jurisdiction must apply (Forms DLG 52B) to the Division of Local Government before the value can be treated as growth in the limit	calculation.
	USE FOR 'TABOR' LOCAL GROWTH CALCULATIONS ONLY	
	ACCORDANCE WITH THE PROVISION OF ARTICLE X, SECTION 20, COLO CONST, AND 39-5-121(2)(b),C.R.S. TAL ACTUAL VALUATION FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY, COLORADO ON AUGUS	
1.	CURRENT YEAR'S TOTAL ACTUAL VALUE OF ALL REAL PROPERTY: @	<u>\$16,842</u>
	ADDITIONS TO TAXABLE REAL PROPERTY:	
2.	CONSTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS:	\$0
3.	ANNEXATIONS/INCLUSIONS:	<u>\$0</u>
4.	INCREASED MINING PRODUCTION: %	\$0
5.	PREVIOUSLY EXEMPT PROPERTY:	\$0
6.	OIL OR GAS PRODUCTION FROM A NEW WELL:	\$0
7.	TAXABLE REAL PROPERTY OMITTED FROM THE PREVIOUS YEAR'S TAX WARRANT:	\$0
	(If land and/or a structure is picked up as omitted property for multiple years, only the most current year's actual value can be reported as omitted	
	DELETIONS FROM TAXABLE REAL PROPERTY:	
8.	DESTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS:	\$0
9.	DISCONNECTIONS/EXCLUSION:	\$0
10.	PREVIOUSLY TAXABLE PROPERTY:	\$0
@	This includes the actual value of all taxable real property plus the actual value of religious, private schools, and charitable real prope	erty.
! Ca	onstruction is defined as newly constructed taxable real property structures,	
% i	ncludes production from new mines and increases in production of existing producing mines.	
INI	ACCORDANCE MITH ONE ACCUSANCE OF AND MOLATED THAN AUGUST OF THE ACCESSOR OF THE	1
	ACCORDANCE WITH 39-5-128(1), C.R.S. AND NO LATER THAN AUGUST 25, THE ASSESSOR CERTIFIES SCHOOL DISTRICTS: 1 TOTAL ACTUAL VALUE OF ALL TAXABLE PROPERTY:	102

NOTE: All levies must be Certified to the Board of County Commissioners NO LATER THAN DECEMBER 15, 2020

Data Date: 10/12/2020

	•

ANNUAL REPORT DAWSON RIDGE METROPOLITAN DISTRICTS NO. 1, NO. 2, NO. 3, NO. 4 AND NO. 5, DOUGLAS COUNTY, COLORADO, REPORTING PERIOD ENDING DECEMBER 31, 2020

Pursuant to Section 32-1-207(3)(c), C.R.S., Special District Act, and Section 11.02.040 of Castle Rock Municipal Code, the following report is submitted by the Boards of Directors of Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 (the "Districts"), to the Town Council of the Town of Castle Rock.

The following information concerns the activity and financial events of the Districts during the year 2020:

1. Progress in implementing service plan.

The Districts are currently operating under a Suspension Agreement with the Town of Castle Rock, dated October 8, 1992, which does not allow the Districts to exercise their powers other than those administrative functions necessary to remain in lawful existence and in compliance with State law, until the Districts submit amendments to their service plans that are approved by the Town of Castle Rock.

2. 2020 Audit.

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4 and No. 5 each qualify for, and have applied to the State Auditor's Office for, audit exemptions for fiscal year 2020. Copies of the Applications for Exemption from Audit are attached to this report.

3. Capital expenditures and capital improvements.

The Districts did not incur any capital expenditures in the development of public facilities in the report year of 2020.

4. <u>Capital improvements/projects proposed to be undertaken in 5 years following 2020.</u>

None.

5. Financial obligations and assessed valuation.

Financial Obligations are reflected on the Applications for Exemption from Audit and the 2021 budgets attached to this report.

<u>District No. 1:</u> The gross total assessed valuation of all taxable properties within the District No. 1 as of December 2020 was \$77,050.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 2:</u> The gross total assessed valuation of all taxable properties within the District No. 2 as of December 2020 was \$3,770.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 3:</u> The gross total assessed valuation of all taxable properties within the District No. 3 as of December 2020 was \$33,650.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 4:</u> The gross total assessed valuation of all taxable properties within the District No. 4 as of December 2020 was \$5,880.00. No portion of the District 2020 mill levy was pledged to debt retirement.

<u>District No. 5:</u> The gross total assessed valuation of all taxable properties within the District No. 5 as of December 2020 was \$60.00. No portion of the District 2020 mill levy was pledged to debt retirement.

6. Budgets for 2021.

Copies of the Districts' budgets for 2021 as adopted on November 4, 2020 are attached to this report.

7. Residential/commercial development which occurred within Districts in 2020:

No commercial or residential development occurred within the Districts during the reporting period.

8. <u>Fees, charges and assessments.</u>

No fees, charges or assessments were levied or collected by the Districts in 2020.

9. Certification re: Section 11.02.060.

No action, event or condition enumerated in Section 11.02.060 of the Town of Castle Rock Municipal Code, constituting a material modification to any of the Districts' service plans, occurred in the report year.

10. Names, addresses, telephone numbers, meetings.

The names, business addresses, and telephone numbers of each member of the Board of Directors, the president, and the District counsel as of December 31, 2020 are as follows:

Colleen K. Huber 10425 Lynx Run Highlands Ranch, CO 80124 Director and President of District Nos. 1 and No. 5 and Director and Vice President of District Nos. 2, 3, and 4

Gregg Cole Miller Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and President of District Nos. 2, 3 and 4

Henry John Vanderryst Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Secretary of District Nos. 2, 3 and 4

Chad Michael Ellington Greenwood Development Group, LLC 5750 DTC Parkway, Suite 115 Greenwood Village, Colorado 80111 (720) 318-8035 Director and Treasurer of District Nos. 2, 3 and 4

Kathryn T. James, Esq. Folkestad Fazekas Barrick & Patoile, P.C. 18 S. Wilcox Street, Suite 200 Castle Rock, CO 80104 303-688-3045 Legal Counsel

Regular meetings are scheduled for the 1st Wednesday of every month, commencing at 9:30 a.m., at the office of Folkestad Fazekas Barrick & Patoile, P.C., located at 18 S. Wilcox Street, Suite 200, Castle Rock, Colorado, 80104.

Pursuant to Section 32-1-207(3)(c), C.R.S., a copy of this report will be filed with the Town Council of the Town of Castle Rock, the Douglas County Board of Commissioners, the Division of Local Government, and the State Auditor, as well as being deposited with the Douglas County Clerk and Recorder and made available to any interested party as defined in Section 32-1-204(1), C.R.S.

Dated this 2nd day of June, 2021.

DAWSON RIDGE METROPOLITAN DISTRICT NO. 1, a quasi-municipal corporation and political subdivision of the State of Golorado

By:

Kathayn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 2, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 3, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 4, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James, District Legal Counsel

DAWSON RIDGE METROPOLITAN DISTRICT NO. 5, a quasi-municipal corporation and political subdivision of the State of Colorado

By:

Kathryn T. James District Legal Counsel

Applications for Exemption from Audit -- 2020 Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

APPLICATION FOR EXEMPTION FROM AUDIT

SHORT FORM

NAME OF GOVERNMENT	Dawson Ridge Metropolitan District No	. 5	For the Year Ended			
ADDRESS	7995 E Prentice Avé., Suite 103E	rentice Avé., Suite 103E				
	Greenwood Village, CO 80111		or fiscal year ended:			
CONTACT PERSON	Sue Blair					
PHONE						
EMAIL	303-381-4960					
— w—	sblair@crsofcolorado.com					
FAX	303-381-4961					
	PART 1 - CERTIFICATION	ON OF PREPARER				
I certify that I am skilled in gover	nmental accounting and that the inform	ation in the application is comple	te and accurate, to the best of			
my knowledge.	-					
NAME:	Joan Beans					
TITLE	Accountant					
FIRM NAME (if applicable)	Community Resource Services of Colo	rado				
ADDRESS	7995 E Prentice Ave., Suite 103E, Gre	enwood Village, CO 80111				
PHONE	303-381-4981					
DATE PREPARED	311121					
PREPARER (SIGNATURE						
I ILLI MILLI (SIGNATURE	= REQUIRED)					
goon Be	ons					
	ing financial information is recorded	GOVERNMENTAL (MODIFIED ACCRUAL BASIS)	PROPRIETARY (CASH OR BUDGETARY BASIS)			
using Governmental or Proprietary	y fund types	3				

PART 2 - REVENUE

REVENUE: All revenues for all funds must be reflected in this section, including proceeds from the sale of the government's land, building, and equipment, and proceeds from debt or lease transactions. Financial information will not include fund equity information.

Line#			Description	Round to nearest Dollar		Please use this
2-1	Taxes:	Property	(report mills levied in Question 10-6)	\$	3	space to provide
2-2		Specific own	nership	\$	-	any necessary
2-3		Sales and us	se	\$	_	explanations
2-4		Other (spec	fy):	\$	-	
2-5	Licenses and per	rmits		\$	_	
2-6	Intergovernment	al:	Grants	\$	-	1
2-7	Ū		Conservation Trust Funds (Lottery)	\$	-	1
2-8			Highway Users Tax Funds (HUTF)	\$	-	1
2-9			Other (specify):	\$	-	
2-10	Charges for serv	ices	· • • • • • • • • • • • • • • • • • • •		652	1
2-11	Fines and forfeit			\$	-	1
2-12	Special assessm	ents		\$	-	1
2-13	Investment incor	ne		\$	561	
2-14	Charges for utilit	y services		\$	-	1
2-15	Debt proceeds	•	(should agree with line 4-4, column 2)	\$	-	
2-16	Lease proceeds			\$	-	1
2-17	Developer Advan	ces received	(should agree with line 4-4)	\$	-	1
2-18	Proceeds from s	ale of capital ass	sets	\$		1
2-19	Fire and police p	•		\$	-	1
2-20				\$	_	1
2-21	Other (specify):			\$	-	1
2-22				\$	-	1
2-23				\$	-	1
2-24		_(add	i lines 2-1 through 2-23) TOTAL REVENUE	\$ 22,	216	Í

PART 3 - EXPENDITURES/EXPENSES

EXPENDITURES: All expenditures for all funds must be reflected in this section, including the purchase of capital assets and principal and interest payments on long-term debt. Financial information will not include fund equity information.

Line#	Interest payments on long-term dept. Financial information will Description	not include fund equity inform	latic	Round to nearest Dollar	Please use this
3-1	Administrative Description		9	Round to nearest Dollar	space to provide
3-1	Salaries	-	\$	2 500	any necessary
		and the	\$	2,500	explanations
3-3	Payroll taxes		\$	191	
3-4	Contract services	and the same of th	\$		
3-5	Employee benefits	-	\$	-	
3-6	Insurance		\$	2,885	
3-7	Accounting and legal fees		\$	9,580	
3-8	Repair and maintenance		\$	_	
3-9	Supplies		\$	_	
3-10	Utilities and telephone		\$		
3-11	Fire/Police		\$	-	
3-12	Streets and highways		\$	-	
3-13	Public health		\$	-	
3-14	Capital outlay		\$	-	
3-15	Utility operations		\$	-	
3-16	Culture and recreation		\$	_	
3-17	Debt service principal	(should agree with Part 4)	\$	_	
3-18	Debt service interest		\$	_	
3-19	Repayment of Developer Advance Principal	(should agree with line 4-4)	\$	-	
3-20	Repayment of Developer Advance Interest	, ,	\$	-	
3-21	Contribution to pension plan	(should agree to line 7-2)	\$	_	
3-22	Contribution to Fire & Police Pension Assoc.	(should agree to line 7-2)		-	
3-23	Other (specify): Dues and Subscriptions	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	\$	1,583	
3-24	(· t · · · · · · · · · · · · · · · · ·	İ	\$		
3-25		ļ	\$	_	
3-26	(add lines 3-1 through 3-24) TOTAL EXP	ENDITURES/EXPENSES	_ T	16,739	
U-20	dad mics o' Tallough 3"24) TOTAL LA	ENDITOREO, EXI ENOLO	Ψ	10,105	

If TOTAL REVENUE (Line 2-24) or TOTAL EXPENDITURES (Line 3-26) are GREATER than \$100,000 - <u>STOP</u>. You may not use this form. Please use the "Application for Exemption from Audit -<u>LONG FORM</u>".

	PART 4 - DEBT OUTSTANDING	G, ISSUE	ED,	, AN	D R	31	RED		
	Please answer the following questions by marking the	appropriate box	xes.				Yes		No
4-1	Does the entity have outstanding debt?	ala a deda							V
4-2	If Yes, please attach a copy of the entity's Debt Repayment S is the debt repayment schedule attached? If no. MUST explain						П		П
4-2	is the dept repayment schedule attached? If no, MUST explai	n:				1			
4-3	Is the entity current in its debt service payments? If no, MUS	T explain:							Ш
4-4	Please complete the following debt schedule, if applicable:	Outstanding		laarrad	during	D-4	ired during	0.45	tanding at
	(please only include principal amounts)(enter all amount as positive	end of prior ye			ear	Ret	year		ariumy at
	numbers)								
	General obligation bonds	\$ -	-	\$	-	\$	_	\$	-
	Revenue bonds	\$ -		\$	-	\$	-	\$	-
	Notes/Loans			\$	-	\$	-	\$	-
	Leases	<u> </u>	-	\$	-	\$	_	\$	-
	Developer Advances	\$ -		\$	-	\$	-	\$	-
	Other (specify):	\$ -		\$	-	\$	-	\$	-
	TOTAL	\$ -	<u>- l</u>	\$	-	\$	-	\$	-
		*must tie to prid	ior yea	r ending	g balance				
4-5	Please answer the following questions by marking the appropriate boxes Does the entity have any authorized, but unissued, debt?						Yes		No
If yes:	How much?	\$		20.3	00,000	1	Ľ		L
11 you.	Date the debt was authorized:	<u> </u>	/2/20		00,000	1			
4-6	Does the entity intend to issue debt within the next calendar		ILILO			J			v
If yes:	How much?	\$				1			لشا
4-7	Does the entity have debt that has been refinanced that it is s	till responsit	hla fr)r?	-	J	П		7
If yes:	What is the amount outstanding?	\$	DIG IC	<i>)</i> 1 :		1	ب		ليتيا
4-8	Does the entity have any lease agreements?	Ψ				j	П		v
If yes:	What is being leased?					1			
,	What is the original date of the lease?					1			
	Number of years of lease?					J	_		
	Is the lease subject to annual appropriation?					,			
E (State Landson and State Lan	What are the annual lease payments?	\$			-			12 Mar 11 11 11 11 11 11 11 11 11 11 11 11 11	
	Please use this space to provide any	explanations	S OF C	comme	ents:				
	DADT E CACILAND	INIVEG	-1 V 1	316 .	·C				
	PART 5 - CASH AND	INVES	IW		5				
	Please provide the entity's cash deposit and investment balances.						Amount		Total
5-1	YEAR-END Total of ALL Checking and Savings Accounts					\$	1,327		
5-2	Certificates of deposit					\$	-		
	Total Cash Deposits	TANCAS AN AN AND AND AND			10.00			\$	1,327
	Investments (if investment is a mutual fund, please list underlying	investments):							
	ColoTrust	Miles de la companya de la companya de la companya de la companya de la companya de la companya de la companya		3 <u></u>	<u> </u>	\$	123,952	1	
F 2						\$	-	1	
5-3						\$	-	1	
						\$	-]	
	Total Investments							\$	123,952
	Total Cash and Investments	wana						\$	125,279
	Please answer the following questions by marking in the approp			Υ	es		No		N/A
5-4	Are the entity's Investments legal in accordance with Section	24-75-601, et	t.	[J	7				
	seq., C.R.S.?				-				_
5-5	Are the entity's deposits in an eligible (Public Deposit Protect	tion Act) publ	lic	J	1				
	depository (Section 11-10.5-101, et seq. C.R.S.)?			Ū	_		_	1	LI
If no, ML	IST use this space to provide any explanations:								

	Please answer the following questions by marking in the appropriate box:		SSET	`S		Yes	No	
6-1	Does the entity have capital assets?					T S	N6	
6-2	Has the entity performed an annual inventory of capital assets in accordance with Section 29-1-506, C.R.S.,? If no, MUST explain:							
6-3	Complete the following capital assets table:	Deletions	Year-End Balance					
	Land	\$	ear* -	Part 3	-	\$ -	\$	1036). -
	Buildings	\$	-	\$	-	\$ -		-
	Machinery and equipment	\$	-	\$	-	\$ -	\$	_
	Furniture and fixtures	\$		\$	-	\$ -	\$	-
	Infrastructure	\$	-	\$	-	\$ -	\$	-
	Construction In Progress (CIP)	\$	_	\$	-	\$ -	\$	-
	Other (explain):	\$	-	\$	-	\$ -	T	
	Accumulated Depreciation	\$	-	\$	_	\$ -	 	_
	TOTAL	\$	-	\$	_	\$ -	\$	-
	Please use this space to provide any	explana	itions or	comment	s:			(V. V.
	PART 7 - PENSION	INEC	RMA	TION				
	Please answer the following questions by marking in the appropriate box					Van	Na	
7-1	Does the entity have an "old hire" firemen's pension plan?	#S.				Yes	No ✓	
7-2	Does the entity have a volunteer firemen's pension plan?					H	Ŭ ☑	
If yes:	Who administers the plan?		· · · · · · · · · · · · · · · · · · ·)		
., , 00.	Indicate the contributions from:					ł		
						1		
	Tax (property, SO, sales, etc.):			\$	-			
	State contribution amount:			\$				
	Other (gifts, donations, etc.): TOTAL	8 7 7		\$ \$				
	What is the monthly benefit paid for 20 years of service per re	tiroo as	of lan	\$				
2242998	Please use this space to provide any							A 1000
			GIG. E. G.		CLERK			
	PART 8 - BUDGET I	NFO	RMA'	TION				
	Please answer the following questions by marking in the appropriate box	es.		Yes		No	N/A	
8-1	Did the entity file a budget with the Department of Local Affai		ne	+ 41		t t		
	current year in accordance with Section 29-1-113 C.R.S.?			<u> </u>			П	
8-2	Did the entity pass an appropriations resolution, in accordance 29-1-108 C.R.S.? If no, MUST explain:	e with	Section	·				
If ves:	Please indicate the amount budgeted for each fund for the year.	ar repoi	rted:					
,								
	Fund Name		ed Expend	itures/Expe				
	General Fund	\$		19,	839			
	L							

	PART 9 - TAXPAYER'S BILL OF RIGHTS (TABOR)						
	Please answer the following question by marking in the appropriate box	Yes	No				
9-1	Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?	[7]	(**)				
	Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.	[국]					
If no, M	UST explain:						

PART 10 - GENERAL INFORMATION		
Please answer the following questions by marking in the appropriate boxes.	Yes	No
Is this application for a newly formed governmental entity?		V
Date of formation: Has the entity changed its name in the past or current year?]	 ✓
Please list the NEW name & PRIOR name:		
Is the entity a metropolitan district?) 	
Please indicate what services the entity provides: Water, irrigation, sanitation, drainage, streets, traffic & safety controls, transportation, parks & rec]	
Does the entity have an agreement with another government to provide services? <u>List the name of the other governmental entity and the services provided:</u>	☑	
Has the district filed a Title 32, Article 1 Special District Notice of Inactive Status during		✓
Date Filed:		
Does the entity have a certified Mill Levy?	V	
		
General/Other mills Total mills		45.000 45.000
	Please answer the following questions by marking in the appropriate boxes. Is this application for a newly formed governmental entity? Date of formation: Has the entity changed its name in the past or current year? Please list the NEW name & PRIOR name: Is the entity a metropolitan district? Please indicate what services the entity provides: Water, irrigation, sanitation, drainage, streets, traffic & safety controls, transportation, parks & rec Does the entity have an agreement with another government to provide services? List the name of the other governmental entity and the services provided: Provide all services for Dawson Ridge Metropolitan Districts Nos. 1-4 Has the district filed a <i>Title 32, Article 1 Special District Notice of Inactive Status</i> during Date Filed: Does the entity have a certified Mill Levy? Please provide the following mills levied for the year reported (do not report \$ amounts): Bond Redemption mills General/Other mills	Please answer the following questions by marking in the appropriate boxes. Is this application for a newly formed governmental entity? Date of formation: Has the entity changed its name in the past or current year? Please list the NEW name & PRIOR name: Is the entity a metropolitan district? Please indicate what services the entity provides: Water, irrigation, sanitation, drainage, streets, traffic & safety controls, transportation, parks & rec Does the entity have an agreement with another government to provide services? List the name of the other governmental entity and the services provided: Provide all services for Dawson Ridge Metropolitan Districts Nos. 1-4 Has the district filed a <i>Title 32, Article 1 Special District Notice of Inactive Status</i> during Date Filed: Does the entity have a certified Mill Levy? Please provide the following mills levied for the year reported (do not report \$ amounts): Bond Redemption mills General/Other mills

	PART 11 - GOVERNING BODY APPROVAL		
	Please answer the following question by marking in the appropriate box	YES	NO
12-1	If you plan to submit this form electronically, have you read the new Electronic Signature Policy?	√	

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedure

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as Docusign or Echosign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
- a. Include a copy of an adopted resolution that documents formal approval by the Board, or
- b. Include electronic signatures obtained through a software program such as Docusign or Echosign in accordance with the requirements noted above.

	Print the names of ALL members of current governing body below.	A <u>MAJORITY</u> of the members of the governing body must complete and sign in the column below.
Board	Print Board Member's Name	I, Colleen Huber, attest I am a duly elected or appointed board member, and that I have personallyনহণাewed and approve this application for exemption from audit.
Member	Colleen Huber	Signed (allen Huler) Date:
1		My term Expires: May 2022
		my tolli Expired. Indy 2022
Board Member	Print Board Member's Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed
2		Date:
	Print Board Member's Name	My term Expires:
Board	Fillit Board Methber 5 Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.
Member 3	•	Signed
		Date:
		My term Expires:
Board	Print Board Member's Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for
Member	-	exemption from audit. Signed
4		Date:
		My term Expires:
	Print Board Member's Name	I, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for
Board Member		exemption from audit.
5		Signed Date:
		My term Expires:
	Print Board Member's Name	I, attest I am a duly elected or appointed board
Board Member		member, and that I have personally reviewed and approve this application for exemption from audit.
6		Signed Date:
	Print Board Member's Name	My term Expires:
Board		member, and that I have personally reviewed and approve this application for exemption from audit.
Member 7		Signed
		Date:
		My term Expires:



Certificate Of Completion

Envelope Id: 317A28A88E7F429DA6D12859E984F8B8

Subject: Please DocuSign: 2020 Audit Exemption - Dawson Ridge No. 5.pdf

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Colleen Huber

Initials: 0

mpacheco@crsofcolorado.com

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Signer Events

Colleen Huber

colleen.huber@coloradohomes.com

(None)

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Certified Delivery Events

Carbon Copy Events

In Person Signer Events

Editor Delivery Events

Agent Delivery Events

Intermediary Delivery Events

Joan Beans

jbeans@crsofcolorado.com

Security Level: Email, Account Authentication (None)

Electronic Record and Signature Disclosure: Not Offered via DocuSign

Katie James

james@ffcolorado.com

Security Level: Email, Account Authentication (None)

Electronic Record and Signature Disclosure:

Not Offered via DocuSign

Tina Vildbill

Vildbill@affcolorado.com

Security Level: Email, Account Authentication (None)

Electronic Record and Signature Disclosure: Not Offered via DocuSign

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Certified Delivered	Security Checked	3/29/2021 3:25:39 PM
Signing Complete	Security Checked	3/29/2021 3:26:07 PM
Completed	Security Checked	3/29/2021 3:26:07 PM

2021 Budgets

Dawson Ridge Metropolitan Districts No. 1, No. 2, No. 3, No. 4, and No. 5

DAWSON RIDGE METRO DISTRICT NO. 5

GENERAL FUND

2021 ADOPTED BUDGET

WITH 2019 ACTUAL AND 2020 ESTIMATED AMOUNTS FOR THE YEARS ENDED AND ENDING DECEMBER 31,

	,	2019 Actual	Es	2020 timated	2021 Adopted
REVENUES				-	
Property taxes	\$	2	\$	3	\$ 3
Service fees from Districts Nos.1-4		24,493		20,844	21,396
Interest		2,603		700	700
Total revenues		27,098		21,547	22,099
EXPENDITURES					
Audit		_		-	300
District management and accounting		9,909		8,500	8,500
Directors' fees		5,200		1,000	3,000
Payroll taxes		398		337	350
Dues and subscriptions		-		1,583	1,600
Election		-		-	1,000
Insurance and bonds		4,310		2,885	3,000
Legal		-		4,000	4,000
Miscellaneous		20		20	500
Emergency reserve		_		654	663
Total expenditures		19,837		18,979	22,913
NET CHANGE IN FUND BALANCE		7,261		2,568	(814)
BEGINNING FUND BALANCE		131,042		138,303	 140,871
ENDING FUND BALANCE	\$	138,303	\$	140,871	\$ 140,057

DAWSON RIDGE METROPOLITAN DISTRICT NO. 5

2021 BUDGET MESSAGE

District Services

The Dawson Ridge Metropolitan District No. 5 was established in August 1985 to provide water, irrigation, sanitation, drainage, street improvements, safety control, parks and recreational and transportation systems and facilities. The District will dedicate and transfer the majority of facilities to the Town of Castle Rock for maintenance and operation.

The District derives its revenue primarily from general property taxes. The District has no employees and all operations and administrative functions are performed by independent contractors.

Basis for Accounting

The modified accrual method of accounting was utilized in preparation of the 2021 budget.

General Fund

Per agreement with Districts #1, funds in the amount of \$19,833 will be transferred in from the District #1.

Per agreement, expense incurred during 2020 for Districts #1, #2, #3, and #4 were transferred at the end of 2020 to District #1. Expense incurred during 2021 will be transferred to District #1 as well. Per agreement with Districts #1, 2, 3, and 4 expenses incurred by all five Districts will be paid from District #5. Expenditures during 2021 are anticipated to be \$22,913

The mill levy will remain at 45.00 mills for 2021.

Debt Service Fund

Although the sale of bonds was authorized during 1993, no bonds have been issued. Therefore, the Debt Service Fund contemplates no expenditures for the 2021 budget year.

CERTIFICATION OF VALUATION BY DOUGLAS COUNTY ASSESSOR

Name of Jurisdiction: 4108 - Dawson Ridge Metro District 5

<u>\$0</u>

	IN DOUGLAS COUNTY ON 10/12/2020	New Entity: No
	USE FOR STATUTORY PROPERTY TAX REVENUE LIMIT CALCULATIONS (5	i.5% LIMIT) ONLY
	IN ACCORDANCE WITH 39-5-121(2)(a) AND 39-5-128(1),C.R.S. AND NO LATER THAN AUGUST 25, THE ASS VALUATION FOR ASSESSMENT FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY. COLORADO	ESSOR CERTIFIES THE TOTAL
1. 1	PREVIOUS YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	<u>\$60</u>
2.	CURRENT YEAR'S GROSS TOTALTAXABLE ASSESSED VALUATION: *	\$60
3.	LESS TIF DISTRICT INCREMENT, IF ANY:	\$0
4.	CURRENT YEAR'S NET TOTAL TAXABLE ASSESSED VALUATION:	\$60
5.	NEW CONSTRUCTION: ++	\$0
6.	INCREASED PRODUCTION OF PRODUCING MINES: #	<u>\$0</u>
7. /	ANNEXATIONS/INCLUSIONS:	\$0
8.	PREVIOUSLY EXEMPT FEDERAL PROPERTY: #	\$0
	NEW PRIMARY OIL OR GAS PRODUCTION FROM ANY PRODUCING OIL AND GAS LEASEHOLD ## OR LAND (29-1-301(1)(b) C.R.S.):	\$0
10.	TAXES COLLECTED LAST YEAR ON OMITTED PROPERTY AS OF AUG. 1 (29-1-301(1))(a) C.R.S.):	\$0.00
11.	TAXES ABATED AND REFUNDED AS OF AUG. 1 (29-1-301(1)(a) C.R.S.) and (39-10-114(1)(a)(I)(B) C.R.S.):	\$0.00
	is value reflects personal property exemptions IF enacted by the jurisdiction as authorized by Art. X, Sec.20(8)(b),Colo. we construction is defined as: Taxable real property structures and the personal property connected with the structure.	
	isortiction must submit respective certifications (Forms DLG 52 AND 52A) to the Division of Local Government in order for the value	es to be treated as growth in the limi
calcu	alation.	•
## JI	urisdiction must apply (Forms DLG 52B) to the Division of Local Government before the value can be treated as growth in the limit	calculation.
	USE FOR 'TABOR' LOCAL GROWTH CALCULATIONS ONLY	
	ACCORDANCE WITH THE PROVISION OF ARTICLE X, SECTION 20, COLO CONST, AND 39-5-121(2)(b),C.R.S. TAL ACTUAL VALUATION FOR THE TAXABLE YEAR 2020 IN DOUGLAS COUNTY, COLORADO ON AUGUS	
1. 4	CURRENT YEAR'S TOTAL ACTUAL VALUE OF ALL REAL PROPERTY: @	<u>\$210</u>
	ADDITIONS TO TAXABLE REAL PROPERTY:	
2.	CONSTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS:	<u>\$0</u>
3.	ANNEXATIONS/INCLUSIONS:	<u>\$0</u>
4.	INCREASED MINING PRODUCTION: %	\$0
5.	PREVIOUSLY EXEMPT PROPERTY:	\$0
6.	OIL OR GAS PRODUCTION FROM A NEW WELL:	\$0
7.	TAXABLE REAL PROPERTY OMITTED FROM THE PREVIOUS YEAR'S TAX WARRANT:	\$0
	(If land and/or a structure is picked up as omitted property for multiple years, only the most current year's actual value can be reported as omittee	ed property.)
	DELETIONS FROM TAXABLE REAL PROPERTY:	
8.	DESTRUCTION OF TAXABLE REAL PROPERTY IMPROVEMENTS:	<u>\$0</u>
9.	DISCONNECTIONS/EXCLUSION:	\$0
10.	PREVIOUSLY TAXABLE PROPERTY:	\$0
@ TI	nis includes the actual value of all taxable real property plus the actual value of religious, private schools, and charitable real prope	L
! Cor	nstruction is defined as newly constructed taxable real property structures.	
% In	cludes production from new mines and increases in production of existing producing mines.	

NOTE: All levies must be Certified to the Board of County Commissioners NO LATER THAN DECEMBER 15, 2020

Data Date: 10/12/2020